National Collaborating Centre for Acute Care

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# **Venous Thromboembolism**

Reducing the risk of venous thromboembolism (deep vein thrombosis and pulmonary embolism) in inpatients undergoing surgery.

Commissioned by the National Institute for Health and Clinical Excellence

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### Foreword

The second report of session 2004-5 of The House of Commons Health Committee 'The Prevention of Venous Thromboembolism in Hospitalised Patients' opens with these worrying statistics: Each year 25,000 people in England die from venous thromboembolism. This figure includes both patients admitted for medical care of serious illnesses as well as those admitted for surgery. The report goes on to state that this is a larger number of deaths than are attributable to breast cancer, AIDS and road traffic accidents. It is 25 times the number of people who die as a result of MRSA infection<sup>246</sup>.

The sudden killer is pulmonary embolism (PE), that is a thrombus (or blood clot) which formed in the lower limb or pelvic veins and then comes loose to be carried in the blood to lodge in the lungs. Acute massive pulmonary embolism often kills immediately. If the patient survives the immediate haemodynamic consequences, death may still ensue in the days or weeks that follow. Eventual survivors may well have been in intensive care and may follow a protracted hospital course to recovery.

Deep vein thrombosis (DVT) is in itself a cause of substantial morbidity and may lead to the development of postphlebetic syndrome with chronic swelling and ulceration of the legs amongst its manifestations. Add this burden of morbidity to the 25,000 deaths and it becomes a massive health problem.

Many of these deaths are in patients admitted for medical care but some have gone into hospital for a planned surgery such as joint replacement, gynaecological surgery or gall bladder removal to improve their quality of life, or a cancer surgery with the hope of cure. It is characteristically a week or two after surgery, when recovery is in sight that this tragedy strikes. Our guideline covers patients having surgery that requires an overnight stay. Minor operations performed as day cases or out of hospital are therefore not included. This includes the large number of routine planned operations.

The degree of risk of venous thromboembolism (VTE) is dependent upon factors inherent in the operation and factors related to the individual patient. It is the combination of these factors which defines certain patients as at increased risk of VTE.

Surgeons have been acutely aware of this problem and have been central to research from the 1970's and 1980's. Physical methods (such as graduated compression stockings and intermittent pneumatic compression) and pharmacological treatments (such as dextran infusion, heparin and warfarin) have been studied in a plethora of randomised trials. Both physical and pharmacological treatments have been shown to reduce the incidence of DVT under study conditions. The difficulty is knowing how to implement prophylaxis in practice. Will reduction of DVTs translate into reduced death rates from PE?

There is a question over whether the incidence of PE bears a reasonably consistent numerical relationship to the more frequent DVT. We have not made this an assumption but have tested the hypothesis, where data sets allow both to be counted. There is a close association.

The next question is whether the reduction in DVT (the more numerous and thus the more easily studied adverse outcome) will result in a proportionate reduction in potentially fatal PE. Again we have not simply assumed this but tested the extrapolation against the data. As an extra degree of caution we have tested our recommendations by performing sensitivity analyses where we rely on this extrapolation.

The pharmacological methods introduce another consideration. They carry with them a new risk of bleeding. The bleeding complications are clinically important and are counted in the RCTs. We have to set protocols that chart the safest course for the most of patients between the competing risks posed to them of thrombosis on the one hand and bleeding on the other.

It appears to us to be a clinical problem which requires a meticulously researched and analysed evidence base. The potential health gains for the optimum strategy are great. An individual team will have patients who suffer PE and patients whose recovery is complicated by a treatment related bleed. The clinical difficulty is that both venous thromboembolism and bleeding have low event rates affecting fewer than one in a hundred patients. We cannot emphasise too strongly that it is evidence from the best available randomised controlled trials that we must use to quantify these competing risks. It is well known that if clinicians base decisions for future patients on a recent adverse event, the decisions are unlikely to be in the best interest of those future patients<sup>98</sup>.

The impossibility of basing a policy on clinical experience makes it essential to rely on evidence based guidance. It is appropriate this guidance is made available for individual clinicians and their teams to use in framing locally implemented prophylactic policies. This is an ideal subject for an evidence based guideline. The complex task has been undertaken in collaboration between the scientific staff at the NCC-AC and the medical professionals of the Guideline Development Group (GDG).

Some of our recommendations are more conservative than might be expected from a reading of other guidelines, for example avoidance of heparin in some groups. It is because we find that the loss of health due to bleeding outweighs the gains attributable to a pharmacological treatment.

A summary of our recommendations:

Mechanical methods such as the use of graduated compression/anti-embolism stockings are effective and do not add the risk of bleeding. We have recommended these methods for most patients.

At higher risk of VTE, the use of a pharmacological method (usually low molecular weight heparin or fondaparinux) is cost effective. This is to be used against a background of mechanical prophylaxis such as stockings (as was the case in many of the RCTs on which we rely).

There will be surgical patients who are already on antiplatelet medication; there will also be some for whom aspirin may be recommended in the perioperative period for the reduction of risk of heart attack and stroke. This may present a therapeutic conflict. It should be noted that while aspirin does reduce the risk of VTE to some extent, we have not recommended it as a form of VTE prophylaxis. Aspirin has an important role in cardiovascular perioperative risk reduction but this is outside our scope. It might be tempting to see antiplatelet therapy as a convenient prophylactic "two for one". To use this as a clinical justification for omitting recommended VTE pharmacological prophylaxis risks reducing the effectiveness of VTE prophylaxis and we have not tested the effectiveness or cost effectiveness of that strategy. It would have to be a matter of case by case clinical judgement: we can go no further than the VTE prophylaxis recommended in the guidelines.

Although there are many trials we still found ourselves with uncertainties. For example the true present day rate of DVT and PE is very hard to ascertain. Many more patients have less invasive surgery. Surgical patients get out of bed sooner. High emphasis is placed on early mobilisation and early discharge from hospital. Prophylaxis (both mechanical and pharmacological) is widely used by surgeons and may be having an impact but practices may vary and implantation is probably patchy. There is a strong sense that DVT and PE are less of a problem than they used to be in surgical patients but maybe it is hidden from the view of clinicians by early discharge rather than being truly reduced.

High quality monitoring of adverse events will be needed to ensure that these recommendations are as safe as they can be and we emphasise strongly the need to implement the research recommendations. These research recommendations specifically target the area where we found the biggest potential consequence from uncertainty. We also welcome the recommendation of the House of Commons Health Committee: "Systems must be put in place to ensure that the NICE VTE guidelines are implemented"<sup>246</sup>. Once implemented, we need to monitor adverse events, both bleeding and venous thromboembolism to ensure that guidance is steering the safest course between those competing risks to surgical patients.

Professor Tom Treasure

Chair, Guideline Development Group

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- F MIXED TREATMENT COMPARISON META-ANALYSIS METHODS
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#### **Guideline Review Panel**

The Guideline Review Panel is an independent panel that overseas the development of the guideline and takes responsibility for monitoring its quality. The members of the Guideline Review Panel were as follows:

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# Stakeholder Involvement

# The following stakeholders registered with NICE and were invited to comment on draft versions of these guidelines

:

- Activa Healthcare Ltd
- Addenbrooke's NHS Trust
- Age Concern Cymru
- Airedale General Hospital
- Amdipharm PLC
- AMORE Studies Group
- Amtec Medical Ltd
- Anaesthetic Office
- Anglesey Local Health Board
- Anticoagulation Europe
- Anticoagulation Specialist Association
- Ashfield and Mansfield District PCT
- Association of British Health-Care Industries
- Association of Plastic Surgeons
- Association of Surgeons of Great Britain and
- Ireland
- Association of the British Pharmaceuticals Industry (ABPI)
- AstraZeneca UK Ltd
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- Bard Limited
- Barnet PCT
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- British Association of Plastic Surgeons
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- British Cardiac Society
- British Cardiovascular Society
- British Committee for Standards in Haematology
- British Geriatrics Society
- British Heart Foundation
- British Hip Society
- British Lymphology Society
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- British Menopause Society
- British National Formulary (BNF)
- British Orthopaedic Association
- British Psychological Society
- British Society of Interventional Radiology
- British Thoracic Society
- British Trauma Society
- Buckinghamshire Hospitals NHS Trust
- Cardiff and Vale NHS Trust

- CASPE
- Central Liverpool PCT
- Central Manchester PCT
- Chartered Society of Physiotherapy
- Chesterfield PCT
- Chronic Conditions Collaborating Centre
- City Hospitals Sunderland NHS Trust
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- Connecting for Health
- Conwy & Denbighshire NHS Trust
- Co-operative Pharmacy Association
- Cotswold and Vale PCT
- Countess of Chester Hospital NHS Foundation Trust
- David Lewis Centre
- Department of Health
- Dudley Group of Hospitals NHS Trust
- East and North Hertfordshire NHS Trust
- East Cambridgeshire and Fenland PCT
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- Health Care
- Faculty of Public Health
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- Gateshead Health NHS Trust
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- Guys & St Thomas NHS Trust
- Hammersmith Hospitals NHS Trust
- Health Protection Agency
- Health Protection Scotland
- Healthcare Commission
- Heart of England NHS Foundation Trust
- Heatherwood and Wexham Park Hospitals Trust
- Hospital Alvarez
- Huntleigh Healthcare Ltd
- Independent Healthcare Advisory Service
- Independent Healthcare Forum

• King's College Hospital NHS Trust

• LifeBlood: The Thrombosis Charity

• Luton and Dunstable Hospital NHS Trust

• Maidstone and Tunbridge Wells NHS Trust

10

Leeds Teaching Hospitals NHS Trust

- Intensive Care Society
- Intavent Orthofix Ltd

• Mansfield District PCT

• Kimal Plc

• LEO pharma

Liverpool PCT

- Medicines and Healthcare Products Regulatory
- Agency (MHRA)
- Medlock Medical
- Medway NHS Trust, The
- Mental Health Collaborating Centre
- Mid Staffordshire General Hospitals NHS Trust
- Musgrave Park Hospital
- National Council for Disabled People, Black,
- Minority and Ethnic Community (Equalities)
- National Patient Safety Agency
- National Pre-Assessment Project
- National Public Health Service Wales
- NCC for Cancer
- NCCHTA
- NHS Direct
- NHS Health and Social Care Information Centre
- NHS Pathways
- NHS Quality Improvement Scotland
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- North Glamorgan NHS Trust Merthyr Tydfil
- North Middlesex University Hospital NHS Trust
- North Staffordshire Combined Healthcare NHS
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- North Tees and Hartlepool NHS Trust
- Northwest London Hospitals NHS Trust
- Nuffield Hospitals Acute Care
- Nuffield Orthopaedic Centre NHS Trust
- Nursing & Supportive Care Collaborating Centre
- Organon Laboratories Ltd
- Orthofix Vascular Novamedix
- Pancreatic Cancer UK
- PERIGON (formerly the NHS Modernisation

Agency)

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- Royal College of General Practitioners Wales
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- Royal College of Obstetricians & Gynaecologists
- Royal College of Pathologists
- Royal College of Physicians of London
- Royal College of Surgeons of England
- Royal National Orthopaedic Hospital NHS Trust
- Royal Society of Medicine
- Royal United Hospital, Bath NHS Trust
- Royal West Sussex Trust , The

- Sanofi-Aventis
- Scottish Intercollegiate Guidelines Network (SIGN)
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- Sheffield South West PCT
- Sheffield Teaching Hospitals NHS Trust
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- South Manchester University Hospitals NHS Trust
- SSL International Plc
- Staffordshire Ambulance HQ
- Staffordshire Moorlans PCT
- Stockport PCT
- Talley Group Ltd
- Tameside and Glossop Acute Services NHS Trust
- Tissue Viability Society (UK)
- Trafford PCT
- Translucency Ltd
- Tyco Healthcare
- UK Clinical Pharmacy Association
- UK Specialised Services Public health Network
- University College London Hospitals NHS Trust
- University Hospital Birmingham NHSFT
- University of Hertfordshire
- Urgo Ltd
- Wareney PCT
- Welsh Assembly Government (formerly National
- Assembly for Wales)
- Welsh Scientific Advisory Committee (WSAC)
- West of Cornwall PCT
- Women's & Children's Collaborating Centre
- Women's Health Concern

# Abbreviations

BNF	British National Formulary
CCA	Cost-consequences analysis
CEA	Cost-effectiveness analysis
СІ	Confidence interval
СРМ	Continuous passive motion
CUA	Cost-utility analysis
DH	Department of Health
DVT	Deep-vein thrombosis
ES	Electrical stimulation
FID	Foot impulse device
GCS	Graduated compression stocking
GDG	Guideline Development Group
GP	General Practitioner
GRADE	Guidelines Recommendations Assessment Development Evaluation
GRP	Guideline Review Panel
HES	Hospital Episode Statistics
ніт	Heparin-induced thrombocytopenia
HRQL	Health-related quality of life
НТА	Health technology assessment
ICER	Incremental cost-effectiveness ratio
INB	Incremental net benefit
INR	International normalised ratio
IPC	Intermittent pneumatic compression
IV	Intravenous
LMWH	Low molecular weight heparin
LOS	Length of Stay
LY	Life-year

MHRA	Medicines and Healthcare Products Regulatory Agency
мтс	Mixed-treatment comparisons
NCC-AC	National Collaborating Centre for Acute Care
NHS	National Health Service
NICE	National Institute for Health and Clinical Excellence
NNT	Number needed to treat
OAC	Oral anticoagulants
OR	Odds ratio
PASA	NHS Purchasing and Supply Agency
PE	Pulmonary embolism
ΡΙϹΟ	Framework incorporating patients, interventions, comparisons, outcomes
PPIP	Patient and Public Involvement Programme
PSA	Probabilistic sensitivity analysis
PTS	Post-thrombotic syndrome
QALY	Quality-adjusted life year
RCT	Randomised controlled trial
RR	Relative risk
sc	Subcutaneous
SR	Systematic review
UFH	Unfractionated heparin
VS	Versus
ντ	Venous thrombosis
VTE	Venous thromboembolism

# **Glossary of Terms**

Absolute risk reduction (Risk difference)	The difference in the risk of an event between two groups (one subtracted from the other) in a comparative study.
Abstract	Summary of a study, which may be published alone or as an introduction to a full scientific paper.
Adjustment	A statistical procedure in which the effects of differences in composition of the populations being compared (or treatment given at the same time) have been minimised by statistical methods.
Algorithm (in guidelines)	A flow chart of the clinical decision pathway described in the guideline, where decision points are represented with boxes, linked with arrows.
Allocation concealment	The process used to prevent advance knowledge of group assignment in a RCT. The allocation process should be impervious to any influence by the individual making the allocation, by being administered by someone who is not responsible for recruiting participants.
Anticoagulants	Any agent used to prevent the formation of blood clots. These include oral agents, such as warfarin, and others which are injected into a vein or under the skin, such as heparin.
Applicability	The degree to which the results of an observation, study or review are likely to hold true in a particular clinical practice setting.
Appraisal of Guidelines, Research and Evaluation (AGREE)	An international collaboration of researchers and policy makers whose aim is to improve the quality and effectiveness of clinical practice guidelines (http://www.agreecollaboration.org). The AGREE instrument, developed by the group, is designed to assess the quality of clinical guidelines.
Arm (of a clinical study)	Sub-section of individuals within a study who receive one particular intervention, for example placebo arm.
Association	Statistical relationship between two or more events, characteristics or other variables. The relationship may or may not be causal.
Audit	See 'Clinical audit'.
Baseline	The initial set of measurements at the beginning of a study (after run-in period where applicable), with which subsequent results are compared.
Bias	Systematic (as opposed to random) deviation of the results of a study from the 'true' results that is caused by the way the study is designed or conducted.
Blinding (masking)	Keeping the study participants, caregivers, researchers and outcome assessors unaware about the interventions to which the participants have been allocated in a study
Capital costs	Costs of purchasing major capital assets (usually land, buildings or equipment). Capital costs represent investments at one point in time.
Carer (caregiver)	Someone other than a health professional who is involved in caring for a person with a medical condition.
Case-control study	Comparative observational study in which the investigator selects individuals who have experienced an event (For example, developed a disease) and others who have not (controls), and then collects data to determine previous exposure to a possible cause.
Case series	Report of a number of cases of a given disease, usually covering the course of the disease and the response to treatment. There is no comparison (control) group of patients.

Clinical audit	A quality improvement process that seeks to improve patient care and outcomes through systematic review of care against explicit criteria and the implementation of change.
Clinical efficacy	The extent to which an intervention is active when studied under controlled research conditions.
Clinical effectiveness	The extent to which an intervention produces an overall health benefit in routine clinical practice.
Clinical impact	The effect that a guideline recommendation is likely to have on the treatment or treatment outcomes, of the target population.
Clinical question	In guideline development, this term refers to the questions about treatment and care that are formulated to guide the development of evidence-based recommendations.
Clinician	A healthcare professional providing direct patient care, for example doctor, nurse or physiotherapist.
Cluster	A closely grouped series of events or cases of a disease or other related health phenomena with well-defined distribution patterns, in relation to time or place or both. Alternatively, a grouped unit for randomisation.
Cochrane Library	A regularly updated electronic collection of evidence-based medicine databases, including the Cochrane Database of Systematic Reviews.
Cochrane Review	A systematic review of the evidence from randomised controlled trials relating to a particular health problem or healthcare intervention, produced by the Cochrane Collaboration. Available electronically as part of the Cochrane Library.
Cohort study	A retrospective or prospective follow-up study. Groups of individuals to be followed up are defined on the basis of presence or absence of exposure to a suspected risk factor or intervention. A cohort study can be comparative, in which case two or more groups are selected on the basis of differences in their exposure to the agent of interest.
Co-morbidity	Co-existence of more than one disease or an additional disease (other than that being studied or treated) in an individual.
Comparability	Similarity of the groups in characteristics likely to affect the study results (such as health status or age).
Compliance	The extent to which a person adheres to the health advice agreed with healthcare professionals. May also be referred to as 'adherence' or 'concordance'.
Conference proceedings	Compilation of papers presented at a conference.
Confidence interval (CI)	A range of values for an unknown population parameter with a stated 'confidence' (conventionally 95%) that it contains the true value. The interval is calculated from sample data, and generally straddles the sample estimate. The 'confidence' value means that if the method used to calculate the interval is repeated many times, then that proportion of intervals will actually contain the true value.
Confounding	In a study, confounding occurs when the effect of an intervention on an outcome is distorted as a result of an association between the population or intervention or outcome and another factor (the 'confounding variable') that can influence the outcome independently of the intervention under study.
Consensus methods	Techniques that aim to reach an agreement on a particular issue. Formal consensus methods include Delphi and nominal group techniques, and consensus development conferences. In the development of clinical guidelines, consensus methods may be used where there is a lack of strong research evidence on a particular topic. Expert consensus methods will aim to reach agreement between experts in a particular field.
Control group	A group of patients recruited into a study that receives no treatment, a treatment of known effect, or a placebo (dummy treatment) - in order to provide a comparison for a group receiving an experimental treatment, such as a new drug.
Controlled clinical trial (CCT)	A study testing a specific drug or other treatment involving two (or more) groups of patients with the same disease. One (the experimental group) receives the treatment that is being tested, and the other (the comparison or control group) receives an

alternative treatment, a placebo (dummy treatment) or no treatment. The two groups are followed up to compare differences in outcomes to see how effective the experimental treatment was. A CCT where patients are randomly allocated to treatment and comparison groups is called a randomised controlled trial. Cost benefit analysis A type of economic evaluation where both costs and benefits of healthcare treatment are measured in the same monetary units. If benefits exceed costs, the evaluation would recommend providing the treatment. **Cost-consequences** A type of economic evaluation where various health outcomes are reported in analysis (CCA) addition to cost for each intervention, but there is no overall measure of health gain. **Cost-effectiveness** An economic study design in which consequences of different interventions are analysis (CEA) measured using a single outcome, usually in 'natural' units (For example, life-years gained, deaths avoided, heart attacks avoided, cases detected). Alternative interventions are then compared in terms of cost per unit of effectiveness. Cost-effectiveness model An explicit mathematical framework, which is used to represent clinical decision problems and incorporate evidence from a variety of sources in order to estimate the costs and health outcomes. **Cost-utility analysis** A form of cost-effectiveness analysis in which the units of effectiveness are quality-(CUA) adjusted life-years (QALYs). **Continuous passive** Where a joint is moved continuously, either by another person bending it or by a motion machine. Credible interval The Bayesian equivalent of a confidence interval. **Decision analysis** A systematic way of reaching decisions, based on evidence from research. This evidence is translated into probabilities, and then into diagrams or decision trees which direct the clinician through a succession of possible scenarios, actions and outcomes. **Decision analytic** A way of reaching decisions, based on evidence from research. This evidence is techniques translated into probabilities, and then into diagrams or decision trees that direct the clinician through a succession of possible scenarios, actions and outcomes. **Decision problem** A clear specification of the interventions, patient populations and outcome measures and perspective adopted in an evaluation, with an explicit justification, relating these to the decision which the analysis is to inform. **Deep-vein thrombosis** Venous thrombosis that occurs in the "deep veins" in the legs, thighs, or pelvis. (DVT) Discounting Costs and perhaps benefits incurred today have a higher value than costs and benefits occurring in the future. Discounting health benefits reflects individual preference for benefits to be experienced in the present rather than the future. Discounting costs reflects individual preference for costs to be experienced in the future rather than the present. Distal Refers to a part of the body that is farther away from the centre of the body than another part. Dominance An intervention is said to be dominated if there is an alternative intervention that is both less costly and more effective. Dosage The prescribed amount of a drug to be taken, including the size and timing of the doses. **Double blind study** A study in which neither the subject (patient) nor the observer (investigator/clinician) is aware of which treatment nor intervention the subject is receiving. The purpose of blinding is to protect against bias. DVT See 'Deep-vein thrombosis'. Drop-out A participant who withdraws from a clinical trial before the end.

Economic evaluation	Comparative analysis of alternative health strategies (interventions or programmes) in terms of both their costs and consequences.
Effect (as in effect measure, treatment effect, estimate of effect, effect size)	The observed association between interventions and outcomes or a statistic to summarise the strength of the observed association.
Effectiveness	See 'Clinical effectiveness'.
Efficacy	See 'Clinical efficacy'.
Elective	Name for clinical procedures that are regarded as advantageous to the patient but not urgent.
Electrical stimulation	Designed to increase venous blood flow velocity out of the leg to reduce the incidence of post-surgical venous thrombosis.
Epidemiological study	The study of a disease within a population, defining its incidence and prevalence and examining the roles of external influences (For example, infection, diet) and interventions.
Equity	Fair distribution of resources or benefits.
Evidence	Information on which a decision or guidance is based. Evidence is obtained from a range of sources including randomised controlled trials, observational studies, expert opinion (of clinical professionals and/or patients).
Evidence table	A table summarising the results of a collection of studies which, taken together, represent the evidence supporting a particular recommendation or series of recommendations in a guideline.
Exclusion criteria (literature review)	Explicit standards used to decide which studies should be excluded from consideration as potential sources of evidence.
(incluiere review)	
Exclusion criteria	Criteria that define who is not eligible to participate in a clinical study.
Exclusion criteria	
Exclusion criteria (clinical study)	Criteria that define who is not eligible to participate in a clinical study.
Exclusion criteria (clinical study) Expert consensus	Criteria that define who is not eligible to participate in a clinical study. See 'Consensus methods'. If Option A is both more clinically effective than Option B and has a lower cost per unit of effect, when both are compared with a do-nothing alternative then Option A is said to have extended dominance over Option B. Option A is therefore more
Exclusion criteria (clinical study) Expert consensus Extended dominance	Criteria that define who is not eligible to participate in a clinical study. See 'Consensus methods'. If Option A is both more clinically effective than Option B and has a lower cost per unit of effect, when both are compared with a do-nothing alternative then Option A is said to have extended dominance over Option B. Option A is therefore more efficient and should be preferred, other things remaining equal. In data analysis, predicting the value of a parameter outside the range of observed
Exclusion criteria (clinical study) Expert consensus Extended dominance Extrapolation	Criteria that define who is not eligible to participate in a clinical study. See 'Consensus methods'. If Option A is both more clinically effective than Option B and has a lower cost per unit of effect, when both are compared with a do-nothing alternative then Option A is said to have extended dominance over Option B. Option A is therefore more efficient and should be preferred, other things remaining equal. In data analysis, predicting the value of a parameter outside the range of observed values. Observation over a period of time of an individual, group or initially defined population whose appropriate characteristics have been assessed in order to observe
Exclusion criteria (clinical study) Expert consensus Extended dominance Extrapolation Follow up	Criteria that define who is not eligible to participate in a clinical study. See 'Consensus methods'. If Option A is both more clinically effective than Option B and has a lower cost per unit of effect, when both are compared with a do-nothing alternative then Option A is said to have extended dominance over Option B. Option A is therefore more efficient and should be preferred, other things remaining equal. In data analysis, predicting the value of a parameter outside the range of observed values. Observation over a period of time of an individual, group or initially defined population whose appropriate characteristics have been assessed in order to observe changes in health status or health-related variables. The foot impulse device is designed to stimulate the leg veins (venous pump) artificially by compressing the venous plexus and mimicking normal walking and
Exclusion criteria (clinical study) Expert consensus Extended dominance Extrapolation Follow up Foot impulse device (FID)	Criteria that define who is not eligible to participate in a clinical study. See 'Consensus methods'. If Option A is both more clinically effective than Option B and has a lower cost per unit of effect, when both are compared with a do-nothing alternative then Option A is said to have extended dominance over Option B. Option A is therefore more efficient and should be preferred, other things remaining equal. In data analysis, predicting the value of a parameter outside the range of observed values. Observation over a period of time of an individual, group or initially defined population whose appropriate characteristics have been assessed in order to observe changes in health status or health-related variables. The foot impulse device is designed to stimulate the leg veins (venous pump) artificially by compressing the venous plexus and mimicking normal walking and reducing stasis in immobilised patients. The extent to which the results of a study based on measurement in a particular patient population and/or a specific context hold true for another population and/or in a different context. In this instance, this is the degree to which the guideline recommendation is applicable across both geographical and contextual settings. For instance, guidelines that suggest substituting one form of labour for another should

Graduated compression stockings	Sometimes known as anti-embolism stockings. Stockings manufactured to provide compression around legs at gradually increasing pressures. There are two different standards for graduated compression stockings, the British Standard and the European Standard (see Table 5).
Grey literature	Reports that are unpublished or have limited distribution, and are not included in the common bibliographic retrieval systems.
Harms	Adverse effects of an intervention.
Health economics	The study of the allocation of scarce resources among alternative healthcare treatments. Health economists are concerned with both increasing the average level of health in the population and improving the distribution of health.
Health-related quality of life	A combination of an individual's physical, mental and social well-being; not merely the absence of disease.
Heparin-induced thrombocytopenia	Low blood platelet count resulting from the administration of heparin (or heparin-like agents). Despite having a low platelet count, patients with this condition are at high risk of their blood clotting.
Heterogeneity	Or lack of homogeneity. The term is used in meta-analyses and systematic reviews when the results or estimates of effects of treatment from separate studies seem to be very different – in terms of the size of treatment effects or even to the extent that some indicate beneficial and others suggest adverse treatment effects. Such results may occur as a result of differences between studies in terms of the patient populations, outcome measures, definition of variables or duration of follow-up.
HIT	See 'Heparin-induced thrombocytopenia'.
Homogeneity	This means that the results of studies included in a systematic review or meta-analysis are similar and there is no evidence of heterogeneity. Results are usually regarded as homogeneous when differences between studies could reasonably be expected to occur by chance.
Hypothesis	A supposition made as a starting point for further investigation.
Hypothesis Inclusion criteria (literature review)	A supposition made as a starting point for further investigation. Explicit criteria used to decide which studies should be considered as potential sources of evidence.
Inclusion criteria	Explicit criteria used to decide which studies should be considered as potential
Inclusion criteria (literature review)	Explicit criteria used to decide which studies should be considered as potential sources of evidence. The analysis of additional costs and additional clinical outcomes with different
Inclusion criteria (literature review) Incremental analysis	Explicit criteria used to decide which studies should be considered as potential sources of evidence. The analysis of additional costs and additional clinical outcomes with different interventions. The mean cost per patient associated with an intervention minus the mean cost per
Inclusion criteria (literature review) Incremental analysis Incremental cost Incremental cost	<ul> <li>Explicit criteria used to decide which studies should be considered as potential sources of evidence.</li> <li>The analysis of additional costs and additional clinical outcomes with different interventions.</li> <li>The mean cost per patient associated with an intervention minus the mean cost per patient associated with a comparator intervention</li> <li>The difference in the mean costs in the population of interest divided by the</li> </ul>
Inclusion criteria (literature review) Incremental analysis Incremental cost Incremental cost effectiveness ratio (ICER) Incremental net benefit	<ul> <li>Explicit criteria used to decide which studies should be considered as potential sources of evidence.</li> <li>The analysis of additional costs and additional clinical outcomes with different interventions.</li> <li>The mean cost per patient associated with an intervention minus the mean cost per patient associated with a comparator intervention</li> <li>The difference in the mean costs in the population of interest divided by the differences in the mean outcomes in the population of interest.</li> <li>The value (usually in monetary terms) of an intervention net of its cost compared with a comparator intervention. The INB can be calculated for a given cost-effectiveness (willingness to pay) threshold. If the threshold is £20,000 per QALY gained then the</li> </ul>
Inclusion criteria (literature review) Incremental analysis Incremental cost Incremental cost effectiveness ratio (ICER) Incremental net benefit (INB)	<ul> <li>Explicit criteria used to decide which studies should be considered as potential sources of evidence.</li> <li>The analysis of additional costs and additional clinical outcomes with different interventions.</li> <li>The mean cost per patient associated with an intervention minus the mean cost per patient associated with a comparator intervention</li> <li>The difference in the mean costs in the population of interest divided by the differences in the mean outcomes in the population of interest.</li> <li>The value (usually in monetary terms) of an intervention net of its cost compared with a comparator intervention. The INB can be calculated for a given cost-effectiveness (willingness to pay) threshold. If the threshold is £20,000 per QALY gained then the INB is calculated as: (£20,000 x QALYs gained) – Incremental cost</li> <li>In epidemiology and related sciences, this word usually means a rating scale, for example, a set of numbers derived from a series of observations of specified variables. Examples include the various health status indices, and scoring systems for</li> </ul>
Inclusion criteria (literature review) Incremental analysis Incremental cost Incremental cost effectiveness ratio (ICER) Incremental net benefit (INB) Index	<ul> <li>Explicit criteria used to decide which studies should be considered as potential sources of evidence.</li> <li>The analysis of additional costs and additional clinical outcomes with different interventions.</li> <li>The mean cost per patient associated with an intervention minus the mean cost per patient associated with a comparator intervention</li> <li>The difference in the mean costs in the population of interest divided by the differences in the mean outcomes in the population of interest.</li> <li>The value (usually in monetary terms) of an intervention net of its cost compared with a comparator intervention. The INB can be calculated for a given cost-effectiveness (willingness to pay) threshold. If the threshold is £20,000 per QALY gained then the INB is calculated as: (£20,000 x QALYs gained) – Incremental cost</li> <li>In epidemiology and related sciences, this word usually means a rating scale, for example, a set of numbers derived from a series of observations of specified variables. Examples include the various health status indices, and scoring systems for severity or stage of cancer.</li> <li>The defined use of a technology as licensed by the Medicines and Healthcare</li> </ul>

#### VENOUS THROMBOEMBOLISM: REDUCING THE RISK IN SURGICAL INPATIENTS

assessed within the context of a clinical study: for example, blood pressure reduction is related to the risk of a stroke.

- Intermittent pneumatic compression (IPC) A method of prophylaxis that comprises the use of inflatable garments wrapped around the legs, inflated by a pneumatic pump. The pump provides intermittent cycles of compressed air which alternately inflates and deflates the chamber garments, enhancing venous return.
- Internal validity The degree to which the results of a study are likely to approximate the 'truth' for the participants recruited in a study (that is, are the results free of bias?). It refers to the integrity of the design and is a prerequisite for applicability (external validity) of a study's findings. See 'External validity'.
- Intervention Healthcare action intended to benefit the patient, for example, drug treatment, surgical procedure, psychological therapy.
- Intraoperative The period of time during a surgical procedure.
- Length of stay The total number of days a participant stays in hospital.
- Licence See 'Product licence'.

Life year A measure of health outcome which shows the number of years of remaining life expectancy.

Life-years gained Average years of life gained per person as a result of the intervention.

Mechanical Physical (as opposed to chemical) agent used, in this context, to reduce likelihood of thrombosis.

Medical devices All products, except medicines, used in healthcare for the diagnosis, prevention, monitoring or treatment of illness or handicap.

Medicines andThe Executive Agency of the Department of Health protecting and promoting publicHealthcare Productshealth and patient safety by ensuring that medicines, healthcare products and<br/>medical equipment meet appropriate standards of safety, quality, performance and<br/>effectiveness, and are used safely.

Meta-analysis A statistical technique for combining (pooling) the results of a number of studies that address the same question and report on the same outcomes to produce a summary result. The aim is to derive more precise and clear information from a large data pool. It is generally more reliably likely to confirm or refute a hypothesis than the individual trials.

 
 Multivariate model
 A statistical model for analysis of the relationship between two or more predictor (independent) variables and the outcome (dependent) variable.

Narrative summary Summary of findings given as a written description.

Number needed to treatThe number of patients that who on average must be treated to prevent a single<br/>occurrence of the outcome of interest.

**Observational study** Retrospective or prospective study in which the investigator observes the natural course of events with or without control groups; for example, cohort studies and case-control studies.

Odds ratio A measure of treatment effectiveness. The odds of an event happening in the treatment group, expressed as a proportion of the odds of it happening in the control group. The 'odds' is the ratio of events to non-events.

- Off-label A drug or device used treat a condition or disease for which it is not specifically licensed.
- Older people People over the age of 65 years.

**Operating costs** Ongoing costs of carrying out an intervention, excluding capital costs.

**Opportunity cost** The opportunity cost of investing in a healthcare intervention is the loss of other

	healthcare programmes that are displaced by its introduction. This may be best measured by the health benefits that could have been achieved had the money been spent on the next best alternative healthcare intervention.
Outcome	Measure of the possible results that may stem from exposure to a preventive or therapeutic intervention. Outcome measures may be intermediate endpoints or they can be final endpoints. See 'Intermediate outcome'.
P values	The probability that an observed difference could have occurred by chance, assuming that there is in fact no underlying difference between the means of the observations. If the probability is less than 1 in 20, the P value is less than 0.05; a result with a P value of less than 0.05 is conventionally considered to be 'statistically significant'.
PE	See 'Pulmonary embolism'.
Peer review	A process where research is scrutinised by experts that have not been involved in the design or execution of the studies.
Perioperative	The period from admission through surgery until discharge, encompassing pre- operative and post-operative periods.
Placebo	An inactive and physically identical medication or procedure used as a comparator in controlled clinical trials.
Placebo effect	A beneficial (or adverse) effect produced by a <i>placebo</i> and not due to any property of the <i>placebo</i> itself.
Post-thrombotic (Post- phlebitic) Syndrome	Chronic pain, swelling, and occasional ulceration of the skin of the leg that occur as a consequence of previous venous thrombosis.
Postoperative	Pertaining to the period after patients leave the operating theatre, following surgery.
Preoperative	Pertaining to the period before surgery commences.
Primary care	Healthcare delivered to patients outside hospitals. Primary care covers a range of services provided by GPs, nurses and other healthcare professionals, dentists, pharmacists and opticians.
Primary research	Study generating original data rather than analysing data from existing studies (which is called secondary research).
Product licence	An authorisation from the MHRA to market a medicinal product.
Prognosis	A probable course or outcome of a disease. Prognostic factors are patient or disease characteristics that influence the course. Good prognosis is associated with low rate of undesirable outcomes; poor prognosis is associated with a high rate of undesirable outcomes.
Prophylaxis	A measure taken for the prevention of a disease.
Prospective study	A study in which people are entered into the research and then followed up over a period of time with future events recorded as they happen. This contrasts with studies that are <i>retrospective</i> .
Proximal	Refers to a part of the body that is closer to the centre of the body than another part.
Pulmonary embolism (PE)	A blood clot that breaks off from the deep veins and travels round the circulation to block the pulmonary arteries (arteries in the lung). Most deaths arising from DVT are caused by PE.
Qualitative research	Research concerned with subjective outcomes relating to social, emotional and experiential phenomena in health and social care.
Quality of life	See 'Health-related quality of life'.
Quality-adjusted life-	An index of survival that is adjusted to account for the patient's quality of life during this time. QALYs have the advantage of incorporating changes in both quantity

year (QALY)	(longevity/mortality) and quality (morbidity, psychological, functional, social and other factors) of life. Used to measure benefits in cost-utility analysis. The QALYs gained are the mean QALYs associated with one treatment minus the mean QALYs associated with an alternative treatment.	
Quantitative research	Research that generates numerical data or data that can be converted into numbers, for example clinical trials or the national Census which counts people and households.	
Quick Reference Guide	An abridged version of NICE guidance, which presents the key priorities for implementation and summarises the recommendations for the core clinical audience.	
Randomisation	Allocation of participants in a research study to two or more alternative groups using a chance procedure, such as computer-generated random numbers. This approach is used in an attempt to ensure there is an even distribution of participants with different characteristics between groups and thus reduce sources of bias.	
Randomised controlled trial (RCT)	A comparative study in which participants are randomly allocated to intervention and control groups and followed up to examine differences in outcomes between the groups.	
RCT	See 'Randomised controlled trial'.	
Relative risk (RR)	The number of times more likely or less likely an event is to happen in one group compared with another (calculated as the risk of the event in group A/the risk of the event in group B).	
Remit	The brief given by the Department of Health and Welsh Assembly Government at the beginning of the guideline development process. This defines core areas of care that the guideline needs to address.	
Resource implication	The likely impact in terms of finance, workforce or other NHS resources.	
Retrospective study	A retrospective study deals with the present/ past and does not involve studying future events. This contrasts with studies that are <i>prospective</i> .	
Review of the literature	An article that summarises the evidence contained in a number of different individual studies and draws conclusions about their findings. It may or may not be systematically researched and developed.	
Secondary benefits	Benefits resulting from a treatment in addition to the primary, intended outcome.	
Selection bias (also allocation bias)	A systematic bias in selecting participants for study groups, so that the groups have differences in prognosis and/or therapeutic sensitivities at baseline. Randomisation (with concealed allocation) of patients protects against this bias.	
Selection criteria	Explicit standards used by guideline development groups to decide which studies should be included and excluded from consideration as potential sources of evidence.	
Sensitivity (of a search)	The proportion of relevant studies identified by a search strategy expressed as a percentage of all relevant studies on a given topic. It describes the comprehensiveness of a search method (that is, its ability to identify all relevant studies on a given topic). Highly sensitive strategies tend to have low levels of specificity and vice versa.	
Sensitivity analysis	A means of representing uncertainty in the results of economic evaluations. Uncertainty may arise from missing data, imprecise estimates or methodological controversy. Sensitivity analysis also allows for exploring the generalisability of results to other settings. The analysis is repeated using different assumptions to examine the effect on the results. One-way simple sensitivity analysis (univariate analysis): each parameter is varied individually in order to isolate the consequences of each parameter on the results of the study. Multi-way simple sensitivity analysis (scenario analysis): two or more parameters are varied at the same time and the overall effect on the results is evaluated. Threshold sensitivity analysis: the critical value of parameters above or below which the conclusions of the study will change are identified. Probabilistic sensitivity analysis: probability distributions are assigned to the uncertain parameters and are incorporated into evaluation models based on decision analytical techniques (For example, Monte Carlo simulation).	

#### VENOUS THROMBOEMBOLISM: REDUCING THE RISK IN SURGICAL INPATIENTS

Stakeholder	Those with an interest in the use of a technology under appraisal or a guideline under development. Stakeholders include manufacturers, sponsors, healthcare professionals, and patient and carer groups.	
Statistical power	The ability to demonstrate an association when one exists. Power is related to sample size; the larger the sample size, the greater the power and the lower the risk that a possible association could be missed.	
Synthesis of evidence	A generic term to describe methods used for summarising (comparing and contrasting) evidence into a clinically meaningful conclusion in order to answer a defined clinical question. This can include systematic review (with or without meta-analysis), qualitative and narrative summaries.	
Systematic review	Research that summarises the evidence on a clearly formulated question according to a pre-defined protocol using systematic and explicit methods to identify, select and appraise relevant studies, and to extract, collate and report their findings. It may or may not use statistical meta-analysis.	
Thrombophilia	The genetic or acquired prothrombotic states that increase the tendency to venous thromboembolism. It is a condition which leads to a tendency for a person's blood to clot inappropriately.	
Thromboprophylaxis	A measure taken to reduce the risk of thrombosis.	
Thromboprophylaxis Time horizon	A measure taken to reduce the risk of thrombosis. The time span used in the NICE appraisal which reflects the period over which the main differences between interventions in health effects and use of healthcare resources are expected to be experienced, and taking into account the limitations of supportive evidence.	
	The time span used in the NICE appraisal which reflects the period over which the main differences between interventions in health effects and use of healthcare resources are expected to be experienced, and taking into account the limitations of	
Time horizon	The time span used in the NICE appraisal which reflects the period over which the main differences between interventions in health effects and use of healthcare resources are expected to be experienced, and taking into account the limitations of supportive evidence.	
Time horizon Treatment allocation	The time span used in the NICE appraisal which reflects the period over which the main differences between interventions in health effects and use of healthcare resources are expected to be experienced, and taking into account the limitations of supportive evidence. Assigning a participant to a particular arm of the trial.	
Time horizon Treatment allocation Treatment options	The time span used in the NICE appraisal which reflects the period over which the main differences between interventions in health effects and use of healthcare resources are expected to be experienced, and taking into account the limitations of supportive evidence. Assigning a participant to a particular arm of the trial. The choices of intervention available. A measure of the strength of an individual's preference for a specific health state in relation to alternative health states. The utility scale assigns numerical values on a scale from 0 (death) to 1 (optimal or 'perfect' health). Health states can be	

### 1 Introduction

#### 1.1 The need for this guideline

Venous thromboembolism (VTE) is the forming of a blood clot in a vein (venous thrombosis) which may dislodge from its site of origin. Each year there are a total of 25,000 deaths due to venous thromboembolism in England<sup>246</sup> (including medical and surgical patients). Most thrombi (clots) occur in the deep veins of the legs and this is called deep vein thrombosis (DVT). Dislodged thrombi may travel to the lungs and this is called a pulmonary embolus (PE). Formation is associated with inactivity and certain surgical procedures. The risk rises with the duration of operation and period of immobility.

DVT occurs in more than 20% of patients having major surgery and more than 40% of patients having major orthopaedic surgery. It is commonly asymptomatic. However, the condition can lead to sudden death due to PE, or cause long-term morbidity due to venous insufficiency and postthrombotic syndrome (PTS), potentially leading to venous ulceration. With over 130,000 total hip replacements, total knee replacements, and femoral neck fractures annually<sup>134</sup> the personal and economic costs of venous thromboembolism in patients undergoing orthopaedic and other types of surgery are significant.

We have estimated that the risk of pulmonary embolism following high-risk surgery to be up to 5% in the highest risk groups (Chapter 4).

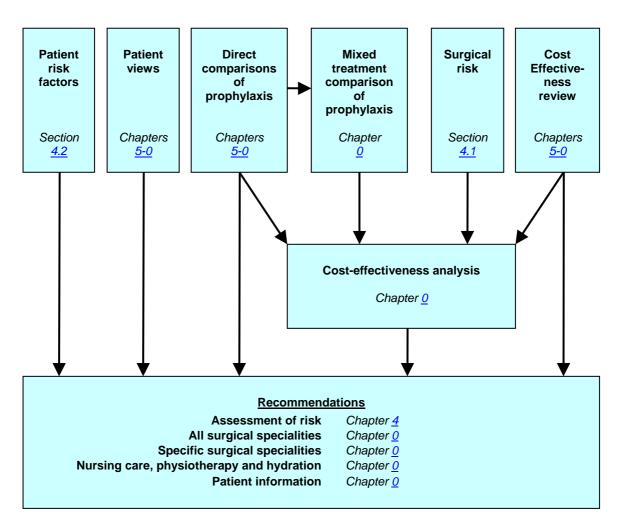
Current preventative measures available for patients undergoing surgical procedures include

mechanical/physical prophylaxis (such as graduated elastic compression stockings, foot impulse devices and intermittent pneumatic compression) and pharmaceutical prophylaxis. Wide variation of clinical practice and non observance with previous clinical guidelines<sup>85,276</sup> suggest that many patients are currently not receiving adequate prophylactic measures.

This guideline examines the risk of venous thromboembolism and assesses the evidence for the effectiveness of preventative measures. It provides recommendations on the most clinically and cost effective measures to reduce the risk of venous thromboembolism in surgical inpatients, whilst considering potential adverse effects of the various preventative options and patient preferences.

We start by examining the risk factors for developing VTE (both surgical related and patient related), followed by a detailed review of the evidence of clinical and cost effectiveness for each of the preventative methods that we are considering. We then describe the results of a combined meta-analysis that brings these studies together and allows comparisons to be made across methods. We continue by describing the results of our cost effectiveness analysis and conclude by examining how these results can be interpreted for different surgical specialties. The path from evidence to recommendations is illustrated further in the next section.





#### 1.2 Assumptions made in this guideline

This guideline recommends prophylaxis on the basis of effectiveness in reducing the risk of DVT (both symptomatic and asymptomatic), acknowledging that this is a 'surrogate' endpoint which is frequently employed in randomised controlled trials (RCTs).

There are several difficulties with considering only pulmonary embolism (PE) as an outcome. Firstly, PE is a rare event, and therefore large trials (or numbers of trials) are needed to demonstrate an effect. Secondly, few trials that report PE have made the diagnosis using objective methods (clinical diagnosis being unreliable).Thirdly, many trials that report PE as an outcome measure have also assessed all included patients for DVT. Trial protocols usually dictate that patients in whom a DVT is detected are removed from the trial and anticoagulation is given, and hence a PE may be prevented that would have occurred in the usual clinical setting.

DVT is a usual precursor of both fatal PE and postthrombotic syndrome (PTS), although the aetiology and development of the diseases have not yet been fully elucidated. Although asymptomatic DVT is, by definition, covert these thrombi can become pulmonary embolisms and are a clinically useful endpoint for a trial. We therefore consider it appropriate to evaluate both asymptomatic and symptomatic DVT when looking at the effectiveness of prophylactic strategies. Clinical detection of DVT is unreliable and also fails to detect asymptomatic events, hence we have only included trials that assess all patients for DVT using objective methods.

DVT, therefore, is accepted as a suitable endpoint by this guideline which will evaluate trials where patients are assessed for DVT.

#### 1.3 What are clinical practice guidelines?

Our clinical guidelines are recommendations for the care of individuals in specific clinical conditions or circumstances within the NHS – from prevention and self-care though primary and secondary care to more specialised services. We base our clinical guidelines on the best available research evidence, with the aim of improving the quality of health care. We use predetermined and systematic methods to identify and evaluate the evidence relating to specific clinical questions.

Clinical guidelines can:

• provide recommendations for the treatment and care of people by health professionals

• be used to develop standards to assess the clinical practice of individual health professionals

• be used in the education and training of health professionals

- help patients to make informed decisions
- improve communication between patient and health professional

While guidelines assist the practice of healthcare professionals, they do not replace their knowledge and skills.

We produce our guidelines using the following steps:

• Guideline topic is referred to NICE from the Department of Health

• Stakeholders register an interest in the guideline and are consulted throughout the development process.

- The scope is prepared by the National Collaborating Centre for Acute Care (NCC-AC)
- The National Collaborating Centre for Acute Care establish a guideline development group

• A draft guideline is produced after the group assesses the available evidence and makes recommendations

- There is a consultation on the draft guideline.
- The final guideline is produced.

The National Collaborating Centre for Acute Care and NICE produce a number of versions of this guideline:

- the full guideline contains all the recommendations, plus details of the methods used and the underpinning evidence
- the NICE guideline presents the recommendations from the full version in a format suited to implementation by health professionals and NHS bodies

• the quick reference guide presents recommendations in a suitable format for health professionals

• information for the public ('understanding NICE guidance') is written using suitable language for people without specialist medical knowledge.

This version is the full version. The other versions can be downloaded from the NCC-AC website at <u>www.rcseng.ac.uk/surgical\_research\_units/nccac/</u> or are available from NICE <u>www.NICE.org.uk</u>.

# 1.4 The National Collaborating Centre for

#### Acute Care

This guideline was commissioned by NICE and developed by the National Collaborating Centre for Acute Care. The centre is one of seven national collaborating centres funded by NICE and comprises a partnership between a variety of academic, professional and patient-based organisations. As a multidisciplinary centre we draw upon the expertise of the healthcare professions and academics and ensure the involvement of patients in our work. Further information on the centre and our partner organisations can be found at our website

(www.rcseng.ac.uk/surgical research units/nccac/).

#### 1.5 Remit of the Guideline

The following remit was received from the Department of Health and the Welsh Assembly Government in March 2003 as part of NICE's 8th wave programme of work.

"To develop safety guidance for the NHS in England and Wales on prophylaxis against venous thromboembolism (VTE) for patients undergoing orthopaedic surgery and other surgical procedures for which there is a high risk of VTE. The guidance should set out the principles of clinical and cost effective practice and in particular should address:

- i. the assessment of risk for particular procedures and for individual patients,
- ii. the circumstances in which prophylaxis can be recommended as clinically and cost effective, and
- iii. appropriate selection of interventions including both pharmaceutical and mechanical methods of prophylaxis."

#### 1.6 What the guideline covers

This guideline covers adults (age 18 and older) undergoing inpatient surgical procedures that carry a high risk of venous thromboembolism, including:

• orthopaedic surgery (for example, total hip or knee replacement, surgery for hip fracture)

- major general surgery
- major gynaecological surgery (but not elective or emergency caesarean)
- urological surgery (including major or open urological procedures)
- neurosurgery
- cardiothoracic surgery
- major peripheral vascular surgery.

The scope for this guideline can be found in Appendix A.

#### 1.7 What the guideline does not cover

This guideline does not cover patients under the age of 18.

Additionally this guideline does not cover adult patients who are at a high risk of developing venous thromboembolism but are <u>not</u> undergoing surgery. For example the following circumstances and patients are excluded from the guideline (unless patients are undergoing one of the surgical procedures listed above):

- patients with acute myocardial infarction
- patients who have had an acute stroke
- patients with cancer, including those being treated with chemotherapy
- pregnancy and the puerperium
- use of oral contraceptives and hormone replacement therapy
- long-distance travel.

#### 1.8 Who developed this guideline?

A multidisciplinary Guideline Development Group (GDG) comprising professional group members and consumer representatives of the main stakeholders developed this guideline (see section on Guideline Development Group Membership and acknowledgements).

The National Institute for Health and Clinical Excellence funds the National Collaborating Centre for Acute Care (NCC-AC) and thus supported the development of this guideline. The GDG was convened by the NCC-AC and chaired by Professor Tom Treasure in accordance with guidance from the National Institute for Health and Clinical Excellence (NICE).

The group met every 6-8 weeks during the development of the guideline. At the start of the guideline development process all GDG members declared interests including consultancies, fee-paid work, share-holdings, fellowships and support from the healthcare industry. At all subsequent GDG meetings, members declared arising conflicts of interest, which were also recorded (Appendix B). Members are either required to withdraw completely or for part of the discussion if their declared interest makes it appropriate, however this was not deemed necessary for any group members on this guideline.

Staff from the NCC-AC provided methodological support and guidance for the development process. They undertook systematic searches, retrieval and appraisal of the evidence and drafted the guideline. The glossary to the guideline contains definitions of terms used by staff and the GDG.

## 2 Summary of Recommendations

Below are the recommendations that the GDG selected as the key priorities for implementation followed by the full list of recommendations.

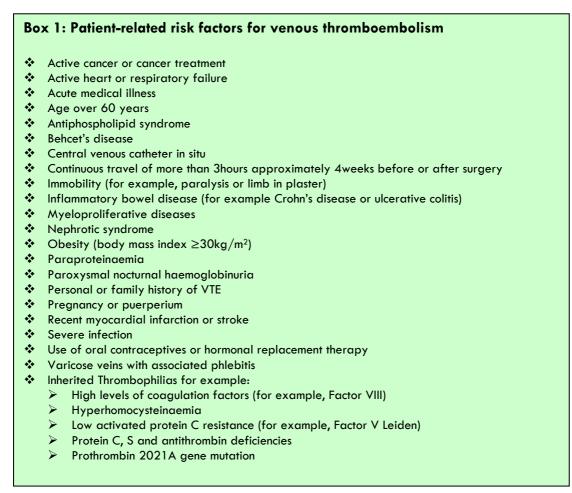
#### 2.1 Key Priorities for Implementation

- Patients should be assessed to identify their risk factors for developing VTE (see box 1, section 2.2.1).
- Healthcare professionals should give patients verbal and written information, before surgery, about the risks of VTE and the effectiveness of prophylaxis.
- Inpatients having surgery should be offered thigh-length graduated compression/antiembolism stockings from the time of admission to hospital unless contraindicated (for example, in patients with established peripheral arterial disease or diabetic neuropathy). If thigh-length stockings are inappropriate for a particular patient for reasons of compliance or fit, kneelength stockings may be used as a suitable alternative.
- The stocking compression profile should be equivalent to the Sigel profile, and approximately 18 mmHg at the ankle, 14 mmHg at the mid-calf and 8 mmHg at the upper thigh.
- Patients using graduated compression/antiembolism stockings should be shown how to wear them correctly by healthcare professionals trained in the use of that product. Stocking use should be monitored and assistance provided if they are not being worn correctly.

- Intermittent pneumatic compression or foot impulse devices may be used as alternatives or in addition to graduated compression/antiembolism stockings while surgical patients are in hospital.
- In addition to mechanical prophylaxis, patients at increased risk of VTE because they have individual risk factors (see box 1) and patients having orthopaedic surgery should be offered low molecular weight heparin (LMWH).
   Fondaparinux, within its licensed indications, may be used as an alternative to LMWH.
- Low molecular weight heparin or Fondaparinux should be continued for 4 weeks after hip fracture surgery.
- Regional anaesthesia reduces the risk of VTE compared with general anaesthesia. Its suitability for an individual patient and procedure should be considered, along with the patient's preferences, in addition to any other planned method of thromboprophylaxis.
- Healthcare professionals should encourage patients to mobilise as soon as possible after surgery.

#### 2.2 The complete list of clinical practice recommendations

#### 2.2.1 Assessment of risk and patient advice



- Patients should be assessed to identify their risk factors for developing venous thromboembolism (VTE; see Box 1)
- Healthcare professionals should give patients verbal and written information, before surgery, about the risks of VTE and the effectiveness of prophylaxis.
- Healthcare professionals should inform patients that the immobility associated with continuous travel of more than 3 hours in the 4 weeks before or after surgery may increase the risk of VTE.
- Healthcare professionals should advise patients to consider stopping combined oral contraceptive use 4 weeks before elective surgery [see Royal College of Obstetricians and Gynaecologists guideline no. 40]<sup>450</sup>.

- Healthcare professionals should give patients verbal and written information on the following, as part of their discharge plan.
  - $\circ$   $\;$  The signs and symptoms of DVT and PE.
  - The correct use of prophylaxis at home.
  - The implications of not using the prophylaxis correctly.

### 2.2.2 Reducing the risk of venous thromboembolism in all surgical specialities

 Inpatients having surgery should be offered thighlength graduated compression/anti-embolism stockings from the time of admission to hospital unless contraindicated (for example, in patients with established peripheral arterial disease or diabetic neuropathy). If thigh length stockings are inappropriate for a particular patient for reasons of compliance or fit, knee-length stockings may be used as a suitable alternative.

- The stocking compression profile should be equivalent to the Sigel profile, and approximately 18 mmHg at the ankle, 14 mmHg at the mid-calf and 8 mmHg at the upper thigh.
- In addition to mechanical prophylaxis, patients at increased risk of VTE because they have individual risk factors (see box 1) and patients having orthopaedic surgery should be offered low molecular weight heparin (LMWH). Fondaparinux, within its licensed indications, may be used as an alternative to LMWH.
- Healthcare professionals should encourage patients to wear their graduated compression/anti-embolism stockings until they return to their usual level of mobility. Patients should be informed that this will reduce their risk of developing VTE.
- Patients using graduated compression/anti-embolism stockings should be shown how to wear them correctly by healthcare professionals trained in the use of that product. Stocking use should be monitored and assistance provided if they are not being worn correctly.
- Intermittent pneumatic compression or foot impulse devices may be used as alternatives or in addition to graduated compression/anti-embolism stockings while surgical patients are in hospital.
- When used on the ward, intermittent pneumatic compression or foot impulse devices should be used for as much of the time as is possible and practical while the patient is in bed or sitting in a chair.
- Vena caval filters should be considered for surgical inpatients with recent (within 1 month) or existing VTE and in whom anticoagulation is contraindicated.
- The risks and benefits of stopping pre-existing established anticoagulation or antiplatelet therapy before surgery should be considered.
- Regional anaesthesia reduces the risk of VTE compared with general anaesthesia. Its suitability for an individual patient and procedure should be considered, along with the patient's preferences, in addition to any other planned method of thromboprophylaxis.
- If a regional anaesthetic technique is used, the timing of pharmacological prophylaxis should be carefully planned to minimise the risk of haematoma.
- Healthcare professionals should not allow patients having surgery to become dehydrated during their stay in hospital.

- Healthcare professionals should encourage patients to mobilise as soon as possible after surgery.
- Healthcare professionals should arrange for immobilised patients to have leg exercises.

#### 2.2.3 Reducing the risk of venous

#### thromboembolism by type of surgery

There may be other surgical procedures requiring an inpatient stay that are not covered in this guideline. Healthcare professionals should exercise their clinical judgement when making decisions on the appropriateness of VTE prophylaxis.

Please see the summary of product characteristics for details on the timing and administration of pharmacological prophylaxis.

#### 2.2.3.1 Orthopaedic Surgery (spinal surgery

#### considered with neurosurgery)

Elective orthopaedic surgery

- Patients having elective orthopaedic surgery should be offered mechanical prophylaxis and either LMWH or fondaparinux.
- Patients having hip replacement surgery with one or more risk factors for VTE (see box 1) should have their LMWH or fondaparinux therapy continued for 4 weeks after surgery.

#### Hip fracture surgery

- Patients having surgery for hip fracture should be offered mechanical prophylaxis and either LMWH or fondaparinux.
- LMWH or Fondaparinux therapy should be continued for 4 weeks after hip fracture surgery.

#### 2.2.3.2 General surgery recommendations

- Patients having general surgery should be offered mechanical prophylaxis.
- Patients having general surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and either LMWH or fondaparinux.

#### 2.2.3.3 Gynaecological surgery

#### recommendations (excluding caesarean

#### section)

- Patients having gynaecological surgery should be offered mechanical prophylaxis.
- Patients having gynaecological surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LWMH.

#### 2.2.3.4 Cardiac surgery recommendations

- Patients having cardiac surgery should be offered mechanical prophylaxis.
- Patients having cardiac surgery who are not otherwise receiving anticoagulation therapy and who have one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

#### 2.2.3.5 Thoracic surgery recommendations

- Patients having thoracic surgery should be offered mechanical prophylaxis.
- Patients having thoracic surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

#### 2.2.3.6 Urological surgery recommendations

- Patients having urological surgery should be offered mechanical prophylaxis.
- Patients having urological surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

### 2.2.3.7 Neurosurgery (including spinal surgery)

#### recommendations

- Patients having neurosurgery should be offered mechanical prophylaxis.
- Patients having neurosurgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.
- Patients with ruptured cranial or spinal vascular malformations (for example, brain aneurysms) should not be offered pharmacological prophylaxis until the lesion has been secured.

#### 2.2.3.8 Vascular surgery recommendations

- Patients having vascular surgery should be offered mechanical prophylaxis.
- Patients having vascular surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

#### 2.3 Recommendations summary table

Surgical speciality (Excludes day case surgery)	No patient related risk factors	One or more patient related risk factor
Elective Hip Replacement	Mechanical + LMWH/ Fond	Mechanical + LMWH/ Fond continued for 4 weeks
Hip Fracture	Mechanical + LMWH/ Fond continued for 4 weeks	Mechanical + LMWH/ Fond continued for 4 weeks
Other Orthopaedic	Mechanical + LMWH/ Fond	Mechanical + LMWH/ Fond
General	Mechanical	Mechanical + LMWH/ Fond
Gynaecological	Mechanical	Mechanical + LMWH
Cardiac	Mechanical	Mechanical + LMWH *
Thoracic	Mechanical	Mechanical + LMWH
Urological	Mechanical	Mechanical + LMWH
Neurosurgery	Mechanical	Mechanical + LMWH**
Vascular	Mechanical	Mechanical + LMWH

Fond= fondaparinux, LMWH= low molecular weight heparin, \*= if not otherwise anticoagulated, \*\* except patients with ruptured cranial or spinal vascular malformations if the lesion has not been secured, Mechanical = graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices.

#### 2.4 Recommendations for research

The GDG identified the following priority areas for research.

### 2.4.1 Incidence of clinical DVT, confirmed PE, major bleeding, and other postoperative adverse outcomes in modern surgical practice.

#### 2.4.1.1 Research Question

What is the relevance of surgical procedure and patient risk factors to incidence of clinical DVT, confirmed PE, major bleeding, and other postoperative adverse outcomes (e.g. myocardial infarction, stroke) in modern surgical practice?

The aim should be to recruit patients undergoing a range of surgical procedures with different levels of expected risk of VTE, ensuring coverage of the common operations currently performed in the NHS.

Baseline evaluation would aim to identify risk factors for VTE and for other adverse outcomes (e.g. bleeding and occlusive vascular events). The study would also record any in-hospital drug treatment and discharge medication. Note, however, that this would be a large observational cohort study and would <u>not</u> be appropriate for determining the effects of treatment, since moderate effects cannot be assessed reliably by such studies.

The control (reference) group will be defined, for each parameter (e.g. age) by a category of patients at low risk of VTE (e.g. age < 30).

#### 2.4.1.2 Why this research is important

The chief difficulty faced when formulating the present guideline was the absence of accurate estimates of VTE risk in the modern era. Although it was possible to estimate the relative risk reductions associated with particular interventions, it was not possible to estimate their associated absolute benefits. It is possible that the modern risks of VTE are much lower than is represented by the available trial evidence. Information on absolute risks of VTE (and other postoperative complications) needs to be obtained in order to assess cost effectiveness reliably.

Information from this study would help surgical teams to provide their patients with accurate information about the balance of benefit and risk associated with particular interventions.

This study could be performed easily if the design elements were kept simple, with one-sided forms that could be completed at discharge, and followup through mailed questionnaires and tracking of mortality via the Office of National Statistics.

# 2.4.2 Timing of administration of low molecular weight heparin

#### 2.4.2.1 Research Question

What is the effectiveness of LMWH started preoperatively compared to LMWH started postoperatively in reducing the risk of (objectively diagnosed) DVT or PE in adult patients undergoing inpatient surgical procedures?

All patients should be screened for the presence of DVT and/or PE. Secondary outcomes of interest are costs, quality of life, other adverse events (e.g. myocardial infarction, stroke, extracranial or intracranial bleeding).

#### 2.4.2.2 Why this research is important

The currently available randomised evidence is too limited to determine whether giving LMWH can be safely delayed until after surgery, or whether it must be given pre-operatively. This guideline recommends that LMWH is used for many patients at risk of VTE and is therefore non-specific about timing. This is a major gap in the evidence.

Although there may be only small differences in safety and efficacy between these two strategies, a policy of giving LMWH post-operatively may reduce the time that patients need to be in hospital before surgery and therefore have major benefits for patients.

As there is uncertainty around this question, it should be possible to find surgeons willing to randomise between these two strategies. The principal practical difficulty with this randomised trial would be the need for a very large sample size (with possibly more than 10,000 patients), because the likely differences in DVT/PE and bleeding rates are small.

# 2.4.3 The effectiveness of combining methods of mechanical prophylaxis

#### 2.4.3.1 Research Question

What is the effectiveness of graduated compression/anti-embolism stockings and either an intermittent pneumatic compression (IPC) device or a

foot pump, device compared with graduated compression/anti-embolism stockings alone, in reducing the risk of (objectively diagnosed) DVT and/or PE in adult inpatients undergoing surgery? Patients may be high risk of VTE because of the procedure (e.g. hip fracture), or because they have risk factors for such disease (e.g. thrombophilia, age over 60 years).

All patients should be screened for the presence of DVT and/or PE.

Randomisation would be stratified into two groups:

• Patients in whom pharmacological prophylaxis is contraindicated (e.g. because of an increased risk of bleeding).

• Patients in whom pharmacological prophylaxis is indicated, but the risk of VTE is very high.

Secondary outcomes would be costs, quality of life, skin problems, myocardial infarction, stroke and other adverse events e.g. bleeding,

#### 2.4.3.2 Why this research is important

Only a small number of RCTs have evaluated a combination of mechanical methods. These studies have shown promising results, but have involved small numbers of patients and the large effect sizes observed in some of these studies suggest bias.

This trial would inform the management of two specific groups of patients in whom the available treatment options are restricted.

• Patients at high risk of VTE who cannot have LMWH because they are at increased risk of bleeding.

• Patients at very high risk of VTE who can be given pharmacological prophylaxis who might benefit from combination mechanical thromboprophylaxis.

This trial would help extend the current NICE recommendations. There may be cost savings if the addition of a second mechanical method results in further risk reduction of VTE.

The proposed research is feasible but depends on the extent to which surgeons are certain about the value of combining two mechanical methods of thromboprophylaxis, because this would determine their willingness to randomise. Before any trial this issue would need to be explored in detail, possibly via a questionnaire.

## 3 Methodology

#### 3.1 Guideline methodology

The guideline was commissioned by NICE and developed in accordance with the guideline development process outlined in 'The guidelines manual' updated in April 2006<sup>389</sup>. Development prior to this stage (e.g. development of the scope, early reviewing) was carried out using the methodology outlined in the previous version of the manual (March 2005).

#### 3.2 Developing the clinical questions

Clinical questions were developed to guide the literature searching process and to facilitate the development of recommendations by the guideline development group.

The clinical questions were initially drafted by the review team and were refined and validated by the guideline development group. The questions were based on the scope (Appendix A). Further information on the outcome measures we examined follows this section.

#### 3.2.1 Questions on effectiveness of

#### interventions to reduce the risk of post-

#### operative venous thromboembolism

#### (VTE)

We considered the effectiveness of the following interventions:

- a) Graduated elastic compression stockings (GCS)
- b) Intermittent pneumatic compression (IPC) devices
- c) Foot pumps or foot impulse devices (FID)
- d) Electrical stimulation
- e) Vena caval filters
- f) Aspirin or antiplatelet therapy
- g) Low-dose unfractionated heparin administered subcutaneously (UFH)

- h) Low molecular weight heparin (LMWH)
- i) The synthetic pentasaccharide, Fondaparinux
- j) Oral anticoagulants (For example, warfarin, coumarin)
- k) Dextrans
- I) Early mobilisation
- m) Foot elevation
- n) Hydration
- o) Placebo or no intervention

The clinical question was:

• What is the effectiveness of X vs Y in reducing the incidence of VTE

(where X and Y are selected from the list of interventions above). Every possible combination was compared.

The effectiveness of combinations of methods of prophylaxis (For example, a combination of a mechanical and a pharmacological intervention or two mechanical devices) were also considered versus no prophylaxis, versus single methods or versus other combinations.

#### 3.2.2 Additional considerations on the use of

#### the above interventions

We examined more detailed aspects of the use of some of the interventions above.

- Potential variations in effectiveness by dose (for Dextrans, OAC and LMWH)
- The timing of administration of pharmacological prophylaxis (for OAC and LMWH)
- Extending pharmacological prophylaxis beyond the hospitalised period (for OAC, LMWH and fondaparinux)

• The length of compression stocking (knee length vs. over the knee)

#### 3.2.3 Anaesthesia

The questions we examined were:

- What is the effectiveness of regional anaesthesia vs general anaesthesia in reducing the incidence of postoperative VTE?
- Does adding a regional to a general anaesthetic reduce the risk of postoperative VTE?

#### 3.2.4 Risk Factors

We developed questions to address risk factors for VTE associated with surgical procedure and for individuals:

- Which surgical procedures carry a high risk of DVT/PE?
- Which individual patient factors are risk factors for developing DVT/PE?

#### 3.2.5 Patient Information and Communication

We examined the following clinical question:

• What is the effectiveness of providing patients with information on reducing the risk of postoperative VTE vs standard care in reducing the incidence of postoperative VTE

#### 3.2.6 Patient Views and Preferences

We searched for evidence of patient preferences regarding all the interventions listed above.

#### 3.3 Patient groups covered by this guideline

We searched for studies of adults (age 18 years and older) undergoing surgical procedures as inpatients, including: orthopaedic surgery; general surgery; gynaecological surgery (but not elective or emergency Caesarean); urological surgery; neurosurgery; cardiothoracic surgery; and peripheral vascular surgery. A more detailed list of patient groups that are included or excluded from the guideline can be found in the scope (Appendix A).

#### 3.4 Outcomes

#### 3.4.1 Primary Outcomes

The following primary outcomes were included:

#### 3.4.1.1 Deep-vein thrombosis (DVT)

DVT (symptomatic and asymptomatic) identified by one of the following methods:

- Radioiodine (1251) fibrinogen uptake
- Venography
- Doppler ultrasound
- Magnetic resonance imaging (MRI)

In order to detect all asymptomatic DVTs our inclusion criteria for this outcome required that **all** patients included in the study were screened using one or more of the methods outlined. Studies that only assessed patients with clinical suspicion of DVT were not included for this outcome.

The following methods of diagnosing DVT were excluded as they were considered to be unreliable (unless used in conjunction with one of the methods outlined above):

- •D-dimer blood assay test
- Impedance plethysmography
- •Clinical examination alone

#### 3.4.1.2 Proximal DVT

Proximal DVT (symptomatic and asymptomatic), defined as deep vein thrombosis involving the veins above the knee, and determined by the methods outlined for deep vein thrombosis.

#### 3.4.1.3 Pulmonary embolism (PE)

PE (asymptomatic, symptomatic or fatal), determined by one or more of the following methods

- •Pulmonary angiogram
- •Ventilation/perfusion scan (pulmonary scintigraphy)
- •CT pulmonary angiogram (CTPA)
- Autopsy

•Clinical suspicion confirmed by one of the preceding methods

The following methods of diagnosing PE were excluded as they were considered to be unreliable:

- •Chest X-ray alone
- •Clinical diagnosis alone

#### 3.4.1.4 Major bleeding events

Bleeding events were considered to be "major" on the basis of the authors' own established criteria, or if the results reported corresponded to the following definitions:

•results in death,

- decrease in haemoglobin concentration of 2g/dl or more
- •transfusion of at least 2 units of blood
- •if it was retroperitoneal, intracranial, or intraocular
- •if it resulted in a serious or life-threatening clinical event

• or if surgical or medical intervention was required.

#### 3.4.2 Secondary Outcomes

The following secondary outcomes were also included in our review where reported:

- post-thrombotic syndrome (PTS)
- heparin-induced thrombocytopenia (HIT)
- neurological events
- quality of life
- survival
- length of stay.

#### 3.4.3 Important methodological issues relating

#### to the outcomes

• Pulmonary emboli, major bleeds, spinal haematomas and heparin-induced thrombocytopenia are rare events; consequently, large numbers of patients are required to obtain an estimate of effect. • Very few trials assess all patients for pulmonary embolism using objective methods.

• Where trials assess both DVT and pulmonary embolism, protocols usually dictate that patients in whom a DVT is detected are withdrawn and started on anticoagulant therapy which may prevent further progression of the disease. This may result in an underestimation of the PE rate as many of these patients (particularly those with asymptomatic DVT) would not have been picked up in standard practice.

• The estimates of effect on proximal DVT may be susceptible to bias because a decision to report this outcome in trial publications could have been influenced by the direction or the size of the findings.

• Very few trials reported any of the secondary outcomes.

#### 3.5 Clinical literature search

The aim of the literature search was to identify relevant evidence within the published literature, in order to answer the clinical questions identified. Searches of clinical databases were performed using generic and specific filters, relevant medical subject heading terms and free-text terms. Non-English studies and abstracts were not included. Each database was searched up to 7 August 2006. Papers identified after this date were not considered. Search strategies can be found in appendix C. The following databases were included in the literature search to identify relevant journal articles:

- •The Cochrane Library up to 2006 (Issue 2)
- •Medline (Dialog Datastar) 1951-2006
- •Embase (Dialog Datastar) 1974-2006
- •Cinahl (Dialog Datastar) 1982-2006

Bibliographies of identified reports and guidelines were also checked to identify relevant literature. The Internet was searched to identify guidelines and reports. The following web sites were used to help identify these:

- Members of the Guidelines International Network's web sites (http://www.g-i-n.net/ )
- National Institute of Health and Clinical Excellence (NICE) (www.nice.org.uk)

- National electronic Library for Health (NeLH) (http://www.nelh.nhs.uk/)
- National Institutes of Health Consensus Development Program (consensus.nih.gov)
- New Zealand Guidelines Development Group (NZGG) (http://www.nzgg.org.nz/)
- Scottish Intercollegiate Guideline Network (SIGN) (www.sign.ac.uk)

Table 1.

For each clinical question the highest level of evidence was sought. Where an appropriate systematic review, meta-analysis or randomised • US National Guideline Clearing House (www.guidelines.gov)

#### 3.6 Hierarchy of clinical evidence

There are many different methods of ranking the evidence and there has been considerable debate about which system is best. We used the system, developed by the Scottish Intercollegiate Guidelines Network (SIGN), shown

controlled trial was identified, we did not search for studies of a weaker design.

# Table 1: Levels of evidence for intervention studies (reproduced with permission of the Scottish Intercollegiate Guidelines Network)

Level of evidence	Type of evidence	
1++	High-quality meta-analyses, systematic reviews of RCTs, or RCTs with a very low risk of bias	
1+	Well-conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias	
1-	Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias	
2++	High-quality systematic reviews of case–control or cohort studies High-quality case–control or cohort studies with a very low risk of confounding, bias, or chance and a high probability that the relationship is causal	
2+	Well-conducted case-control or cohort studies with a low risk of confounding, bias, or chance and a moderate probability that the relationship is causal	
2-	Case–control or cohort studies with a high risk of confounding bias, or chance and a significant risk that the relationship is not causal	
3	Non-analytic studies (For example, case reports, case series)	
4	Expert opinion, formal consensus	

## 3.7 The literature reviewing process

References identified by the systematic literature search were screened for appropriateness by title and abstract by an information scientist and systematic reviewer. Studies were selected that reported one or more VTE outcome (DVT, proximal DVT, pulmonary embolism) determined by objective/reliable methods. We did not select studies that reported only major bleeding outcomes, but where an included systematic review reported such studies, they were not removed. The guideline development group also suggested further references and we assessed these in the same way.

Selected studies were ordered and assessed in full by the NCC-AC team using agreed inclusion/ exclusion criteria specific to the guideline topic, and using NICE methodology quality assessment checklists appropriate to the study design<sup>389</sup>.

#### 3.8 Evidence submitted by stakeholders

Stakeholders were invited to submit potential evidence of relevance to the guideline. References received were cross-checked with evidence identified through the systematic literature search. Stakeholder-submitted references were assessed using the same criteria for inclusion as studies retrieved in the literature search.

## 3.9 Methods of combining studies – direct

#### comparisons

Where possible, meta-analyses were conducted to combine the results of studies addressing the same clinical question using Cochrane's Review Manager software. Fixed-effects (Mantel-Haenszel) techniques were used to calculate risk ratios (relative risk) of an event occurring, that is, DVT, proximal DVT, PE or major bleeding. Heterogeneity was assessed by the Q statistic. A Q statistic with p<0.05 was taken to indicate significant heterogeneity. We carried out sensitivity analyses to identify studies whose results were heterogeneous to the overall result. Any such studies were further assessed to identify any clinical or methodological causes. We avoided removing these studies from the meta-analyses unless we identified a serious methodological flaw, as removal would introduce bias into the systematic review. Where no cause of statistical heterogeneity could be determined a random effects (DerSimonian and Laird) model was employed.

Subgroup analyses based on subsets of studies were carried out to address some clinical questions where there was insufficient direct evidence. Assessments of potential differences in effect between subgroups were based on the chi-squared tests for heterogeneity statistics, using the formula  $Q_{int} = Q_{all} - (Q_1 + ... + Q_m)$  where  $Q_{int}$  represents the difference between subgroups, Qall is the heterogeneity of the overall unsubgrouped analysis, and  $Q_1$  to  $Q_m$  represent the heterogeneities of each subgroup, m being the total number of subgroups. Q<sub>int</sub> was compared with a chi-squared distribution with n-1 degrees of freedom to test for a difference between the subgroups<sup>132</sup>.It is important to note that subgroup analyses of studies are observational and are not based on randomised comparisons, and therefore represent weaker evidence than direct comparisons made within RCTs. Where combining results of trials in a meta-analysis was not appropriate a narrative synthesis of studies was undertaken.

#### 3.10 Subgroup analyses by type of surgery

Data was initially analysed by method of prophylaxis, that is, evidence from all surgery types was pooled to assess the overall effectiveness of interventions. Subgroup analyses were carried out to look for evidence of heterogeneity in the risk reductions between different types of surgery. Chisquared tests for heterogeneity were carried out to identify comparisons where evidence of differences between surgical contexts was apparent. Subgroup analyses showing statistically significant differences were presented to the guideline development group to decide whether the findings indicated a clinically important difference.

## 3.11 Mixed-treatment comparisons analysis

It is difficult to determine the most effective prophylaxis strategy from the results of conventional meta-analyses of direct evidence (as presented in chapters 5 to 10) for two reasons:

• Some pairs of alternative strategies have not been directly compared in an RCT (For example, danaparoid vs fondaparinux)

• There are frequently multiple overlapping comparisons (For example, heparin vs no prophylaxis, heparin vs stockings and stockings vs no prophylaxis), that give inconsistent estimates of effect. To overcome these problems, we conducted a mixed-treatment comparisons (MTC) meta-analysis that pools together all the data. This allowed us to rank the different prophylaxis interventions in order of efficacy at reducing DVTs and in order of risk of major bleeding. For each of these two outcomes It gives us a single estimate of effect (with confidence intervals) for each intervention. These estimates are essential to facilitate a cost-effectiveness analysis of different prophylaxis strategies.

The MTC analyses are used to compliment our analysis of direct comparison evidence. And therefore we have scrutinised the MTC analyses to ensure that they are consistent with the direct evidence.

Detailed methods are reported in chapter 12 and appendix F.

## 3.12 Health economics methods

It is important to investigate whether health services are clinically effective and also cost-effective (that is, value for money). If a particular prophylaxis or treatment strategywere found to yield little health gain relative to the resources used, then it would be advantageous to re-deploy resources to other activities that yield greater health gain.

To assess the cost-effectiveness of each recommendation, a comprehensive systematic review of the economic literature was conducted. In addition, an original cost-effectiveness analysis was performed which compared a variety of different prophylactic strategies for a number of different surgical scenarios.

The criteria applied for an intervention to be considered cost-effective were either:

a) The intervention dominated other relevant strategies (that is, it is both less costly in terms of resource use and more clinically effective compared with the other relevant alternative strategies)<sup>1</sup>;

or

b) The intervention cost less than £20,000 per quality-adjusted life-year (QALY) gained compared with the next best strategy (and compared with no prophylaxis). Where QALYs were not estimated, we used thresholds of £20,000 per life-year gained, or £400,000 per life saved. We have stated that cost-effectiveness is 'indeterminable' in cases where outcomes are expressed only in terms of VTEs rather than overall health outcomes and where one intervention is both more costly and more effective.

The economic evaluation of any strategy has to be in comparison with another strategy. Hence we refer to:

- incremental cost: the mean cost of one strategy minus the mean cost of a comparator study
- •QALYs gained: the mean QALYs associated one strategy minus the mean QALYs of a comparator study
- •incremental cost-effectiveness ratio: the incremental cost divided by the respective QALYs gained
- •incremental net benefit (INB): the (monetary) value of a strategy compared with an alternative strategy for a given cost-effectiveness threshold (For example. £20,000 per QALY gained).

In our own cost-effectiveness analysis (Chapter 13), we use the following formula to estimate the INB of each strategy:

INB= (QALYs gained compared with no prophylaxis  $x \pm 20,000$ ) minus the incremental cost compared with no prophylaxis

This indicates that we will invest up to  $\pounds 20,000$  to gain one additional QALY. The strategy that has the highest INB is the optimal (that is, most costeffective) strategy. Strategies that have a negative INB are not cost-effective even compared with no prophylaxis.

## 3.12.1 Literature review for Health Economics

We obtained published economic evidence from a systematic search of the following databases:

•Medline (Dialog Datastar) (1966-2006)

•Embase (Dialog Datastar) (1980-2006)

•Health Economic Evaluations Database (HEED)

•NHS Economic Evaluations Database (NHS EED)

For those clinical areas we reviewed, the information specialists used the same search strategy as for the clinical questions, using an economics filter in the place of a systematic review or randomised controlled trial filter. Each database was searched from its start date up to 7 August

<sup>&</sup>lt;sup>1</sup> Where there was no overall measure of health gain reported, we have used the term 'dominant' to refer to a strategy that reduces VTEs and reduces cost. However, strictly speaking it ought to be an outcome that includes the impact of both VTEs and major bleeding.

2006. Papers identified after this date were not considered. Search strategies can be found in Appendix C.

Each search strategy was designed to find any applied study estimating the cost or costeffectiveness of an included prophylaxis intervention. A health economist reviewed the abstracts. Relevant references in the bibliographies of reviewed papers were also identified and reviewed.

Given the diversity of economic studies, it was not possible to determine a general exclusion criterion based on study quality. Hence, all studies were included in the evidence tables and study quality and applicability are discussed in the review. Papers were only excluded from the evidence tables and review if:

• The study did not contain any original data on cost or cost-effectiveness (that is, it was a review or a clinical paper).

• The analysis was not incremental and was not described adequately to allow incremental analysis (so studies reporting only average costeffectiveness ratios were excluded unless they provided data to allow the calculation of incremental cost-effectiveness ratios).

• Cost analyses were excluded if the results were not presented in a way that would allow the incremental cost per patient to be extracted or derived.

Where a comparison had a large number of evaluations (For example, LMWH vs UFH), we excluded those based on cohort studies and those based on simple models (that is not a meta-analysis nor a formal decision analytic model)

Included papers were reviewed by a health economist. In the evidence tables costs are reported as given in the paper. However, where costs were in a currency other than pounds sterling, US dollars or euros, the results were converted to pounds sterling using the relevant purchasing power parity for the study year.

We have included studies from all over the world in our review, however, we use overseas studies with caution since resource use and especially unit costs vary considerably. Particular caution is applied to studies with predominantly private health insurance (For example, USA or Switzerland) where unit costs may be much higher than in the UK and to developing countries where costs may be much lower.

Each study was categorised as one of the following: cost analysis, cost-effectiveness analysis, cost-utility

analysis (that is, cost–effectiveness analysis with effectiveness measured in terms of QALYs), or cost consequences analysis. We did not find any 'cost benefit analyses' (studies that put a monetary value on health gain).

Models are analogous to systematic reviews as they are pooling evidence from a number of different studies and therefore if well-conducted they should out-rank studies based on a single RCT. Statistical significance is not usually applicable to models and uncertainty is explored using sensitivity analysis instead. Hence the results reported in our economics literature review evidence tables and write-up may not necessarily imply statistical significance. In our own cost-effectiveness analysis we rigorously explore the effects of sample variation using Monte Carlo simulation (Chapter 13).

## 3.12.2 Cost-effectiveness modelling

A comprehensive cost-effectiveness analysis was developed because we found great inconsistency in the economic evaluations in the published literature. This was mainly because the evaluations varied in the clinical studies they included and because they used crude methods to deal with indirect evidence. Furthermore most of the published studies did not evaluate cost-effectiveness using NICE's reference case.

The details of the model methods are reported in chapter 13 and appendix G. The following general principles were adhered to:

- The GDG was consulted during the construction and interpretation of the model.
- The model was based on a mixed-treatment comparison meta-analysis derived from the systematic review of clinical evidence.
- Model assumptions were reported fully and transparently.
- The results were subject to thorough sensitivity analysis and limitations discussed.
- Costs were calculated from a health services perspective.

## 3.13 Development of the recommendations

Over the course of the guideline development process the GDG was presented with the following:

• Evidence tables and narrative summaries of the clinical and economic evidence reviewed. All evidence tables are in appendix D

•Forest plots of direct meta-analyses. (appendix E)

• Forest plots of mixed-treatment meta-analyses (appendix F)

• A description of the methods and results of the cost-effectiveness analysis (appendix G)

We used the relative effectiveness evidence for all surgeries when writing recommendations for specific surgeries. This was because we found no convincing statistical evidence of any differences in relative effectiveness by type of surgery, nor was there perceived to be any clinical rationale for the assumption that type of surgery should influence the action of any of the methods of prophylaxis covered. The recommendations were explicitly linked to the relative effectiveness evidence but tempered by risk and other factors specific to the type of surgery or patient group that would affect the choice/use of specific methods of prophylaxis.

We used a modified version of the nominal group technique of consensus development to agree the final recommendations.

#### 3.14 Grading of recommendations

Following a public consultation in April 2006 NICE is no longer publishing grades alongside recommendations contained within its guidance.

#### 3.15 Recommendations for research

When areas were identified for which good evidence was lacking, the guideline development group considered making recommendations for future research. Decisions about inclusion were based on factors such as the importance to patients or the population, national priorities, and the potential impact on the NHS and future NICE guidance.

## 3.16 Prioritisation of recommendations for

#### implementation

To assist users of the guideline in deciding the order in which to implement the recommendations, the guideline development group identified ten key priorities for implementation. The decision was made after discussion and voting by the GDG. They selected recommendations that would:

• Have a high impact on patient outcomes, including mortality and morbidity

• Have a high impact on reducing variation

- Lead to a more efficient use of NHS resources
- Mean patients reach critical points in the care pathways more quickly

#### 3.17 Validation of the guideline

Registered stakeholders were given the opportunity to comment on the draft guideline, which was posted on the NICE website. A Guideline Review Panel also reviewed the guideline and checked that stakeholders' comments had been addressed.

#### 3.18 Related NICE guidance

NICE is developing the following guidance (details available from www.nice.org.uk):

• Thrombophilia screening for the diagnosis of individuals at high risk of thrombosis. NICE technology appraisal guidance. (Publication date January 2008)

• Idraparinux sodium for the treatment of recurrent thromboembolism. NICE technology appraisal guidance. (Publication date TBC)

## 3.19 Updating the guideline

NICE clinical guidelines are updated as needed so that recommendations take into account important new information. We check for new evidence 2 and 4 years after publication, to decide whether all or part of the guideline should be updated. If important new evidence is published at other times, we may decide to do a more rapid update of some recommendation.

# 4 Risk factors

A patients' risk of developing venous thromboembolism is determined by the type of surgery they are undergoing and by individual patient risk factors. In this chapter we examine these in turn.

#### 4.1 Surgical risk

Type of surgery determines the risk of major bleeding as well as the risk of VTE. To assess which types of surgery have the highest risk we have extracted data from three different sources:

a)randomised controlled trials

b)NHS Hospital Episode Statistics (HES)

c) incidence studies.

## 4.1.1 Evidence from randomised controlled

#### trials

For each category of surgery, the number of each event across the nil arms of RCTs included in our review (Chapters 5-7) were aggregated and divided by the aggregate sample size from the same arms. Studies were excluded if they reported any form of background prophylaxis other than early mobilisation. However, some patients may have had off-protocol prophylaxis at the discretion of their physicians.

The incidence of DVT, symptomatic pulmonary embolism (PE) and major bleeding, were estimated from the RCTs in our clinical review by a fixed effects meta-analysis, which used a Freeman-Tukey arcsine transformation to stabilise the variances of the individual study proportions<sup>371</sup> (Table 2 and Table 3). The types of surgery with the highest risk of DVT and symptomatic PE were (major) orthopaedic surgery followed by (major) general surgery and then neurosurgery. Gynaecological surgery had the highest risk of major bleeding.

The advantages of using this data is that patients are being systematically followed up, their VTEs are confirmed using objective tests and they are not routinely given prophylaxis (so we can estimate their risk in the absence of prophylaxis). However, the drawback is that the studies can have rather specific populations, often with patients at low risk and/or high risk deliberately excluded. This means that the data may not be generalisable. Furthermore, for some categories of surgery the sample size was small.

	Number of patients with an event	Sample Size	Incidence	Incidence Lower 95% CL	Incidence Upper 95% CL
DVT					
Cardiac <sup>41,297</sup>	10	65	14%	7%	24%
General <sup>4,13,51,65,83,86,93,101,144,</sup> 198,203,204,236,249,313,314,324,336,3	569	2286	24%	23%	26%
50,404,405,414,423,439- 441,447,475,478,479,500,520,521,531,5 78,583,585					
Gynaecology <sup>29,69,102,103,106,34</sup> 4,425,512,522,558	113	691	16%	13%	19%
Neurological <sup>87,95,367,488,523,52</sup> 4,526,559	91	446	20%	17%	24%
Orthopaedic (Elective hip)1,11,39,99,128,130,163,182,184,21 1,217,239,258,261,284,320,340,341,349, 359,471,517,527,560,580	521	1165	44%	42%	47%
Orthopaedic (Hip fracture) <sup>70,147,159,184,210,228,267</sup> ,271,312,321,324,383,384,384,387,428,4 92,508,579,582	476	1232	37%	35%	40%
Orthopaedic (Elective knee) <sup>251,326,362,369,572,574</sup>	99	322	27%	22%	32%
Orthopaedic (Mixed) <sup>6,26,67,250,419,575</sup>	66	140	47%	39%	55%
Urological <sup>107,225,226,325,543</sup>	18	144	10%	6%	15%
Vascular <sup>494</sup>	2	19			
Mixed <sup>22,52,100,104,137,183,184,244</sup> ,262,280,346,469,503	286	1303	22%	19%	24%
Not known <sup>90,290,304,308,586</sup>	102	276	36%	31%	42%
All	2353	8089	<b>29</b> %		
Symptomatic Pulmonary embolism					
Cardiac	0	0			
General <sup>4,203,237,314,315,336,405,4</sup> 17,440,531	72	3044	1%	1%	2%
Gynaecology <sup>102,103,106</sup>	2	250	1%	0%	3%
Neurological <sup>488,526</sup>	0	129			
Orthopaedic (Elective hip) <sup>239,258,261,284,341,517,560,580</sup>	21	493	3%	2%	5%
Orthopaedic (Hip fracture) <sup>70,147,150,159,321,383,384</sup> ,387,428	48	870	6%	4%	7%
Orthopaedic (Elective knee) <sup>572</sup>	0	32			
Orthopaedic (Mixed) <sup>26,570</sup>	23	134	19%	13%	25%
Urological <sup>40,107</sup>	2	41	9%	3%	19%
Vascular <sup>494</sup>	0	19			
Mixed <sup>244,296</sup>	7	711	1%	1%	2%
Not known	0	0			
	175	5723	3%		

# Table 2:Risk of DVT and, symptomatic pulmonary embolism by type of surgery, from the no prophylaxis arm of RCTs

	Number of patients with an event	Sample Size	Incidence	Incidence Lower 95% CL	Incidence Upper 95% CL
Major bleeding					
Cardiac <sup>41</sup>	1	25			
General4,28,51,144,198,203,237,249 ,273,310,313,324,405,414,417,423,439,4 41,475,518,531,578,583,585	83	3980	2%	1%	2%
Gynaecology <sup>102,344,425,512,558</sup>	13	306	4%	2%	7%
Neurological <sup>95,367</sup>	1	113	2%	0%	5%
Orthopaedic (Elective hip) <sup>1,11,39,128,130,211,217,320,341,3</sup> 49,471,527,560	12	630	2%	1%	3%
Orthopaedic (Hip fracture) <sup>70,147,150,210,267,271,321</sup> ,324,383,384,428,579,582	26	1001	2%	1%	3%
Orthopaedic (Elective knee) <sup>326,362,369,574</sup>	1	263	1%	0%	2%
Orthopaedic (Mixed) <sup>6,67,419,575</sup>	0	58			
Urological <sup>14,40,226,325,543</sup>	2	170	2%	0%	4%
Vascular <sup>494</sup>	0	19			
Mixed <sup>52,100,137,280,296,454</sup>	2	1153	0%	0%	1%
Not known <sup>90,290,308,309,586</sup>	2	254	1%	0%	3%
All	143	7972	2%		

Table 3: : Risk of major bleeding, by type of surgery, from the nil arm of RCTs

## 4.1.2 Evidence from NHS Hospital Episode

## statistics

To find data that was less selective we turned to the NHS Hospital Episode Statistics. This database holds data on every patient admitted to an NHS hospital in England. We extracted data from the year 2003/4.

We identified all patients with a secondary diagnosis of symptomatic DVT or pulmonary embolism (ICD10=I26.0, I26.9, I80.2, I80.3, I80.8, I80.9, I82.1, I82.2, I82.8, I82.9) but excluded those that had not been admitted for surgery. We grouped the patients according to their type of surgery; the surgeons on the GDG combined the surgical groups in to broader clinically meaningful categories. We then calculated the incidence of VTE for each surgical procedure using the total number of procedures performed over that period as the denominator.

Table 4 shows the different surgical categories in order of the incidence of symptomatic VTE. The types of surgery with the highest risk of VTE are cardiothoracic, major orthopaedic and vascular surgery followed by major abdominal general surgery.

While this analysis has the advantage of using data from every NHS admission, there are a number of limitations. We can't be sure that all the VTEs initially occurred after surgery; the surgery could be incidental in some cases.

However, it is more likely that events are underrather than over-diagnosed for the following two reasons. First, a number of patients will be receiving thromboprophylaxis. We do not know what proportion of patients received prophylaxis and this is likely to vary greatly between types of surgery. It is likely that complications such as VTE could go undiagnosed or unreported. And they could be diagnosed while the patient is in the community (for example, as fatal pulmonary embolisms or non-fatal events treated on an outpatient basis). Whether or not a VTE is picked up during the hospital stay could be correlated with the length of stay and therefore the underreporting of VTE could be greater in types of surgery with short length of stay. It is difficult to control for this, since length of immobility is also a determinant of VTE risk.

	Number of patients with an event	Sample Size	Incidence
Femoral head	237	23538	1.01%
Knee replacement	493	52535	0.94%
Vascular	1186	169218	0.70%
Adult cardiac	208	40180	0.52%
Hip replacement	293	57899	0.51%
Transplantation	11	2375	0.46%
Thoracic	117	26002	0.45%
Lower gastrointestinal (GI)	428	95968	0.45%
Renal replacement	140	39733	0.35%
Upper gastrointestinal (GI)	356	110562	0.32%
Fractures	555	181346	0.31%
Intensive Therapy Unit (ITU)	1215	448253	0.27%
Oncology	1311	529069	0.25%
Radiology cardiovascular	404	221317	0.18%
Endoscopic and percutaneous	2383	1376236	0.17%
Joints other	29	17553	0.17%
Spine	76	56559	0.13%
Orthopaedic (other)	254	219116	0.12%
Neurosurgery not spine	229	215533	0.11%
Plastic	259	314817	0.08%
Urology	121	164362	0.07%
Hernia	72	115703	0.06%
Gynaecological	179	443529	0.04%
Arthroscopy	34	112123	0.03%
Anus and piles	26	86671	0.03%
Breast	22	78547	0.03%
Ear, Nose and Throat (ENT)	51	209680	0.02%
Head and neck	16	80258	0.02%
Max facial dental	34	184784	0.02%
Eyes	69	457382	0.02%

#### Table 4: Incidence of symptomatic VTE by type of Surgery, as recorded in HES

## 4.1.3 Evidence from incidence studies

Both the RCT data and the HES data have major limitations for the estimation of the risk of VTE. We therefore supplemented the data with other incidence studies. The studies we found were rather heterogeneous in terms of outcomes, patients and methods (Evidence Table 1, Appendix D).

One study<sup>564</sup> evaluated the incidence of symptomatic VTEs using a database of 1.7 million patients in 76 surgical categories in the USA. They included cases of symptomatic VTE occurring during either the initial hospitalisation or a subsequent hospitalisation within 91 days of the surgery. They distinguished between patients with and without malignancy. Non-malignant categories with an incidence greater than 2% were:

- Embolectomy or endarterectomy of lower limb artery 2.8%
- Total hip arthroplasty 2.4%
- Neurosurgery involving excision/destruction or biopsy of brain tissue 2.3%
- Partial hip arthroplasty 2.0%

Among the patients with cancer a number of additional categories were over 2%:

- Permanent colostomy 2.6%
- Radical cystectomy 3.7%
- Percutaneous nephrostomy 3.6%
- Exploratory laparotomy 2.4%
- Internal fixation of femur 3.0%

In patients without a malignancy, gynaecological and head and neck, and laparoscopic abdominal surgery conveyed the lowest risk of VTE.

The other studies<sup>19,24,63,161,161,198,248,266,287,354,358,381, 418,418, 452,452,486,530,530,555</sup> we found are difficult to summarise, because of their heterogeneity, but if we compare the incidences with those in Table 2, it would seem that there is a relatively high risk of VTE associated with prostatectomy, gynae-oncological surgery and neurosurgery and a low risk associated with surgery for breast cancer or head and neck/ENT surgery.

The data reported in this section is limited because of the heterogeneity of the methods used by the different studies and because it is difficult to control for the use of prophylaxis or anaesthesia.

## 4.1.4 Discussion of data on surgical risk

We used different sources to estimate the risk of VTE for different categories of surgery. As predicted the incidence figures for VTE estimated using HES data were much lower than other estimates, implying under-reporting and/or treatment in the community. This was true even when compared to a similar database in the USA<sup>564</sup>.

Hip surgery (elective and hip fracture) had higher rates of VTE by all three approaches. Some categories of cardiothoracic, vascular, urological, neurological and general surgery were also high risk, although, the rankings were not necessarily the same for the different approaches. Except for cancer-related surgery, gynaecological surgery had low rates of VTE by all three approaches – this could in part be due to these patients being younger on average than some of the other patient groups.

Comparisons between different categories of surgery are likely to be confounded by age and differences in prophylaxis and anaesthesia usage. Length of stay is likely to be a contributory factor since immobility is a causal mechanism. However it might also be a confounder since the longer people stay in hospital the more likely that their VTE will be recorded. The differences in incidence within the broad surgical categories are probably much greater than the differences between categories<sup>564</sup>.

The strategy that the GDG adopted from this evidence was to consider major orthopaedic surgery as higher risk for VTE than cardiac, thoracic, urological, vascular, gynaecological, neurological and general surgery. Within orthopaedic surgery, hip fracture was considered to be highest risk followed by elective orthopaedic procedures and then other types of major orthopaedic surgery.

The GDG decided that the no-prophylaxis arms of the RCTs was the best source for the baseline risk of VTE and major bleeding, and this was used in our cost-effectiveness analysis (Chapter 13). The advantage of these risk estimates is that they control for prophylaxis use. However, the GDG acknowledged also the weaknesses in this data. Firstly trial populations might not be representative of surgical patients in general. Second, it has been postulated that the incidence of VTE has fallen over time due to prophylaxis use but also due to other factors. If this is true then the RCT evidence, which goes back to the 1970s may over-estimate the risk of DVT and PE. Conversely, since RCT protocols usually involve surveillance for asymptomatic DVT, they might under-estimate the incidence of PE if DVTs are being diagnosed and actively before the time when they would have become symptomatic in a non-trial setting.

## 4.2 Patient risk factors

Patient susceptibility to DVT and PE varies according to their individual risk factors as well as the surgical risk. Some risk factors are more important than others. The aim of this review is to determine risk estimates for specific factors.

We searched for systematic reviews on patient factors and whether they increase the risk of developing a DVT or PE. Initially, we confined the search to surgical patients. We identified one study that included several systematic reviews encompassing various risk factors in surgical patients<sup>142</sup>. The search was extended to any patient group exposed to a risk factor when insufficient information was found in surgical populations. Several reviews were identified for non-surgical populations<sup>23,133,180,289,370,449,463</sup>. Some reviews only included studies that used an objective test for diagnosing venous thromboembolism such as a fibrinogen uptake or ultrasound, whereas others did not report the method of diagnosis for studies included. The number of cases and controls was not always reported. Details for each systematic review are reported below. We also referred to previous guidelines for their included risk factors189,476.

## 4.2.1 Age

Edmonds et al<sup>142</sup> identified six studies investigating the association between age and postoperative DVT (evidence level 2+). There was a general trend of increased age being associated with an increased risk of DVT in all studies. Two of the studies showed the incidence of DVT to be higher in those over 60 than those under; two studies showed the mean age of patients with DVT to be higher than those without DVT; and two studies showed an incremental increase associated with increasing age, one of them finding the risk to be constant at below 45 years of age. A pooled risk estimate was not possible because of the different ways of investigating across the studies. (Evidence Table 2, Appendix D).

#### 4.2.2 Obesity

Edmonds et al<sup>142</sup> identified seven studies investigating the association between obesity and postoperative DVT (evidence level 2+). Five out of the seven studies found a significant association between an increase in obesity and risk of DVT and two found no significant difference. A pooled estimate was not possible because of different definitions for obesity used across the studies. (Evidence Table 3, Appendix D). We used the definition of obesity as being patients with a body mass index greater than or equal to 30kg/m<sup>2</sup> which is the definition used in the current NICE guidelines<sup>388</sup>.

#### 4.2.3 Past history of venous thromboembolism

Edmonds et al<sup>142</sup> identified four studies investigating the association between a history of venous thrombosis and postoperative DVT (evidence level 2+). When three of the studies were pooled, they indicated a significant association between past history of venous thrombosis and risk of DVT (OR=5.18, 95% CI: 3.16 to 8.49). The other study suggested no difference but did not provide any data. (Evidence Table 4, Appendix D).

## 4.2.4 Thrombophilia

Thrombophilias are the genetic or acquired prothrombotic states that increase the tendency to venous thromboembolism (Evidence Table 5, Appendix D).

Edmonds et al<sup>142</sup> identified two studies investigating the association between activated protein C (APC) resistance or Factor V Leiden (FVL) mutation and postoperative DVT (evidence level 2+). One study reported low sensitivity to APC was shown to be significantly associated with postoperative DVT (RR=4.9, 95% Cl: 1.1 to 22.2) with 95% of the cases being attributable to the FVL mutation. The second study reported that a low sensitivity of FVL to APC (OR=2.97, 95% Cl: 1.27 to 6.92) and FVL mutation (OR=3.18, 95% CI: 0.99 to 10.2) were associated with postoperative DVT.

Two of the studies included in the review by Edmonds et al<sup>142</sup> examined antithrombin deficiency (evidence level 2+). One found patients who developed postoperative DVT had a lower level of antithrombin, the other did not find any association. We also identified one systematic review that looked at deficiency in antithrombin, protein C or protein S<sup>463</sup>. All three were associated with an increased risk of postoperative venous thromboembolism with relative risks of 5, 6.5 and 1.7 respectively. No information was given as to how venous thrombosis was diagnosed. Edmonds et al<sup>142</sup> found no surgical studies investigating other thrombophilias.

We identified one systematic review with 25 studies that looked at the association for lupus anticoagulants and/or anticardiolipin with thrombosis (venous or arterial) in medical populations<sup>180</sup> (evidence level 2+). Results were grouped according to type of event: first event, recurrent event or any event (distinction between first and recurrent events not possible). Lupus anticoagulants were found to be significantly associated with DVT. Five studies investigating lupus anticoagulants and anticardioplatin antibodies gave pooled odds ratios of 5.71 for any event and 9.4 for a first event. None of the studies showed a significant association with anticardioplatin antibodies. Four studies investigating lupus anticoagulants alone gave pooled odds ratios of 16.2 for any event and 4.01 for a recurrent event.

We identified one systematic review with 24 studies that looked at the association between raised homocysteine levels and venous thrombosis<sup>133</sup> (evidence level 2+). No information was given as to how venous thrombosis was diagnosed. The review showed that a 5 $\mu$ mol/L increase in measured plasma total homocysteine is associated with an increased risk of venous thrombosis (OR=1.27, 95% CI: 1.01 to 1.59 from three prospective studies, OR=1.60, 95% CI: 1.10 to 2.34 from 24 retrospective studies). The same review also looked at the association of MTHFR (Methylenetetrahydrofolate reductase) with venous thrombosis. The 677TT genotype was associated with a 20% higher risk of venous thrombosis compared to the 677CC genotype (OR=1.20, 95% Cl: 1.08 to 1.32).

We identified one systematic review that looked at the association between prothrombin gene mutation and venous thromboembolism<sup>463</sup> (evidence level 2+). In one study G20210a prothrombin was associated with a three fold increase in risk of venous thromboembolism (OR=2.8, 95% Cl: 1.4 to 5.6). Similar results were found in a pooled analysis of eight case-control studies (OR=3.8, 95% Cl: 3.0 to 4.9). Samama et al also looked at the association between elevated plasma levels of coagulation factors and venous thromboembolism<sup>463</sup>. Elevated factor VII, VIII, IX and XI were all found to be significantly associated with venous thromboembolism while elevated factor X or high plasma levels of fibrinogen were not.

## 4.2.5 Varicose veins

Edmonds et al<sup>142</sup> identified seven studies investigating the association between varicose veins and postoperative DVT (evidence level 2+). A pooled estimate of the six studies with data showed an increase risk (OR 2.39, 95% Cl: 1.69 to 3.37). One study did not provide any data (Evidence Table 6, Appendix D).

#### 4.2.6 Cardiovascular factors

Edmonds et al<sup>142</sup> identified two studies looking at the association between cardiovascular factors and postoperative DVT (evidence level 2+). Three potential risk factors were identified: recent myocardial infarction, hypertension and congestive cardiac failure. None were shown to be significantly associated with postoperative DVT. Congestive cardiac failure was shown to be significantly associated with DVT in univariate analysis but not in multivariate analysis in two studies, suggesting that the association was potentially explicable by confounding. Another non-surgical study reported by Edmonds showed similar results (Evidence Table 7, Appendix D).

## 4.2.7 Oral contraceptives

Edmonds et al<sup>142</sup> identified five cohort studies and two case control studies in surgical patients (evidence level 2+). A pooled risk estimate was only possible for three of the studies due to deficiencies in reported data. This showed oral contraceptive pills were significantly associated with an increased risk of postoperative DVT (OR=2.48, 95% CI: 1.53 to 4.02). Edmonds et al. reported some weaknesses with the data available: the studies were somewhat dated and may not apply to the recent third generation of oral contraceptive pills; and only three out of the five cohort studies screened everyone for DVT. Another systematic review compared third generation with second generation users in non-surgical populations<sup>289</sup> (evidence level 2+). Third generation contraceptives were associated with an increased risk of venous thrombosis compared to second generation contraceptives (unadjusted OR=1.6, 95% Cl: 1.3 to 1.9; adjusted odds ratio OR=1.7, 95% Cl: 1.4 to 2.0) (Evidence Table 8, Appendix D).

The Royal College of Obstetricians and Gynaecologists offers guidance on venous thromboembolism and hormonal contraceptives<sup>450</sup>. They recommend: combined oral contraception should be discontinued 4 weeks before major surgery when immobilisation is expected; progestogen only methods need not be discontinued prior to surgery even when immobilisation is expected; and hormonal methods do not need to be discontinued before minor surgery without mobilisation.

## 4.2.8 Hormone replacement therapy

Edmonds et al<sup>142</sup> found no studies investigating hormone replacement therapy in a surgical population. We identified two recent systematic reviews that identified studies from a non-surgical population. The Royal College of Obstetricians and Gynaecologists<sup>449</sup> identified nine studies but did not pool the relative risks, these varied from 2.1 to 6.9 (evidence level 2+). Miller et al<sup>370</sup> calculated a pooled relative risk of 2.14 (credible interval 1.64 to 2.81) from 12 studies (evidence level 2+). Six of these studies also compared the risk of oral contraceptive use in the first year compared to subsequent years of use. Use in the first year had a higher risk estimate (relative risk in first year of use: 3.49, credible intervals: 2.33 to 5.59; relative risk in subsequent years of use: 1.91, credible intervals: 1.18 to 3.52) (Evidence Table 9, Appendix D).

The Royal College of Obstetricians and Gynaecologists offers guidance on hormonal replacement therapy and venous thromboembolism<sup>449</sup>. They recommend that HRT should be considered a risk factor for VTE but it is not necessary to stop prior to surgery provided that appropriate thrombo- prophylaxis is used.

## 4.2.9 Cancer

Edmonds et al<sup>142</sup> identified nine studies investigating the association between cancer and postoperative DVT (evidence level 2+). An assumption in the review is that an effect of cancer on thrombosis following general surgery is the same as the effect when surgery is for the treatment of that cancer. All nine studies found an increased risk associated with cancer giving a pooled odds ratio of 2.94 (95% CI: 2.01 to 4.29). Around a third of the total number of patients also received thromboprophylaxis (Evidence Table 10, Appendix D).

## 4.2.10 Chemotherapy

No surgical studies were found investigating the association between chemotherapy and postoperative DVT. We identified one systematic review of 32 studies that investigated vascular and neoplastic events associated with tamoxifen in nonsurgical patient groups (evidence level 1+). Eleven of the included studies reported pulmonary embolisms and demonstrated overall a significantly increased risk of pulmonary embolism (RR=1.88, 95% CI: 1.17 to 3.01) and 15 of the included studies reported DVT also demonstrating an increased risk(RR=1.87, 95% CI: 1.33 to 2.64). Seven of the 11 pulmonary embolism studies and 11 of the 15 DVT studies investigated the use of tamoxifen in patients with malignancy. The other four studies were for the prevention of cancer (Evidence Table 11, Appendix D).

## 4.2.11 Smoking

Edmonds et al<sup>142</sup> identified four studies investigating the association between smoking and postoperative DVT (evidence level 2+). Two studies showed smokers to have significantly less DVTs than non-smokers; one study showed smoking to be protective in a univariate analysis but not in a multivariate analysis and the fourth study showed no difference. Overall, the studies suggest a nonsignificant association of fewer postoperative DVTs for smokers despite studies indicating it to be a risk factor for DVT in the general population. However, smoking is associated with other postoperative adverse events such as wound related or cardiopulmonary complications.

## 4.2.12 Prolonged travel

Immobility associated with prolonged and continuous travel immediately before or after surgery may increase a patient's risk of developing postoperative VTE. We found no studies that specifically addressed this patient group. We identified one systematic review that investigated venous thromboembolism risk in long distance travel<sup>23</sup> (evidence level 2+). Long haul travel was shown to significantly increase risk (OR=1.59, 95%) Cl: 1.04 to 2.43) in three case control studies, RR=2.93, 95% Cl: 1.58 to 5.58 from two cohort studies). Two of the studies provided a risk estimate for any form of long distance travel, these also showed an increase risk of venous thrombosis (OR=2.6, 95% CI: 1.79 to 3.79). All the studies related to travel were in journeys over three hours. In three, travel related to the previous four weeks and in the fourth, travel related to the previous three weeks. Meaningful comparison between patients travelling for surgery and data from people on long haul flight is difficult. Long haul flight travellers are often healthier than the general population and, therefore, not a true sample<sup>23</sup> (Evidence Table 12, Appendix D)

## 4.2.13 Other risk factors for venous

## thromboembolism

Two guidelines, not specifically in surgical patients, considered risk factors for venous thromboembolism<sup>189,476</sup>. In addition to the risk factors listed above the following were identified: acute medical illness, recent myocardial infarction/stroke; heart/respiratory failure,

inflammatory bowel disease(e.g.ulcerative colitis/Crohn's disease), nephrotic syndrome; myeloprofilerative disease; paraproteinaemia; pregnancy or puerperium, severe infection, paroxysmal nocturnal haemoglobinuria and Behcet's Disease.

## 4.2.14 Discussion of data on patient risk

The identified systematic reviews of patient related risk factors varied in the quality of their evidence: the diagnosis of venous thromboembolism was not always achieved using an objective test (for example fibrinogen uptake test, ultrasound); only some of the studies provided the number of cases and controls on which the data was based; some studies gave pooled risk ratios for their results while others only provided the risk ratios for individual studies. Evidence was not always available from a surgical population. In these cases evidence from other systematic reviews and guidelines was used to help identify risk factors.

The evidence suggests a history of venous thromboembolism, thrombophilias, cancer, varicose veins, oral contraceptives, obesity and increasing age are all significant risk factors for postoperative venous thromboembolism. Evidence from other systematic reviews and guidelines show that hormone replacement therapy, chemotherapy agents, immobility (including a leg in plaster cast or paralysis), prolonged travel, acute medical illness, recent myocardial infarction or stroke, heart or respiratory failure, inflammatory bowel disease (e.g. ulcerative colitis or Crohn's disease), nephrotic syndrome, myleoprofilerative disease, paraproteinaemia, pregnancy or puerperium, severe infection, paroxysmal nocturnal haemoglobinuria, Bechet's dsease and inherited thrombophilias are also risk factors for venous thromboembolism.

Increasing age was also associated with an increase with postoperative DVT. The studies using a cut-off to examine a difference between age selected 60 years and those below 45 years were found to at the same lower risk of developing postoperative DVT. Other guidelines have put an age threshold of 40. We were unable to find an evidence based justification for an age cut off of 40 for the higher risk patients covered by our guideline. White et al<sup>563</sup> found that the relationship between age, type of surgery and risk is complex. In particular there is no evident step up in risk at 40. Anderson & Spencer<sup>17</sup> noted stratification of risk by the simple dichotomy of age below or above 40 years fails to account for the significantly higher risk among the elderly patients undergoing high risk surgical procedures.

The evidence for risk factors is hetrogenous in several ways:

• only some of our evidence comes from surgical populations and is directly applicable to our patient group

- the way risk is measured differed between studies, some use odds ratios while others use relative risk
- the amount and quality of the evidence differed considerably between risk factors.

Our scope is "major surgery" and by this we mean all operations where patients are admitted for their operation. This includes the large number of routine planned operations. Within this group there will be those who are at a different levels of risk of developing VTE. Because of the uncertainty of how to use the risk factor evidence, and the different levels of risk within our included patients we have opted for a simplified approach to the recommendations. Within each surgery type, those without any risk factor receives one level of prophylaxis, and those with one or more risk factors receive another level of prophylaxis (Chapter 14: Surgical Specialities).

There was evidence that prolonged travel of more than 3 hours increased the risk of VTE. Studies varied in the time period over which the risk was considered to remain elevated, but it was either 3 or 4 weeks in all. As well as being including this on the list of individual patient related risk factors, the GDG recommend that patients are informed of the risks of continuous travel of more than 3 hours in the 4 weeks before or after surgery so that they can make informed choices and avoid increasing their risk where possible.

The GDG used both the evidence from systematic reviews and The Royal College of Obstetricians and Gynaecologists guidance on venous thromboembolism and hormonal contraceptives<sup>450</sup> in recommending that consideration be given to stopping combined oral contraceptives four weeks before elective surgery.

## 4.3 Recommendations

## Box 1: Individual patient-related risk factors for venous thromboembolism

- Active cancer or cancer treatment
- Active heart or respiratory failure
- Acute medical illness
- Age over 60 years
- Antiphospholipid syndrome
- Behcet's disease
- Central venous catheter in situ
- Continuous travel of more than 3 hours approximately 4 weeks before or after surgery
- Immobility (for example, paralysis or limb in plaster)
- Irritable bowel disease (for example, Crohn's disease or ulcerative colitis)
- Myeloproliferative diseases
- Nephrotic syndrome
- Obesity (body mass index  $\geq 30 \text{ kg/m}^2$ )
- Paraproteinaemia
- Paroxysmal nocturnal haemoglobinuria
- Personal or family history of VTE
- Pregnancy or puerperium
- Recent myocardial infarction or stroke
- Severe infection
- Use of oral contraceptives or hormonal replacement therapy
- Varicose veins with associated phlebitis
- Inherited Thrombophilias for example:
  - > High levels of coagulation factors (for example, Factor VIII)
  - Hyperhomocysteinaemia
  - > Low activated protein C resistance (for example, Factor V Leiden)
  - Protein C, S and antithrombin deficiencies
  - Prothrombin 2021A gene mutation

Patients should be assessed to identify their risk factors for developing venous thromboembolism (VTE, see Box 1)

Healthcare professionals should inform patients that the immobility associated with continuous travel of more than 3 hours in the 4 weeks before or after surgery may increase the risk of VTE. Healthcare professionals should advise patients to consider stopping combined oral contraceptive use 4 weeks before elective surgery [see Royal College of Obstetricians and Gynaecologists guideline no. 40]<sup>450</sup>.

## 4.4 Recommendation for research

#### 4.4.1 Research Question

What is the relevance of surgical procedure and patient risk factors to incidence of clinical DVT, confirmed PE, major bleeding, and other postoperative adverse outcomes (e.g. myocardial infarction, stroke) in modern surgical practice?

The aim should be to recruit patients undergoing a range of surgical procedures with different levels of expected risk of VTE, ensuring coverage of the common operations currently performed in the NHS.

Baseline evaluation would aim to identify risk factors for VTE and for other adverse outcomes (e.g. bleeding and occlusive vascular events). The study would also record any in-hospital drug treatment and discharge medication. Note, however, that this would be a large observational cohort study and would <u>not</u> be appropriate for determining the effects of treatment, since moderate effects cannot be assessed reliably by such studies.

The control (reference) group will be defined, for each parameter (e.g. age) by a category of patients at low risk of VTE (e.g. age < 30).

#### 4.4.2 Why this research is important

The chief difficulty faced when formulating the present guideline was the absence of accurate estimates of VTE risk in the modern era. Although it was possible to estimate the relative risk reductions associated with particular interventions, it was not possible to estimate their associated absolute benefits. It is possible that the modern risks of VTE are much lower than is represented by the available trial evidence. Information on absolute risks of VTE (and other postoperative complications) needs to be obtained in order to assess cost effectiveness reliably.

Information from this study would help surgical teams to provide their patients with accurate information about the balance of benefit and risk associated with particular interventions.

This study could be performed easily if the design elements were kept simple, with one-sided forms that could be completed at discharge, and followup through mailed questionnaires and tracking of mortality via the Office of National Statistics.

# 5 Mechanical Methods of Prophylaxis

## 5.1 Introduction

Venous stasis in the deep leg veins causes a decrease in the mean flow and pulsatility of the venous flow trace. Mechanical methods of DVT prophylaxis work to combat venous stasis and include:

- compression devices such as
- graduated compression stockings (GCS)
- intermittent pneumatic compression (IPC)
- foot impulse device (FID) (also known as foot pumps
- electrical stimulation (ES).

Unlike pharmacological prophylaxis, mechanical methods are not associated with bleeding risks.

#### **Graduated Compression Stockings (GCS)**

Graduated compression stockings exert graded circumferential pressure from distal to proximal regions of the leg, increasing blood velocity and promoting venous return. There are two different standards for graduated compression stockings, the British Standard and the European Standard (table 5). A graduated compression pressure profile of 18mmHg at the ankle, 14mmHg at the mid calf, 8mmHg at the popliteal region, 10mmHg at the lower thigh and 8mmHg at the upper thigh increases deep venous flow velocity by 75%<sup>484</sup>. This relates to British Standard Class II and European Standard Class I.

GCSs should not be used if the patient has peripheral arterial disease, arteriosclerosis, severe peripheral neuropathy, massive leg oedema or pulmonary oedema, oedema secondary to congestive cardiac failure, local skin/soft tissue diseases such as recent skin graft or dermatitis, extreme deformity of the leg, gangrenous limb, doppler pressure index < 0.8, or gross limb cellulitis.

## Table 5: Comparison of British and European standard compression stockings' profiles

Class of	British	European
stockings	Standard	Standard
I	14-17	18.4-21.1
	mmHg	mmHg
II	18-24	25.2-32.3
	mmHg	mmHg
III	25-35	36.5-46.6
	mmHg	mmHg

## Intermittent Pneumatic Compression (IPC) Devices

IPC devices involve the use of inflatable garments wrapped around the legs, which are inflated by a pneumatic pump. The pump provides intermittent cycles of compressed air which alternately inflate and deflate the chamber garments, enhancing venous return<sup>199</sup>. It combats VTE through its haemodynamic effect on preventing venous stasis and by stimulating fibrinolytic activity<sup>502</sup>. This fibrinolytic mechanism is involved in the dissolution of clot and prevention of thrombus formation<sup>353</sup>.

#### Foot Impulse Devices (FID)

Foot impulse devices (or foot pumps) increase venous outflow and reduce stasis in immobilised patients. The haemodynamic effect of the pumping mechanism in the sole of the foot is activated by weight bearing<sup>187</sup>. On weight bearing the venous plexus in the sole is rapidly emptied into the deep veins of the legs. The pulsatile flow produced by walking prevents thrombus formation. It is within this physiological mechanism that the foot impulse device is designed to stimulate the venous pump artificially by compressing the venous plexus and mimicking normal walking and reducing stasis in immobilised patients.

#### **Electrical Stimulation**

Electrical stimulation (ES) devices are designed to increase venous blood flow velocity out of the leg to reduce the incidence of post-surgical venous thrombosis. Electrical stimulation-induced contractions have been shown to activate the skeletal muscle pump, promote limb blood flow, and may be effective for reducing venous pooling/stasis and oedema<sup>154</sup>.

#### 5.2 Clinical evidence on mechanical

#### compression methods alone

We examined the evidence for the effectiveness of using mechanical prophylaxis compared to using no prophylaxis.

## 5.2.1 Graduated compression stockings vs no

#### prophylaxis

We identified nine RCTs with 1344 participants from two systematic reviews<sup>15,444</sup> (Evidence Table 13, Appendix D).

**Effect on DVT:** Stockings reduced the risk of DVT by 51% (RR=0.49, 95% CI: 0.35 to 0.69, 9 studies) (Figure 1, Appendix E). There was significant heterogeneity in the risk reductions observed in these studies ( $\chi^2$  on 8 df =16.99, p=0.03) which appeared to be due to the inclusion of one study<sup>250</sup>. A subgroup analysis of the different length of stockings (Figure 2, Appendix E) showed significant heterogeneity between the groups ( $\chi^2$  on 1 df =13.05, p=0.0003).. We grouped the studies into thigh length (five studies), knee length (one study), thigh and knee length (1 study) and length not specified. The study on mixed length stockings<sup>250</sup> seemed to contribute to this. The study in knee length stockings also showed inconclusive results<sup>448</sup>.

**Effect on pulmonary embolism:** Two trials reported PE data. There was only one event in the two trials (RR=0.32, 95% Cl: 0.01-7.67) (Figure 3, Appendix E).

**Effect on proximal DVT:** There was no significant difference between the groups (RR=0.40, 95% CI: 0.08 to 2.03, three studies) (Figure 4, Appendix E).

#### 5.2.2 Intermittent pneumatic compression

#### devices vs no prophylaxis

We identified one systematic review<sup>444</sup> which included 18 RCTs with 1990 participants (Evidence table 14, Appendix D). We excluded one study from our meta-analysis because it was not conducted in surgical patients.

**Effect on DVT:** IPC devices reduced the risk of DVT by 56% (RR=0.44, 95% CI: 0.33 to 0.59; 17 studies) (Figure 5, Appendix E). There was significant heterogeneity within the results ( $\chi^2$  on 15 df =24.64, p=0.05) which was largely attributable

to the inclusion of one study of patients undergoing pelvic surgery for malignancy<sup>103</sup>. In this study there were more DVTs in the group receiving IPC than the control group (the difference was not significant). The IPC devices were worn in the perioperative period only which may account for the lack of effect in this high risk group.

**Effect on pulmonary embolism:** There was no significant difference between the groups for pulmonary embolism (RR=0.82, 95% CI: 0.42 to 1.60, seven studies) (Figure 7, Appendix E).

**Effect on proximal DVT:** IPC devices reduced the risk of proximal DVT by 44% (RR=0.56, 95% Cl: 0.41 to 0.78, eight studies) (Figure 8, Appendix E).

#### 5.2.3 Foot impulse devices vs no prophylaxis

We identified one systematic review including two RCTs with 126 participants for this comparison<sup>444</sup> (Evidence table 15, Appendix D).

**Effect on DVT:** Foot impulse devices reduced the risk of DVT by 65% (RR 0.35, 95% CI: 0.19 to 0.62, two studies) (Figure 9, Appendix E).

**Effect on pulmonary embolism:** One study reported pulmonary embolism data. There were no events in either group and so the effect on PE could not be estimated (Figure 10, Appendix E).

**Effect on proximal DVT:** There was no significant difference between the groups (RR=0.09, 95% CI: 0.01 to 1.49, one study) (Figure 11, Appendix E).

## 5.3 Clinical evidence on mechanical compression methods as an adjuvant

We examined the evidence for the effectiveness of mechanical prophylaxis when used in combination with another method of prophylaxis (that is, as an adjuvant). In these studies both groups receive a background method of prophylaxis and the intervention group are given a mechanical compression device in addition to this. The background prophylaxis may be another mechanical method or a pharmacological prophylaxis.

## 5.3.1 Graduated compression stockings as an

#### adjuvant

We identified 11 RCTs with 1371 participants from two systematic reviews<sup>15,444</sup> (Evidence table 16, Appendix D) that looked at the effectiveness of GCS as an adjuvant intervention. The background prophylaxis was pharmacological in nine studies, and mechanical (IPC devices) in two.

**Effect on DVT:** Two studies added GCS to a mechanical device. There was no significant difference between groups (RR=0.49, 95% CI: 0.06-4.02) (Figure 15, Appendix E). Adding GCS to a pharmacological prophylaxis produced a 56% reduction in risk (RR=0.39, 95% CI: 0.23 to 0.66, 9 studies) (Figure 15, Appendix E). There was significant heterogeneity within the results ( $\chi^2$  on 8 df = 19.89; P=0.03) which may be due to the different pharmacological background methods used in the studies.

**Effect on pulmonary embolism:** No studies of GCS as an adjuvant to a mechanical device reported PE events. Where a pharmacological background was used, there was no significant difference between the groups (RR=0.34, 95% CI: 0.10 to 1.12, four studies) (Figure 17, Appendix E).

**Effect on proximal DVT**: One study that added GCS to a mechanical device reported proximal DVT with no events in either group. Adding GCS to a pharmacological prophylaxis reduced the risk of proximal DVT by 55%. (RR=0.35, 95% CI: 0.13 to 0.90, 3 studies) (Figure 18, Appendix E).

## 5.3.2 Intermittent pneumatic compression

## devices as an adjuvant

The HTA report<sup>444</sup> included seven RCTs of IPC devices as an adjuvant to another method of prophylaxis in surgical patients (we excluded one study conducted in medical patients). We identified five additional RCTs<sup>136,149,194,298,434</sup> published after the HTA report, making a total of 12 studies with 4244 participants (Evidence table 17, Appendix D). Four studies added IPC devices to a mechanical method, six a pharmacological prophylaxis, and in two studies IPC devices were added to a combined background therapy of stockings plus a pharmacological method.

**Effect on DVT**: No significant difference was found for DVT for any of the IPC adjuvant analyses (mechanical background: RR=0.53, 95% CI: 0.25 to 1.16, 4 studies; pharmacological background: RR=0.78, 95% CI: 0.51 to 1.20, 4 studies; mechanical and pharmacological background: RR=0.95, 95%CI: 0.63 to 1.44, 2 studies) (Figure 19, Appendix E).

**Effect on pulmonary embolism:** Adding IPC devices to a pharmacological method produced a 59% reduction in risk (RR=0.41, 95% CI: 0.25 to 0.65, five studies). This result was mainly determined by one large trial<sup>434</sup>, which was

designed and powered to analyse pulmonary embolism as its primary outcome. Adding IPC devices to another mechanical method (RR=0.51, 95% Cl: 0.05 to 5.43, two studies) or to another mechanical and a pharmacological method found no significant effect (RR=1.01, 95%Cl: 0.06 to 16.05, two studies) (Figure 20, Appendix E).

**Effect on proximal DVT:** No significant difference was found for proximal DVT for any of the IPC adjuvant analyses (mechanical background: RR=0.37, 95% CI: 0.08 to 1.76, three studies; pharmacological background: RR=1.01, 95% CI: 0.33 to 3.09, four studies; mechanical and pharmacological background: RR=0.84, 95%CI: 0.26 to 2.71, one study) (Figure 21, Appendix E).

## 5.3.3 Foot impulse devices as an adjuvant

Three RCTs with 208 participants looked at the effectiveness of foot impulse devices as an adjunct to another method of prophylaxis (Evidence table 18, Appendix D). The background prophylaxis was stockings in two studies<sup>164,504</sup> and unfractionated heparin plus aspirin in one study<sup>498</sup>.

**Effect on DVT:** Foot pumps and stockings reduced the risk of DVT by 74% compared to stockings alone (RR=0.26, 95% CI: 0.09 to 0.70, one study)<sup>164</sup>. There was no significant difference when foot pumps were added to unfractionated heparin and aspirin (RR=0.09, 95% CI: 0.01 to 1.56, one study)<sup>498</sup> (Figure 22, Appendix E).

**Effect on proximal DVT:** Foot pumps plus stockings reduced the risk of proximal DVT by 89% compared to stockings alone (RR=0.11, 95% CI: 0.03 to 0.40, two studies) (Figure 23, Appendix E). In the study using pharmacological background prophylaxis there were no events in either group, the relative risk was therefore not estimable.

# 5.4 Comparison of mechanical compression methods

# 5.4.1 Thigh length stockings vs knee length stockings

The HTA report<sup>444</sup> identified two RCTs comparing thigh and knee length stockings in a total of 496 patients (Evidence table 19, Appendix D). DVT was the only outcome reported.

**Effect on DVT:** A meta-analysis of the two studies was inconclusive owing to the limited number of reported events (RR=1.01, 95% CI: 0.43 to 2.39; figure 27, Appendix E).

## 5.4.2 Thigh length stockings vs knee length

## stockings as an adjuvant

We identified one RCT comparing thigh with knee length stockings in 294 patients where patients in both arms also received LMWH<sup>247</sup> (Evidence table 19, Appendix D). There were three comparisons in the study, two types of thigh length stockings and one type of knee length. For our analysis we have combined the results for the different types of thigh length stockings together. DVT was the only outcome reported.

**Effect on DVT:** Thigh length stockings reduced the risk of DVT by 63% compared to knee-length stockings (RR=0.37, 95% CI: 0.15 to 0.89; figure 27, Appendix E).

## 5.4.3 Thigh length IPC vs knee length IPC

We identified one RCT comparing thigh with calf length IPC devices in 90 patients (Evidence table 20, Appendix D). DVT was the only outcome reported.

**Effect on DVT:** There was no significant difference between the groups (RR=0.31, 95% CI: 0.01 to 7.31; figure 28, Appendix E).

## 5.4.4 Intermittent pneumatic compression

## devices vs graduated compression

## stockings

We identified three studies with 280 participants that compared IPC devices with GCS<sup>214,451,485</sup> (Evidence table 21, Appendix D). In two studies patients in both arms also received a pharmacological prophylaxis, LMWH in one study<sup>485</sup>, and aspirin in the other<sup>451</sup>.

**Effect on DVT:** There was no significant difference between groups receiving IPC devices or stockings (RR=0.57, 95% Cl: 0.12 to 2.71, one study)<sup>214</sup>. When LMWH was used as a background therapy, there was a reduced risk of DVT of 98% in the IPC device group (RR=0.02, 95% Cl: 0.00 to 0.31, one study)<sup>485</sup> (Figure 29, Appendix E).

**Effect on pulmonary embolism:** One study found no significant difference between groups (RR=1.00, 95% CI: 0.07 to 15.12, one study). In the second study aspirin was used as a background prophylaxis and there were no events in either arm. (Figure 30, Appendix E).

**Effect on proximal DVT:** IPC devices reduced the risk of proximal DVT by 67% compared to GCS (RR=0.33, 95% CI: 0.12 to 0.92, two studies) when

used with a pharmacological agent as background prophylaxis (Figure 31, Appendix E).

## 5.4.5 Foot impulse device vs intermittent

## pneumatic compression devices

We identified two studies with a total of 277 participants that compared foot impulse devices with intermittent pneumatic compression devices<sup>20,576</sup> (Evidence table 21, Appendix D). In one study<sup>576</sup>, patients in both arms also wore GCS. Neither study reported proximal DVT rates.

**Effect on DVT:** There was no significant difference between the groups (RR=3.75, 95% CI 0.44 to 31.84, two studies) (Figure 32, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between the groups (RR=2.25, 95% Cl 0.24 to 21.35, two studies) (Figure 33, Appendix E).

## 5.4.6 Foot impulse devices vs graduated

## compression stockings

We did not identify any studies comparing foot impulse devices and graduated compression stockings that met our inclusion criteria.

# 5.5 Grouping of mechanical compression studies

For our analysis, we examined the effect of any mechanical compression method and explored whether there were differences in the magnitude of effects of different methods. The subgroups were tested for heterogeneity.

## 5.5.1 Mechanical compression vs no

## prophylaxis

We combined 28 studies with a total of 3334 participants comparing GCS, IPC devices or foot impulse devices with no prophylaxis.

**Effect on DVT:** Overall, mechanical compression devices reduced the risk of DVT by 54% (RR=0.46, 95% CI: 0.37 to 0.56, 28 studies) (Figure 12, Appendix E). There significant heterogeneity in the overall risk reduction. This was due to the two stocking studies and the one IPC study mentioned in the sections above. There was no significant heterogeneity in the magnitude of effect between the groups ( $\chi^2$  on 2 df =2.45, p=0.29).

Effect on pulmonary embolism: There was no significant reduction in pulmonary embolism for all mechanical compression devices (RR=0.78, 95% Cl: 0.40 to 1.50, 10 studies) (Figure 13, Appendix E). There was no significant heterogeneity between the groups ( $\chi^2$  on 1 df =0.31, p=0.58).

**Effect on proximal DVT**: Overall, mechanical compression devices reduced the risk of proximal DVT by 48% compared to no prophylaxis (RR=0.52, CI 0.38 to 0.72, 12 studies) (Figure 14, Appendix E). There was no significant heterogeneity between the groups ( $\chi^2$  on 1 df =2.06, p=0.15).

## 5.5.2 Mechanical compression as an adjuvant

We combined 25 studies with a total of 5432 participants comparing mechanical prophylaxis as an adjuvant to another thromboprophylaxis in order to determine the overall effect of all mechanical compression methods, and to look for differences in magnitude of effect between mechanical or pharmacological prophylaxis as background therapy.

Effect on DVT: Overall, mechanical compression prophylaxis as an adjuvant reduced the risk of DVT by 46% (RR=0.54, 95% CI: 0.39 to 0.73, 22 studies). Mechanical compression prophylaxis as an adjuvant to another mechanical reduced the risk of DVT by 58% (RR=0.42, 95% CI: 0.18 to 0.98, seven studies). Mechanical compression prophylaxis as an adjuvant to pharmacological prophylaxis reduced the risk of DVT by 50% (RR=0.50, 95% Cl: 0.35 to 0.72, 13 studies) (Figure 24, Appendix E). There was no significant difference when mechanical prophylaxis was a adjuvant to another mechanical and a pharmacological prophylaxis (RR=1.22, 95% CI: 0.37 to 4.01, two studies).There was no significant heterogeneity between the subgroups ( $\chi^2$  on 2 df =3.59; P=0.17)

Effect on pulmonary embolism: Overall, mechanical compression prophylaxis as an adjuvant reduced the risk of pulmonary embolism by 59% (RR=0.41, 95% CI: 0.27 to 0.63, 11 studies). Mechanical compression prophylaxis as an adjuvant to pharmacological prophylaxis reduced the risk of pulmonary embolism by 60% (RR=0.40, 95% CI: 0.25 to 0.62, six studies). There was no significant difference when mechanical compression was used as an adjuvant to another mechanical prophylaxis (RR=0.51, 95% CI: 0.05 to 5.43, two studies). (Figure 25, Appendix E). There was no significant difference when mechanical prophylaxis was a adjuvant to another mechanical and a pharmacological prophylaxis (RR=1.01, 95% Cl: 0.06 to 16.05, one study). There was no significant heterogeneity between the subgroups ( $\chi^2$  on 2 df =0.45; P=0.8).

Effect on proximal DVT: Overall, mechanical compression devices as an adjuvant reduced the risk of proximal DVT by 63% (RR=0.37, CI 0.23 to 0.61, 14 studies). Mechanical compression devices as an adjuvant to another mechanical prophylaxis reduced the risk of proximal DVT by 84% (RR=0.16, CI 0.06 to 0.42, five studies). There was no significant difference when mechanical compression devices were used as an adjuvant to pharmacological prophylaxis (RR=0.92, CI 0.41 to 2.07, five studies). (Figure 26, Appendix E). There was no significant heterogeneity between the subgroups ( $\chi^2$  on 2 df =4.96; P=0.08).

## 5.5.3 Mechanical vs no prophylaxis and mechanical as an adjuvant combined

We combined 53 studies with a total of 8766 participants comparing mechanical prophylaxis with no prophylaxis and mechanical as an adjuvant to determine the overall effect of all mechanical compression methods.

**Effect on DVT:** Mechanical compression prophylaxis alone and mechanical as an adjuvant reduced the risk of DVT by 51% (RR=0.49, 95% Cl: 0.41 to 0.58, 49 studies) (Figure 38, Appendix E). There was no statistically significant heterogeneity between the subgroups ( $\chi^2$  on 3 df =7.12; P=0.07).

**Effect on pulmonary embolism**: Mechanical compression prophylaxis alone and mechanical as an adjuvant reduced the risk of pulmonary embolism by 51% (RR=0.49, 95% CI: 0.34 to 0.70, 21 studies) (Figure 39, Appendix E). There was no statistically significant heterogeneity between the subgroups ( $\chi^2$  on 3 df =2.58; P=0.46).

Effect on proximal DVT: Mechanical compression prophylaxis alone and mechanical as an adjuvant reduced the risk of proximal DVT by 53% (RR=0.47, Cl 0.36 to 0.61, 26 studies) (Figure 40, Appendix E). There was no statistically significant heterogeneity between the subgroups ( $\chi^2$ on 3 df =5.49; P=0.14).

# 5.6 Clinical evidence on electrical

## stimulation

We examined the evidence for the effectiveness of electrical stimulation compared to using no prophylaxis, and compared to mechanical compression devices.

#### 5.6.1 Electrical stimulation vs no prophylaxis

We identified two RCTs with 297 participants comparing electrical stimulation with no prophylaxis<sup>83,336</sup> (Evidence table 22, Appendix D). In one study, each patient was their own control and only one leg was given the electrical stimulation<sup>83</sup>.

**Effect on DVT:** Electrical stimulation produced a 59% reduction in the risk of DVT compared to no prophylaxis (RR=0.41, 95%CI: 0.23 to 0.73) (Figure 34, Appendix E).

**Effect on pulmonary embolism:** Only one study reported pulmonary embolism. There was no evidence that electrical stimulation reduced the incidence of PE (RR=0.36, 95% CI: 0.12 to 1.07) (Figure 35, Appendix E).

**Effect on proximal DVT:** One study reported proximal DVT. There were no events in either arm.<sup>83</sup>.

## 5.6.2 Electrical stimulation vs intermittent

## pneumatic compression plus graduated

#### compression stockings

We identified one study<sup>397</sup> with 150 patients that compared electrical stimulation with a combination of intra-operative and post-operative use of IPC devices and graduated compression stockings (Evidence table 21, Appendix D). Electrical calf stimulation was begun after induction of anaesthesia and continued for the duration of surgery only. The study did not report pulmonary embolisms or major bleeding rates. A third arm received unfractionated heparin and is reported in the section on mechanical vs pharmacological interventions.

**Effect on DVT:** The combination of IPC device and stockings significantly reduced the risk of DVT compared to electrical stimulation by 80% (RR=0.20, 95% CI 0.05-0.77) (Figure 36, Appendix E).

**Effect on proximal DVT:** There was no significant difference between groups (RR=0.19, 95% Cl 0.01 – 4.10) (Figure 37, Appendix E).

## 5.7 Patient views and concordance with

#### mechanical interventions

We identified two studies of graduated compression stockings, one in orthopaedic patients<sup>42</sup> and the other in mixed surgical patients<sup>32</sup> (Evidence table 23, Appendix D). The first study investigated the effect of graduated compression stockings on venous haemodynamics in an RCT of orthopaedic patients<sup>42</sup>. Eighty patients wore thigh length stockings and 80 wore knee length. After 1 hour of wear significantly more patients in the thigh length group had wrinkles in their stockings and reported discomfort. Half of the patients in each group felt unable to manage the stockings independently. Knee and thigh length stockings were similarly efficient in reducing venous stasis, the main outcome of the study.

The second study was a survey carried out on 16 wards over one day to see if the hospital policy of wearing thigh length stockings was practiced<sup>32</sup>. A total of 218 patients on mixed-specialty surgical wards were surveyed. Ninety-nine (46%) of the patients were wearing stockings. Nine of the 14 patients (64%) wearing above knee stockings wore them correctly. Those not wearing them correctly wore them rolled down to below the knee. Seventy-seven of the 85 patients (91%) wearing below knee stockings wore them correctly. Overall, only 4% (nine of 218 patients surveyed) wore graduated compression stockings in accordance with hospital policy.

One study tried a new IPC device applied to either the calf or foot of 30 patients having elective joint replacement<sup>532</sup>. Twenty three of the 27 patients who gave feedback found the device either 'comfortable' or 'very comfortable'. Three patients who had reported discomfort or sleep disturbance had been allocated to the foot garment. All 20 nurses asked to rate the system rated the device 'highly positively'.

One study that looked at foot impulse devices<sup>562</sup> measured the time patients spent wearing a pulsatile pneumatic plantar compression (PlexiPluse) foot wrap after knee arthroplasty surgery, and determined patients' and nurses' views of the device (Evidence table 23, Appendix D). The device was worn for 79.8% of the actual time possible (1314/1646 hours) between 9am to 5pm. Patients found the device "moderately comfortable" to "very comfortable" and "easy to wear", the pumping action "slightly comfortable", and generally, they thought the pump reduced swelling. Nurses found it easy to use and comfortable for their patients.

We identified two studies that compared mechanical interventions (Evidence table 23, Appendix D). In one study, intermittent pneumatic compression devices plus graduated compression stockings were compared with foot pumps in hip joint replacement patients<sup>443</sup>. Some of the participants also had warfarin or heparin given at the discretion of the surgeon. The foot pump was worn for more hours per day over 4 days postoperatively, although the result was not significant. Significantly more patients were "comfortable" or had no complaints with the foot pump (85/120) than in the group with the sequential compression device and stockings (57/104). Thirty-five participants in the foot pump group were having revision surgery and had previously used a sequential device. Twenty-four out of the 35 preferred the foot pump, seven of the 35 preferred sequential compression and four had no preference.

The second study<sup>576</sup> was an RCT that compared the use of pneumatic foot wraps (Plexi-Pulse) with sequential pneumatic compression wraps (Kendall) in adults undergoing major thoracolumbar reconstructive spinal procedures. All participants also wore thigh length stockings. The devices were worn continuously, starting postoperatively and continuing until ambulatory, and then worn when in bed until discharge. There was a wide range of responses in both groups ranging from extremely comfortable to extremely uncomfortable. There was no difference in visual analogue scores for comfort between the two groups.

## 5.8 Economic evidence on mechanical interventions

We found seven studies (Evidence table 65, Appendix D). The studies evaluated either intermittent pneumatic compression (IPC) or graduated compression stockings (GCS). All were decision models. Surgery type was heterogeneous (orthopaedic, general, gynaecological and urological). There was only one UK-based study (the others were mainly from USA plus Canada & South Africa). They mainly estimated health gain in terms of lives saved not QALYs. Most studies included the cost of prophylaxis, diagnosis and treatment of DVT/PE. However, they did not include long-term prophylaxis or treatment of postthrombotic syndrome.

## 5.8.1 IPCD vs no prophylaxis

Three model-based studies found that IPCD was dominant (health improving and cost saving). Three model-based studies found that IPCD increased costs but was cost-effective<sup>253,347,356,410,411,433</sup>.

## 5.8.2 Stockings vs no prophylaxis

Three model-based studies found that the use of stockings was the dominant strategy  $^{410,411,433}$ .

#### 5.8.3 Stockings adjunctive to heparin

Four model-based studies found that adjunctive use of stockings was cost-effective<sup>3,410,411</sup>.

#### 5.8.4 Stockings vs IPC devices

One model found that stockings dominated. Another that IPC devices dominated and a third found that IPC devices were more effective but the cost-effectiveness was indeterminable<sup>410,411,433</sup>.

#### 5.8.5 Stockings adjunctive to IPC devices

One model found that the use of stockings was costeffective when used as an adjunct to IPC devices<sup>411</sup>.

# 5.9 Conclusions on clinical and cost

## effectiveness of mechanical

#### interventions

Mechanical methods of thromboprophylaxis are effective at reducing the risk of DVT and appear to have broadly similar effects irrespective of whether they are used alone or in conjunction with a pharmacological method. Overall, the evidence indicated that mechanical methods reduced the risk of PE and of proximal venous thrombosis, but owing to the potential for selective reporting of only the more promising of trial results on such outcomes, the precise size of such effects could not be determined reliably. In subgroup analysis we found no evidence of differences in the effectiveness of different types of mechanical thromboprophylaxis.

There were no cost-effectiveness studies evaluating foot impulse devices or electrical stimulation. There were seven decision models evaluating the costeffectiveness of stockings or intermittent compression devices. They consistently found that mechanical compression methods were costeffective compared with no prophylaxis. There was inconsistency in the results with regard to comparisons between stockings and intermittent pneumatic compression. The most important contribution to this heterogeneity is the methods of estimating effectiveness. The trials employed to estimate effectiveness varied and sometimes crude methods of indirect comparison were used to estimate the event rates for each strategy. To ensure that all of the good quality clinical evidence is used systematically, we have conducted our own mixed treatment comparison meta-analysis and cost-effectiveness analysis (chapters 12 & 13).

The GDG, after taking into account the results of the direct comparisons (this chapter), the mixed treatment meta-analysis, the economic model (chapters 12 and 13) and patient views, recommend the use of graduated compression stockings because they can be used during surgery, on the ward after surgery and at home after discharge (please see chapters 12 and 13 for further details of the analysis). Stockings are suitable for most patients, however, the GDG recommend that they should not be used by patients with peripheral arterial disease. Thigh length stockings are recommended in preference to knee length as there is evidence that they are more effective, but the GDG recognise that there may be cases where thigh-length stockings are not suitable, due to fit or patient comfort. In such cases knee length stockings should be used as an alternative. The compression profile should be 18mmHg at the ankle, 14mmHg at the knee and 8mmHg at the upper thigh. An ankle pressure of 18mmHg is consistent with class II British Standard and class I European Standard.

Given that immobility is considered to be a cause or risk factor for VTE (see chapter 4), providing prophylaxis until a patient is back to their usual level of mobility was considered good practice by the GDG. They should be informed that doing this will reduce their risk of developing venous thromboembolism.

Few studies were available that investigated patients' views and concordance with using mechanical interventions. Those that were showed: thigh-length stockings were less comfortable and more likely to be worn incorrectly (that is, rolled down to the knee) than knee-length stockings; patients had no preference between foot wraps and intermittent pneumatic compression devices and one study showed a preference for foot pumps over intermittent pneumatic compression devices. The GDG decided that it was good practice that patients using stockings should be shown how to wear them correctly and staff trained in the use of the product should monitor their use and provide assistance if they are not being worn correctly.

The GDG also recommend IPC and foot impulse devices can be used as an alternative to compression stockings whilst in hospital. This was because the evidence did not indicate that there was a difference in the effectiveness between these mechanical compression devices (sections 5.4 and 5.5) and the GDG wanted to give flexibility to clinicians to decide on the most suitable method for each patient. When used, these should be worn for as much of the time as is possible and practical while the patient is in bed or sitting in a chair.

#### 5.10 Recommendations

Inpatients having surgery should be offered thigh-length graduated compression/antiembolism stockings from the time of admission to hospital unless contraindicated (for example, in patients with established peripheral arterial disease or diabetic neuropathy). If thigh-length stockings are inappropriate for a particular patient for reasons of compliance or fit, kneelength stockings may be used as a suitable alternative.

The stocking compression profile should be equivalent to the Sigel profile, and approximately 18 mmHg at the ankle, 14 mmHg at the mid-calf and 8 mmHg at the upper thigh.

Healthcare professionals should encourage patients to wear their graduated compression/anti-embolism stockings until they return to their usual level of mobility. Patients should be informed that this will reduce their risk of developing VTE.

Patients using graduated compression/antiembolism stockings should be shown how to wear them correctly by staff trained in the use of that product. Stocking use should be monitored and assistance provided if they are not being worn correctly.

Intermittent pneumatic compression or foot impulse devices may be used as alternatives or in addition to graduated compression/antiembolism stockings while surgical patients are in hospital.

When used on the ward, intermittent pneumatic compression or foot impulse devices should be used for as much of the time as is possible and practical while the patient is in bed or sitting in a chair.

## 5.11 Recommendation for research

## 5.11.1 Research Question

What is the effectiveness of graduated compression/anti-embolism stockings and either an intermittent pneumatic compression (IPC) device or a foot pump device, compared with graduated compression/anti-embolism stockings alone, in reducing the risk of (objectively diagnosed) DVT and/or PE in adult inpatients undergoing surgery? Patients may be risk of VTE because of the procedure (e.g. hip fracture), or because they have risk factors for such disease (e.g. thrombophilia, age over 60 years).

All patients should be screened for the presence of DVT and/or PE.

Randomisation would be stratified into two groups:

 Patients in whom pharmacological prophylaxis is contraindicated (e.g. because of an increased risk of bleeding). • Patients in whom pharmacological prophylaxis is indicated, but the risk of VTE is very high.

Secondary outcomes would be costs, quality of life, skin problems, myocardial infarction, stroke and other adverse events e.g. bleeding.

## 5.11.2 Why this research is important

Only a small number of RCTs have evaluated a combination of mechanical methods. These studies have shown promising results, but have involved small numbers of patients, and the large effect sizes observed in some of these studies suggest bias.

This trial would inform the management of two specific groups of patients in whom the available treatment options are restricted.

• Patients at high risk of VTE who cannot have heparin because they are also at increased risk of bleeding.

• Patients at very high risk of VTE who can be given pharmacological prophylaxis who might benefit from combination mechanical thromboprophylaxis.

This trial would help extend the current NICE recommendations. There may be cost savings if the addition of a second mechanical method results in further reduction of VTE.

The proposed research is feasible but depends on the extent to which surgeons are certain about the value of combining two mechanical methods of thromboprophylaxis, because this would determine their willingness to randomise. Before any trial this issue would need to be explored in detail, possibly via a questionnaire.

# 6 Pharmacological methods of prophylaxis

#### 6.1 Introduction

The pharmacological methods of prophylaxis considered within this guideline are oral anticoagulants, dextran, fondaparinux, heparins (unfractionated and low molecular weight heparin), aspirin and danaparoid. This chapter reports evidence on the effectiveness of pharmacological prophylaxis compared to nil (or placebo) or to any other pharmacological methods. The effectiveness of pharmacological prophylaxes when compared to mechanical methods is reported in chapter 7.

## 6.2 Oral anticoagulants (OAC)

#### 6.2.1 Introduction

Warfarin is a coumarin derivative and acts as a vitamin K antagonist.

The synthesis of active clotting factors II, VII, IX and XI (as well as the anticoagulant proteins C and S) requires carboxylation of glutamic acid residues which is dependent on the presence of vitamin K. Antagonism of vitamin K therefore reduces the amount of these factors, thereby producing a state of anticoagulation.

Warfarin can be administered as a 'fixed', lower dose which is intended to never achieve anticoagulation to a degree that represents sufficient hazard of bleeding to require monitoring. It is more usually given at adjusted, variable doses to achieve a therapeutic level, as estimated by attaining an INR (International Normalised Ratio) of 2-3. This requires frequent monitoring and takes approximately 5 days for a stable antithrombotic effect to be achieved. There is much variability in responses to warfarin, which is determined by several factors including age, genetic status, medications, diet and medical conditions. The most important complication of anticoagulation is bleeding but, if required, the effect of warfarin can be reversed with vitamin K, prothrombin

concentrates and replenishment of clotting factors by the use of fresh frozen plasma.

#### 6.2.2 Clinical evidence on oral anticoagulants

#### 6.2.2.1 Oral anticoagulants vs no prophylaxis

We identified two systematic reviews, one with nine RCTs<sup>444</sup> and one with an additional two RCTs<sup>374</sup> (Evidence Table 24, Appendix D). Overall, a total of 1320 participants were included.

**Effect on DVT:** Oral anticoagulants reduced the risk of DVT by 51% (RR=0.49, 95% CI: 0.34 to 0.73, ten studies) (Figure 41, Appendix E). There was significant heterogeneity within the results ( $\chi^2$  on 9 df = 25.55, p=0.002).

**Effect on pulmonary embolism:** Oral anticoagulants reduced the risk of PE by 82% (RR=0.18, 95% CI: 0.08 to 0.45, five studies) (Figure 42, Appendix E).

**Effect on proximal DVT:** Oral anticoagulants reduced the risk of proximal DVT by 58% (RR=0.42, 95% CI: 0.22 to 0.78, four studies) (Figure 43, Appendix E).

**Effect on major bleeding:** Oral anticoagulants increased the risk of bleeding by 58% (RR=1.58, 95% Cl: 1.01 to 2.47, nine studies) (Figure 44, Appendix E).

#### 6.2.2.2 Oral anticoagulants as an adjuvant

#### intervention

We identified one systematic review with five RCTs<sup>444</sup> and a total of 688 participants (Evidence Table 25, Appendix D). Two studies used graduated compression stockings as background therapy, one used intermittent pneumatic compression devices, one used unfractionated heparin and one used dextran. **Effect on DVT:** There was no significant difference in DVT when OACs were used as an adjuvant. (RR=0.64, 95% Cl: 0.39 to 1.06, four studies) (Figure 45, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference for OAC when used as an adjuvant intervention (RR=0.42, 95% CI: 0.10 to 1.75, three studies) (Figure 46, Appendix E).

**Effect on proximal DVT**: There was no significant difference for OAC when used as an adjuvant intervention (RR=0.70, 95% CI: 0.28 to 1.73, two studies) (Figure 47, Appendix E).

**Effect on major bleeding:** There was no significant difference in major bleeding events when OACs were used as an adjuvant intervention (RR=2.84, 95% CI: 0.57 to 14.19, three studies) (Figure 48, Appendix E).

## 6.2.2.3 Adjusted vs fixed (lower) dose oral

#### anticoagulants

We identified one systematic review with two RCTs<sup>444</sup> and one additional RCT<sup>59</sup> that compared adjusted dose oral anticoagulants with fixed dose in a total of 567 participants (Evidence Table 26, Appendix D). The adjusted dose studies all gave the first dose preoperatively and continued the regimen for between three days and six weeks postoperatively. The fixed dose regimens were all started preoperatively and continued for between 14 days and 6 weeks postoperatively.

**Effect on DVT**: Adjusted-dose OAC reduced the risk of DVT by 49% (RR=0.51, 95% CI: 0.30 to 0.85, three studies) compared to fixed dose OAC (Figure 49, Appendix E). This result was determined almost entirely by the results of one study<sup>158</sup>.

**Effect on pulmonary embolism:** Two studies reported PE rates. Only one event was observed (RR=2.97, 95% CI: 0.12 to 72.01) (Figure 50, Appendix E).

**Effect on proximal DVT:** There was no significant difference between adjusted and fixed dose oral anticoagulants (RR=0.36, 95% Cl: 0.12 to 1.09, one study) (Figure 51, Appendix E).

**Effect on major bleeding:** There was no significant difference between adjusted and fixed dose OAC (RR=1.22, 95% CI: 0.47 to 3.18, two studies) (Figure 52, Appendix E).

## 6.2.2.4 Timing of initiation of oral

#### anticoagulants

We identified two studies<sup>167,509</sup> with 321 participants that compared timing of initiation of OAC (Evidence Table 27, Appendix D). In one study<sup>167</sup>, patients were randomised to receive warfarin started 10-14 days preoperatively or the night before surgery. In the second study, patients received acenocoumarol begun either four days preoperatively or on the night before surgery.

**Effect on DVT:** No significant difference was found between treatment regimens (RR=1.04, CI: 0.73 to 1.48, one study) (Figure 53, Appendix E).

**Effect on PE:** One study reported PE data but the effect was not estimable as no events were reported (Figure 54, Appendix E).

**Effect on proximal DVT**: No significant difference was found between treatment regimens (RR=0.98, CI: 0.53 to 1.79, one study) (Figure 55, Appendix E).

**Effect on major bleeding**: There was no significant difference (RR=2.55, Cl: 0.51 to 12.84, one study) (Figure 56, Appendix E).

## 6.2.2.5 Duration of OAC prophylaxis

One study looked at the effectiveness of extending OAC prophylaxis beyond discharge<sup>429</sup> (Evidence Table 28, Appendix D). 360 patients received OAC while hospitalised and were randomised at discharge to either continue OAC for a further 4 weeks or to stop prophylaxis. The study assessed proximal DVT only; hence the effect on all DVT (i.e. including thromboses in the calf) was not estimable.

**Effect on pulmonary embolism:** There was no significant difference when extending prophylaxis beyond discharge (RR=0.32, 95% CI: 0.01 to 7.78) (Figure 57, Appendix E).

**Effect on proximal DVT**: Extending prophylaxis beyond discharge reduced the risk of proximal DVT by 88% (RR=0.12, 95% CI: 0.02 – 0.95) (Figure 58, Appendix E).

**Effect on major bleeding:** Only one bleeding event was observed, in the extended prophylaxis group (RR=2.87, 95% CI: 0.12 to 69.99) (Figure 59, Appendix E).

## 6.2.2.6 OAC vs unfractionated heparin

We identified two systematic reviews<sup>374,444</sup> and one additional RCT<sup>535</sup> with a total of 730 participants from six RCTs (Evidence Table 29, Appendix D).

**Effect on DVT:** Oral anticoagulants increased the risk of DVT by 54% compared to unfractionated heparin (RR=1.54, 95% CI: 1.12 to 2.12, six studies) (Figure 60, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between OACs and unfractionated heparin (RR=1.80, 95% CI: 0.63 to 5.14, one study) (Figure 61, Appendix E).

**Effect on proximal DVT**: There was no significant difference between interventions (RR=8.31, 95% CI: 0.45 to 155.00, one study) (Figure 62, Appendix E).

**Effect on major bleeding:** There was no significant difference between OACs and unfractionated heparin (RR=0.55, 95% Cl: 0.24 to 1.23, four studies) (Figure 63, Appendix E).

## 6.2.2.7 OAC vs low molecular weight heparin

We identified two systematic reviews<sup>374,444</sup> and one additional RCT<sup>109</sup> with a total of 11,560 participants from 11 RCTs (Evidence Table 30, Appendix D).

**Effect on DVT:** LMWH significantly reduced the risk of DVT compared to OAC (RR=1.43, 95% CI: 1.31 to 1.56, nine studies) (Figure 64, Appendix E). The results were heterogeneous ( $\chi^2$  on 9 df = 19.13, p=0.02). Sensitivity analyses suggested that this was due to the inclusion of Hull et al 1993<sup>252</sup>.

**Effect on pulmonary embolism:** There was no significant difference (RR=1.52, 95% Cl: 0.66 to 3.48, seven studies) (Figure 65, Appendix E).

**Effect on proximal DVT**: LMWH signicantly reduced the risk of proximal DVT compared to OAC (RR=1.23, 95% CI: 1.01 to 1.50, nine studies) (Figure 66, Appendix E).

**Effect on major bleeding:** There was no significant difference between oral anticoagulants and LMWH (RR=0.80, 95% Cl: 0.51 to 1.24, 10 studies) (Figure 67, Appendix E).

## 6.2.2.8 OAC vs aspirin

We identified four RCTs with a total of 902 participants<sup>216,339,428,577</sup> (Evidence Table 31, Appendix D). In one study all patients also received intermittent pneumatic compression devices and graduated compression stockings<sup>577</sup>. Oral anticoagulants were started preoperatively in three studies and postoperatively in one study. Aspirin was started at admission or preoperatively for three studies and postoperatively in one study. The duration of both regimens was until discharge in three studies and not reported for one study. **Effect on DVT:** The difference between OAC and aspirin was not significant (RR=0.65, 95% CI: 0.41 to 1.04, three studies) (Figure 68, Appendix E). There was heterogeneity with the results ( $\chi^2$  on 2 df = 6.01, p=0.05), the cause of which could not be determined.

**Effect on pulmonary embolism:** There was no significant difference found between OAC and aspirin (RR=0.77, Cl: 0.39 to 1.51, three studies) (Figure 69, Appendix E).

**Effect on proximal DVT:** There was no significant difference between OAC and aspirin (RR=0.87, Cl: 0.57 to 1.35, four studies) (Figure 70, Appendix E).

**Effect on major bleeding:** There was no significant difference between groups (RR=5.08, 95% Cl: 0.61 to 42.28, one study) (Figure 71, Appendix E).

## 6.2.2.9 OAC vs dextran

We identified one systematic review<sup>374</sup> and two additional RCTs<sup>216,316</sup> with a total of 990 participants from 6 RCTs (Evidence Table 32, Appendix D).

**Effect on DVT**: There was no significant difference between OAC and dextran (RR=0.91, 95% CI: 0.53 to1.56, five studies) (Figure 72, Appendix E). There was heterogeneity within the results ( $\chi^2$  on 4 df = 13.82, p=0.008) caused by the inclusion of one study<sup>165</sup> which used dextran 40 (the other studies used dextran 70). This study found a significant benefit of OAC over dextran 40 (RR=0.40, 95% CI: 0.22 to 0.75), whereas the difference was not significant for OAC vs dextran 70 (RR=1.24, 95% CI: 0.69 to 2.23, four studies).

**Effect on pulmonary embolism:** There was no significant difference between dextran and OAC (RR=0.50, 95% CI: 0.22 to 1.13, four studies) (Figure 73, Appendix E).

**Effect on proximal DVT:** OAC reduced the risk of proximal DVT by 71% compared to dextran (RR=0.27, 95% CI: 0.10 to 0.78, two studies) (Figure 74, Appendix E).

**Effect on major bleeding:** It was not possible to obtain a reliable estimate of effect due to the low number of events observed (RR=3.78, 95% Cl: 0.68 to 21.04, two studies) (Figure 75, Appendix E).

## 6.2.2.10 OAC vs danaparoid

We identified one systematic review with two RCTs with a total of 777 participants  $^{374}$  (Evidence Table 33, Appendix D).

**Effect on DVT:** There were significantly more DVT in the OAC group compared to the danaparoid group (RR=2.14, 95% CI: 1.53 to 2.99, two studies) (Figure 76, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference found between OAC and danaparoid (RR=3.03, 95% Cl: 0.32 to 28.94, two studies) (Figure 77, Appendix E).

**Effect on proximal DVT:** There was no significant difference found between OAC and danaparoid (RR=2.69, 95% Cl: 0.73 to 10.01, one study) (Figure 78, Appendix E).

**Effect on major bleeding:** There was no significant difference found between OAC and danaparoid (RR=0.79, 95% CI: 0.38 to 1.67, two studies) (Figure 79, Appendix E).

## 6.2.2.11 Patient views on oral anticoagulants

No studies were identified that examined patient views on oral anticoagulants as a prophylaxis.

#### 6.2.3 Economic evidence on oral

anticoagulants

## 6.2.3.1 The studies

We included 17 studies evaluating the costeffectiveness of OAC (14 models, two RCTs and one cohort study) (Evidence Table 65, Appendix D). All studies were for major orthopaedic surgery. The studies were based in Europe or North America; none were based in the UK and mainly estimated health gain in terms of VTEs averted or deaths averted. All studies included the cost of prophylaxis, diagnosis and treatment of DVT/PE. Most included the cost of bleeding. One included the cost and quality of life impact associated with post-thrombotic syndrome.

## 6.2.3.2 OAC vs no prophylaxis

Five model-based studies found that OAC was dominant (health improving and cost saving)<sup>378,391,410,456</sup>.

## 6.2.3.3 OAC prophylaxis extended beyond

#### discharge

One model-based study found that extended use dominated standard in-hospital use<sup>467</sup>.

#### 6.2.3.4 OAC vs danaparoid

There was one model  $^{\rm 378}$  . In this model danaparoid dominates OAC.

### 6.2.3.5 OAC vs dextran

Two studies found that OAC was dominant<sup>378,456</sup>.

## 6.2.3.6 OAC vs aspirin

One cohort study found that OAC was more effective but cost-effectiveness is indeterminable<sup>12</sup>.

## 6.2.3.7 OAC vs aspirin (extended beyond

#### discharge)

One model-based study found that OAC was more effective but cost-effectiveness is indeterminable  $^{467}$ .

## 6.2.3.8 OAC vs UFH

Three model-based studies<sup>3,378,410</sup> and one cohort study<sup>12</sup> found that OAC dominated UFH. These results are contradicted by our clinical review (above) that found UFH to be more effective.

## 6.2.3.9 OAC vs LMWH

There were two RCT-based studies<sup>169,259</sup> and 10 models<sup>3,75,91,122,223,368,391,402,546</sup>. LMWH was dominant in four studies. LMWH was cost-effective in four studies. OAC was dominant in two studies and in two studies cost-effectiveness was indeterminable.

## 6.2.3.10 OAC vs LMWH (extended beyond

#### discharge)

One model-based study found that OAC dominated LMWH for elective hip patients<sup>547</sup>. A second model-based study found that LMWH was cost-effective in the same group of patients<sup>176</sup>

## 6.2.4 Conclusions of clinical and cost

#### effectiveness on oral anticoagulants

Oral anticoagulants (OACs) are effective in reducing DVT and PE but significantly increase the risk of major bleeding. Adjusted dose OACs (i.e. monitoring INR levels) are significantly more effective than lower fixed doses in reducing the risk of DVT. There was not enough evidence to determine differences in effect for major bleeding.

For reducing the risk of DVT, OACs are more effective than dextran 40 but less effective than unfractionated or low molecular weight heparins and danaparoid. There was no evidence of a difference for aspirin or dextran 70. There was not enough evidence to determine a difference in major bleeding between OACs and other pharmacological prophylaxes.

There were a number of studies evaluating the costeffectiveness of OAC. They consistently found that OAC was cost-effective compared with no prophylaxis. There was great inconsistency in the results with regard to comparisons with other types of pharmacological prophylaxis including LMWH. The most important contribution to this heterogeneity is the methods of estimating effectiveness. The trials employed to estimate effectiveness varied and sometimes crude methods of indirect comparison were used to estimate the event rates for each strategy. To ensure that all of the good quality clinical evidence is used systematically, we have conducted our own mixed-treatment comparison meta-analysis and cost-effectiveness analysis (Chapters 12 and 13).

## 6.3 Danaparoid

#### 6.3.1 Introduction

Danaparoid is a mixture of low molecular weight sulphated glycosamino-glycans. It acts in a similar way to heparin and pentasaccharides as above and reduces the risk of VTEs by binding to antithrombin, leading to anti Xa activity. Danaparoid is administered subcutaneously either continuously or twice daily usually 1-4 hours preoperatively. It is normally used when the patient has had HIT, because the antibody against heparin does not cross-react with it.

#### 6.3.1.1 Clinical evidence on danaparoid

Evidence comparing danaparoid with oral anticoagulants can be found in the section on oral anticoagulants.

#### 6.3.1.2 Danaparoid vs no prophylaxis

We identified one RCT that compared danaparoid with a placebo<sup>239</sup> and had 196 participants (Evidence Table 34, Appendix D). Major bleeding was not reported.

**Effect on DVT:** Danaparoid reduced the risk of DVT by 73% (RR=0.27, 95% CI: 0.17 to 0.45, one study) (Figure 80, Appendix E).

**Effect on pulmonary embolism:** There were no events in either arm and therefore the effect on PE could not be estimated (Figure 81, Appendix E).

**Effect on proximal DVT**: Danaparoid reduced the risk of proximal DVT by 67% (RR=0.33, 95% Cl: 0.16 to 0.69, one study) (Figure 82, Appendix E).

#### 6.3.1.3 Danaparoid vs dextran

We identified one RCT that compared danaparoid with dextran 70 with 247 participants (Evidence Table 35, Appendix D) BERGQVIST1991. The study did not report the number of proximal DVT or major bleeding events.

**Effect on DVT:** Danaparoid reduced the risk of DVT by 62% compared to dextran (RR=0.38, 95% CI: 0.22 to 0.65, one study) (Figure 83, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between the groups (RR=0.56, 95% CI: 0.05 to 6.05, one study) (Figure 84, Appendix E).

#### 6.3.1.4 Danaparoid vs low molecular weight

#### heparin

We identified one RCT that compared danaparoid with two types of low molecular weight heparin<sup>45</sup> with a total of 197 participants (Evidence Table 36, Appendix D). There was no difference in effectiveness between the two low molecular weight heparins and the results for these groups were combined in the meta-analysis.

**Effect on DVT:** There was no significant difference in effectiveness between danaparoid and LMWH (RR=0.47, 95% Cl: 0.14 to 1.59, one study) (Figure 85, Appendix E).

**Effect on pulmonary embolism:** There were no event rates in either group and therefore the effect on PE was not estimable (Figure 86, Appendix E).

**Effect on proximal DVT:** There was no significant difference in effectiveness between danaparoid and LMWH (RR=0.82, 95% CI: 0.16 to 4.10, one study) (Figure 87, Appendix E).

**Effect on major bleeding:** Event rates observed in the study were low and no significant difference was found (RR=0.68, 95% CI: 0.07 to 6.38, one study) (Figure 88, Appendix E).

## 6.3.1.5 Danaparoid vs unfractionated heparin

We identified two RCTs that compared danaparoid with unfractionated heparin. In one study danaparoid was compared with unfractionated heparin alone<sup>181</sup> (Evidence Table 37, Appendix D). In the other, danaparoid was compared with unfractionated heparin plus dihydoergotamine<sup>333</sup>. There were a total of 822 participants. Neither study reported major bleeding events.

**Effect on DVT**: Danaparoid reduced the risk of DVT by 39% compared to unfractionated heparin (RR=0.61, 95% CI: 0.45 to 0.84, two studies) (Figure 89, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between danaparoid and unfractionated heparin (RR=1.65, 95% CI: 0.22 to 12.33, two studies) (Figure 90, Appendix E).

**Effect on proximal DVT:** There was no significant difference between danaparoid and unfractionated heparin (RR=0.75, 95% CI: 0.29 to 1.95, one study) (Figure 91, Appendix E).

## 6.3.1.6 Danaparoid vs aspirin

We identified one RCT that compared danaparoid with aspirin<sup>190</sup> with 251 participants (Evidence Table 38, Appendix D). The study did not report major bleeding events.

**Effect on DVT:** Danaparoid reduced the risk of DVT by 36% compared to aspirin (RR=0.64, 95% Cl: 0.43 to 0.97, one study) (Figure 92, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between danaparoid and aspirin on the effect of PE (RR=0.34, 95% CI: 0.01 to 8.17, one study) (Figure 93, Appendix E).

**Effect on proximal DVT**: There was no significant difference between danaparoid and aspirin (RR=0.51, 95% CI: 0.20 to 1.33, one study) (Figure 94, Appendix E).

## 6.3.1.7 Patient views of this group of

## interventions

No studies were identified examining patient views on danaparoid as a prophylaxis.

## 6.3.2 Economic evidence on danaparoid

## 6.3.2.1 The studies

We identified three decision models<sup>378,545,546</sup> (Evidence Table 65, Appendix D), all of which were for major orthopaedic surgery. One study was conducted in Netherlands and the other two were from USA.

The studies estimated health gain in terms of VTEs averted or death averted. All studies included the cost of prophylaxis, diagnosis and treatment of DVT/PE. None of the studies considered HRQL of post-thrombotic syndrome and the cost of bleeding event.

## 6.3.2.2 Danaparoid vs nil

There was one model<sup>378</sup>. In this model danaparoid dominates.

## 6.3.2.3 Danaparoid vs LMWH

There were three models<sup>378,545,546</sup>. In two models danaparoid dominates, in the third model LMWH dominates

## 6.3.2.4 Danaparoid vs UFH

There was one model<sup>378</sup>. In this model danaparoid dominates.

## 6.3.2.5 Danaparoid vs dextran

There was one model  $^{\rm 378}.$  In this model danaparoid dominates.

## 6.3.3 Conclusions on clinical and cost

## effectiveness of danaparoid

Danaparoid is effective in reducing DVT. Danaparoid is more effective for reducing the risk of DVT than dextran, aspirin and unfractionated heparin. Based on the results of one study, danaparoid is as effective as low molecular weight heparin. There was no evidence for fondaparinux and there was not enough evidence to conclude on major bleeding for any comparison.

There were a few studies evaluating the costeffectiveness of danaparoid. Danaparoid appears to be cost-effective compared with no prophylaxis. There were contradictory results compared with LMWH. The most important contribution to this heterogeneity is the method of estimating effectiveness. The trials employed to estimate effectiveness varied and sometimes crude methods of indirect comparison were used to estimate the event rates for each strategy. To ensure that all of the good quality clinical evidence is used systematically, we have conducted our own mixedtreatment comparison meta-analysis and costeffectiveness analysis (Chapters 12 and 13).

#### 6.4 Dextran

#### 6.4.1 Introduction

Dextran works by binding onto red cells and platelets and thus reducing their adhesiveness. It also has a heparin-like activity that requires the presence of antithrombin. It is available as preparations with different molecular weights (e.g. Dextran 10, 40,60 and 70) in different dilutions, the larger ones being excreted poorly by the kidneys and can therefore retain their antithrombotic effect for long periods.(days). Dextrans are administered intravenously and need large volumes (greater than 1.5l) to achieve a concentration which will have anticoagulant effect. The practicalities of administering it mean that it is generally used during operative period only. There are few side effects but it can cause anaphylaxis (severe allergic reactions), fluid overload and renal failure and can interfere with the interpretation of blood group testing by causing clumping of red cells.

#### 6.4.2 Clinical evidence for dextran

The evidence for dextran compared to oral anticoagulants is reported in the previous section.

#### 6.4.2.1 Dextran vs no prophylaxis

We identified a systematic review of 13 RCTs<sup>444</sup> and two additional studies<sup>69,500</sup>, giving a total of 15 studies with 1944 participants (Evidence Table 39, Appendix D). The majority of the studies were carried out before 1983 with only two carried out in the 1990s.

**Effect on DVT:** Dextran reduced the risk of DVT by 28% (RR=0.72, 95% CI: 0.55 to 0.95, 15 studies). There was significant heterogeneity within the results ( $\chi^2$  on 14 df = 29.73, p=0.008) (Figure 95, Appendix E).

**Effect on pulmonary embolism:** The results were not significantly different between the groups (RR=0.76, 95% CI: 0.33 to 1.77, two studies) (Figure 96, Appendix E).

**Effect on proximal DVT**: There was no significant difference between dextran and no prophylaxis (RR=0.73, 95% Cl: 0.42 to 1.25, six studies) (Figure 97, Appendix E).

**Effect on major bleeding:** Five studies assessed major bleeding rates. No events were observed in either arm across all of the trials and the effects of dextran on major bleeding were therefore not estimable (Figure 98, Appendix E).

#### 6.4.2.2 Effectiveness of dextran as an adjuvant

#### intervention

We identified one systematic review of five RCTs<sup>444</sup> and two additional studies<sup>69,500</sup> giving a total of seven studies with 672 participants (Evidence Table 40, Appendix D).

**Effect on DVT:** Dextran reduced the risk of DVT by 42% (RR=0.58, 95% CI: 0.44 to 0.76, five studies) when used an adjuvant therapy (Figure 99, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between groups when dextran was used as an adjuvant (RR=0.50, 95% Cl: 0.22 to 1.14, three studies) (Figure 100, Appendix E).

**Effect on proximal DVT:** There was no significant difference between groups (RR=0.69, 95% Cl: 0.39 to 1.24, one study) (Figure 101, Appendix E).

**Effect on major bleeding:** When used as an adjuvant dextran increased major bleeding events by 138% (RR=2.38, 95% CI: 1.13 to 4.98, three studies) (Figure 102, Appendix E).

## 6.4.2.3 Subgroup analysis of dextran vs no

#### prophylaxis studies: Effectiveness of

#### different molecular weights of dextran

The studies identified used different molecular weights of dextran. Three studies used dextran  $40^{204,228,249}$ , ten used dextran

70<sup>49,52,69,93,267,346,500,543,560</sup>, one used mixed weights (40 and 70)<sup>262</sup> and one study did not report the molecular weight<sup>153</sup>. We carried out a subgroup analysis of the dextran vs nil studies to look for a difference in effect between dextran 40 and dextran 70. Subgroup analyses were not possible for pulmonary embolism (there were no studies evaluating dextran 40 that reported PE) or major bleeding (there were no events in any of the trials).

**Effect on DVT**: Neither dextran 40 or dextran 70 significantly reduced the risk of DVT when compared to no prophylaxis (dextran 40 RR=0.71, 95% CI: 0.50 to 1.01, three studies; dextran 70 RR=0.67, 95% CI 0.44 to 1.03, ten studies). There was heterogeneity within the dextran 70 results ( $\chi^2$  on 9 df = 23.96, p=0.004). The subgroup analysis

found no significant difference in the magnitude of effect for dextrans 40 or 70 ( $\chi^2$  on 1 df = 0.18, p=0.67) (Figure 103, Appendix E).

**Effect on proximal DVT**: When analysed separately, nether dextran 40 (RR=0.29, 95% CI: 0.05 to 1.83, two studies) or dextran 70 (RR=0.96, 95% CI: 0.52 to 1.76, four studies) significantly reduced the risk of proximal DVT. The subgroup analysis found no significant difference in the magnitude of effect for dextrans 40 or 70 ( $\chi^2$  on 1 df = 1.62, p=0.2) (Figure 104, Appendix E).

## 6.4.2.4 Comparison of dextran with low

#### molecular weight heparin

We identified one review of five studies with a total of 1135 participants<sup>444</sup> (Evidence Table 41, Appendix D).

**Effect on DVT:** The dextran group had significantly more DVT events than LMWH (RR=1.79, 95% CI: 1.22 to 2.63, five studies) (Figure 105, Appendix E). There was significant heterogeneity ( $\chi^2$  on 4 df = 10.08, p=0.04) within the results, which appeared to be due to one study<sup>565</sup> although no specific cause could be identified.

**Effect on pulmonary embolism:** Due to low event rates the difference in effect was not significant (RR=1.20, 95% CI: 0.41 to 3.54, four studies) (Figure 106, Appendix E).

**Effect on proximal DVT**: There was no significant difference between dextran and LMWH (RR=1.30, 95% Cl: 0.57 to 2.94, five studies) (Figure 107, Appendix E).

**Effect on major bleeding:** Four studies reported major bleeds. There were no events in either arm of any of the trials hence the relative risk of bleeding was not estimable (Figure 108, Appendix E).

## 6.4.2.5 Comparison of dextran with

## unfractionated heparin

We identified one review of 10 RCTs<sup>444</sup> and we identified three more<sup>30,171,360</sup> giving a total of 13 studies with 1844 participants (Evidence Table 42, Appendix D).

**Effect on DVT:** There was no significant difference between dextran and unfractionated heparin (RR=1.32, 95% CI: 0.94 to 1.85, 13 studies) (Figure 109, Appendix E). There was considerable heterogeneity within the results ( $\chi^2$  on 12 df = 32. 20, p=0.001).

#### Effect on pulmonary embolism: No

significant difference was found between dextran and unfractionated heparin (RR=0.98, 95% Cl: 0.59 to 1.62, five studies) (Figure 110, Appendix E). The result was almost entirely determined by one study<sup>171</sup>.

**Effect on proximal DVT:** There was no significant difference between dextran and unfractionated heparin (RR=1.92, 95% CI: 0.89 to 4.15, five studies) (Figure 111, Appendix E).

**Effect on major bleeding:** There was no significant difference in risk of major bleeding for dextran or unfractionated heparin (RR=0.41, 95% Cl: 0.15 to 1.18, eight studies) (Figure 112, Appendix E).

## 6.4.2.6 Comparison of dextran with aspirin

One study of 187 participants compared low molecular weight dextran with aspirin<sup>216</sup> (Evidence Table 43, Appendix D).

**Effect on DVT:** There was no significant difference between dextran and aspirin (RR=0.64, 95% Cl: 0.35 to 1.16) (Figure 113, Appendix E).

**Effect on proximal DVT**: There was no significant difference between dextran and aspirin (RR=0.66, 95% Cl: 0.28 to 1.54) (Figure 114, Appendix E).

## 6.4.2.7 Patient views on dextran

We did not identify any studies investigating patient views on dextran as a method of prophylaxis.

## 6.4.3 Economic evidence on dextran

## 6.4.3.1 The studies

We identified three simple models (Evidence Table 65, Appendix D). All three looked at major orthopaedic surgery and one considered general surgery as well. There were no UK-based studies (one USA, one Netherlands, and one Denmark). They measured health outcome in terms of deaths averted or VTEs averted. Only one study measured the costs of treating bleeding and none considered recurrence or post-thrombotic syndrome.

## 6.4.3.2 Dextran vs no prophylaxis

Two studies found that dextran was dominant (health improving and cost saving)<sup>378,456</sup>.

### 6.4.3.3 Dextran vs UFH

One study found that UFH was dominant and one found dextran was dominant<sup>378,456</sup>.

#### 6.4.3.4 Dextran vs LMWH

Two studies found that LMWH was dominant<sup>72,378</sup>.

### 6.4.4 Conclusions on clinical and cost

#### effectiveness of dextran

Dextran is effective in reducing DVT. Dextran is not more effective than any other pharmacological method of prophylaxis in reducing the risk of DVT. Unfractionated and low molecular weight heparins are more effective for reduction of DVT risk. There was not enough evidence to conclude on major bleeding for any comparison.

There were only three studies evaluating the costeffectiveness of dextran. They found that dextran is cost-effective compared with no prophylaxis. There was inconsistency in the results with regard to comparisons with other types of pharmacological prophylaxis including UFH. The most important contribution to this heterogeneity is the method of estimating effectiveness. The trials employed to estimate effectiveness varied and sometimes crude methods of indirect comparison were used to estimate the event rates for each strategy. To ensure that all of the good quality clinical evidence is used systematically, we have conducted our own mixed-treatment comparison meta-analysis and cost-effectiveness analysis (Chapters 12 and 13).

## 6.5 Heparins

#### 6.5.1 Introduction

Natural heparin is a mixture of mucopolysaccharides of differing chain lengths and hence molecular sizes. Such 'unfractionated' pharmaceutical heparin (UFH) consists of chains of molecular weights from 5000 to over 40,000 Da (average 20,000 Da). Heparin acts as an anticoagulant by binding and accelerating the action of antithrombin, a naturally occurring inhibitor of thrombin and other coagulation enzymes (X, IX, XI and XII).

By distinctly different processes of fractionating or depolymerisation of natural heparin, several preparations of low molecular weight heparins (LMWH) are produced. Thus, although they are dissimilar in physical, chemical and biological properties, they consist of short chains of polysaccharides with an average molecular weight 3000 Da. They bind less avidly to other heparin binding proteins in the blood and are therefore more biologically available at lower doses and have more predictable levels. Both unfractionated and low molecular weight heparins can be administered intravenously (boluses and continuous) or by subcutaneous injections (twice to three times for UFH, once to twice daily for LMWH).

In addition to the outcomes for venous thromboembolism and major bleeding, we also considered heparin-induced thrombocytopenia (HIT). Few trials reported this is outcome, we have reported it when available.

#### 6.5.2 Clinical evidence on heparins

The evidence for heparins compared to oral anticoagulants, danaparoid, and dextran can be found in preceding sections, the evidence for fondaparinux follows this section.

## 6.5.2.1 Unfractionated heparin vs no

#### prophylaxis

We identified one systematic review<sup>108</sup> and five additional RCTs<sup>29,39,99,104,298</sup> giving a total of 75 studies with 16,215 participants (Evidence Table 44, Appendix D). Additional background prophylaxis was also used in some studies: eight used graduated compression stockings, two used aspirin, two used intermittent pneumatic compression devices and one used dextran.

Effect on DVT: Unfractionated heparin reduced the risk of DVT by 56% compared to no prophylaxis (RR=0.44, 95% CI: 0.37 to 0.52, 61 studies) (Figure 115, Appendix E). There was significant heterogeneity within the results, the cause of which could not be determined ( $\chi^2$  on 60 df = 129.45, p<0.00001).

#### Effect on pulmonary embolism:

Unfractionated heparin reduced the risk of pulmonary embolism by 30% (RR=0.70, 95% Cl: 0.53 to 0.93, 22 studies) (Figure 116, Appendix E).

**Effect on proximal DVT**: Unfractionated heparin reduced the risk of proximal DVT by 55% (RR=0.45, 95% CI: 0.34 to 0.60, 23 studies) (Figure 117, Appendix E).

**Effect on major bleeding:** Unfractionated heparin increased the risk of major bleeding by 46% (RR=1.46, 95% Cl: 1.18 to 1.82, 59 studies) (Figure 118, Appendix E).

#### 6.5.2.2 Unfractionated heparin dose studies

We identified one study comparing a higher dose of UFH with a lower dose<sup>88</sup> with 100 participants (Evidence Table 45, Appendix D). One group of patients was given 7500 IU twice daily whilst the other was given 5000IU twice daily. Proximal DVT and pulmonary embolism were not reported

**Effect on DVT:** There was no difference in DVT with 11 events in each group.

**Effect on major bleeding:** There were no major bleed events.

## 6.5.2.3 Low molecular weight heparin vs no

## prophylaxis

We identified three systematic reviews<sup>264,373,587</sup> and three additional RCTs<sup>44,369,574</sup> giving a total of 28 studies with 8935 participants (Evidence Table 46, Appendix D). In seven studies graduated compression stockings were given to all participants and in one study patients were allowed intraoperative dextran.

**Effect on DVT:** LMWH reduced the risk of DVT by 51% (RR=0.49, 95% CI: 0.44 to 0.56, 25 studies) (Figure 119, Appendix E).

**Effect on pulmonary embolism:** LMWH reduced the risk of pulmonary embolism by 64% (RR=0.36, 95% CI: 0.19 to 0.70, 13 studies) (Figure 120, Appendix E).

**Effect on proximal DVT:** LMWH reduced the risk of proximal DVT by 62% (RR=0.38, 95% CI: 0.26 to 0.56, 14 studies). There was significant heterogeneity within the results, the cause of which could not be determined ( $\chi^2$  on 13 df = 24.24, p=0.03) (Figure 121, Appendix E).

**Effect on major bleeding:** LMWH increased the risk of major bleeding by 77% (RR=1.77, 95% Cl: 1.28 to 2.46, 20 studies) (Figure 122, Appendix E).

We carried out a subgroup analysis, grouping studies according to whether background prophylaxis was used, to look for differences in effectiveness of heparin between these two conditions. The only significant difference in magnitude of effect between study groups was for proximal DVT ( $\chi^2$  on 1 df = 6.22, p = 0.013). There was no significant difference for DVT, PE or major bleeding.

## 6.5.2.4 Pre- vs postoperative initiation of LMWH

## prophylaxis

We identified one study that compared LMWH begun 12 hours preoperatively with LMWH begun 12 hours postoperatively<sup>413</sup> (Evidence Table 47, Appendix D). Both groups received LMWH for 14

days or until discharge. After surgery, all patients underwent early mobilisation, elastic bandaging of the legs or graduated compression stockings, and physical exercise.

**Effect on DVT:** There was no significant difference between the groups (RR=1.14, 95% CI: 0.74 to 1.76) (Figure 123, Appendix E).

**Effect on pulmonary embolism:** There were no events for pulmonary embolism in either arm (Figure 124, Appendix E).

**Effect on proximal DVT:** There was no significant difference between the groups (RR=1.78, 95% Cl: 0.55 to 5.78) (Figure 125, Appendix E).

**Effect on major bleeding:** There was no significant difference between the groups (RR=0.66, 95% Cl: 0.11 to 3.85) (Figure 126, Appendix E).

## 6.5.2.5 Subgroup analysis of LMWH vs no

## prophylaxis studies: timing of initiation

We carried out a subgroup analysis of the low molecular weight heparin vs no prophylaxis studies to look for differences in the magnitude of effect according to whether heparin was begun pre- or postoperatively. Fifteen studies started LMWH preoperatively and nine studies began prophylaxis postoperatively.

**Effect on DVT:** LWMH begun preoperatively reduced the risk of DVT by 49% (RR=0.51, 95% CI: 0.44 to 0.60, 15 studies) compared to 53% when begun postoperatively (RR=0.47, 95% CI: 0.39 to 0.57, nine studies). There was no significant difference in the magnitude of these effects ( $\chi^2$  on 1 df = 0.76, p=0.38) (Figure 127, Appendix E).

Effect on pulmonary embolism: LWMH begun preoperatively reduced the risk of pulmonary embolism by 79% (RR=0.30, 95% CI: 0.14 to 0.64, 10 studies). There was no significant difference when begun postoperatively (RR=0.76, 95% CI: 0.17 to 3.37, four studies). There was no significant difference in the magnitude of these effects ( $\chi^2$  on 1 df = 1.01, p=0.31) (Figure 128, Appendix E).

**Effect on proximal DVT:** Preoperatively commenced LMWH reduced the risk of proximal DVT by 53% (RR=0.47, 95% CI: 0.30 to 0.74, eight studies). LMWH started postoperatively reduced risk by 72% (RR=0.28, 95% CI: 0.14 to 0.54, six studies) (Figure 129, Appendix E). There was no significant difference in the magnitude of effects (chi-squared on 1 df = 3.06, p=0.08) (Figure 129, Appendix E).

Effect on major bleeding: LMWH begun preoperatively increased the risk of bleeding by 96% (RR=1.96, 95% Cl: 1.35 to 2.84, 11 studies) (Figure 130, Appendix E). The results were chiefly determined by one study<sup>416</sup>. There was no significant difference between groups when LMWH was begun postoperatively (RR=1.23, 95% Cl: 0.61 to 2.47, 9 studies) (Figure 130, Appendix E). There was no significant difference in the magnitude of effects for studies commencing LMWH preoperatively and those beginning prophylaxis postoperatively (chi-squared on 1 df = 1.37, p=0.24).

## 6.5.2.6 Low molecular weight heparin dose

## studies

We identified five studies comparing a higher dose of LMWH with a lower dose<sup>7,46,112,220,495</sup> with 3628 participants (Evidence Table 48, Appendix D). In two studies 30mg given twice daily was compared to 40mg given once. The remaining three studies compared the following doses: 5000 IU with 3000 IU, 5000 IU with 2500 IU and 3500 IU with 2500 IU.

**Effect on DVT:** A higher dose of LWMH reduced the risk of DVT by 45% (RR=0.55, 95% CI: 0.44 to 0.69, five studies) compared to the lower dose (Figure 131, Appendix E).

**Effect on pulmonary embolism:** Only one study reported PE rates and there were no events in either arm (Figure 132, Appendix E).

**Effect on proximal DVT:** There was no significant difference between higher or lower doses of LMWH (RR=0.86, 95% Cl: 0.45 to 1.65, three studies) (Figure 133, Appendix E).

**Effect on major bleeding:** Lower dose LMWH had significantly less major bleeds than a higher dose (RR=2.44, 95% Cl: 1.31 to 4.55, four studies) (Figure 134, Appendix E).

## 6.5.2.7 Subgroup analysis of LMWH vs no

## prophylaxis studies: dose

A subgroup analysis was carried out of the studies comparing low molecular weight heparin vs no prophylaxis to look for differences in the magnitude of effect according to dose. We grouped the studies into low (six studies) medium (eight studies) and high (13 studies) dose. None of the results showed significant heterogeneity. **Effect on DVT:** Each of the categories of dose had a similar relative risk reduction for DVT (Low dose: RR=0.52, 95% CI: 0.42 to 0.64; medium dose: RR=0.48, 95% CI: 0.39 to 0.59; high dose: RR=0.49, 95% CI: 0.40 to 0.60) (Figure 135, Appendix E). There was no significant difference in the magnitude of these effects ( $\chi^2$  on 2 df = 1.34, p=0.51).

Effect on pulmonary embolism: There was no significant difference between the groups (Low dose: RR=0.36, 95% CI: 0.11 to 1.12; medium dose: RR=0.26, 95% CI: 0.09 to 0.72; high dose: RR=0.74, 95% CI: 0.17 to 3.28) (Figure 136, Appendix E). There was no significant difference in the magnitude of these effects ( $\chi^2$  on 2 df = 1.17, p=0.56).

Effect on proximal DVT: A higher dose appears to have a greater reduction in events than medium or lower doses (79% compared 63% and 43% respectively). (Low dose: RR=0.57, 95% CI: 0.37 to 0.88; medium dose: RR=0.37, 95% CI: 0.21 to 0.67; high dose: RR=0.21, 95% CI: 0.07 to 0.60) (Figure 137, Appendix E). However, there was no significant difference in the magnitude of these effects ( $\chi^2$  on 2 df = 3.27, p=0.19).

Effect on major bleeding: There does not appear to be a difference in the results. (Low dose: RR=1.87, 95% CI: 1.28 to 2.74; medium dose: RR=1.42, 95% CI: 0.51 to 4.01; high dose: RR=1.56, 95% CI: 0.68 to 3.57) (Figure 138, Appendix E). There was no significant difference in the magnitude of these effects ( $\chi^2$  on 2 df = 0.91, p=0.63).

## 6.5.2.8 Extending heparin prophylaxis beyond

## discharge

We identified one systematic review<sup>256</sup> and six additional studies<sup>43,232,301,318,348,516</sup> giving a total of 12 RCTs with 2809 participants (Evidence Table 49, Appendix D). In the intervention arm heparin was given for 7 days after discharge or between 19 and 30 days. In the control arm heparin was given until discharge or for six to 14 days.

**Effect on DVT:** Heparin reduced the risk of DVT by 52% (RR=0.48, 95% CI: 0.39 to 0.58, 11 studies) compared to nil in the post-discharge period (Figure 139, Appendix E).

**Effect on pulmonary embolism:** Continuing heparin reduced the risk of PE post-discharge by 66% (RR=0.34, 95% CI: 0.13 to 0.89, nine studies) (Figure 140, Appendix E).

**Effect on proximal DVT**: Heparin reduced the risk of proximal DVT post-discharge by 66%

(RR=0.34, 95% Cl: 0.25 to 0.48, ten studies) (Figure 138, Appendix E).

**Effect on major bleeding:** Three studies reported major bleeding rates. In two studies there were no events in either arm (Figure 142, Appendix E). There was no significant difference in major bleeding events between groups (RR=1.23, 95% Cl: 0.33 to 4.55).

#### 6.5.2.9 Low molecular weight vs unfractionated

#### heparin

We identified two systematic reviews<sup>300,373</sup> and 16 additional RCTs<sup>25,38,113,155,157,193,245,281,322,328,345,364, <sup>415,482,544,550</sup> giving at total of 76 RCTs with 22,574 participants. We also identified on RCT that looked at fatal pulmonary embolism in 23,078 patients<sup>206</sup>. (Evidence Table 50, Appendix D).</sup>

**Effect on DVT:** Low molecular weight heparin reduced the risk of DVT by 13% (RR=0.87, 95% Cl: 0.79 to 0.95, 67 studies) compared to unfractionated heparin (Figure 143, Appendix E).

**Effect on pulmonary embolism:** LMWH reduced the risk of PE by 34% compared to unfractionated heparin (RR=0.66, 95% CI: 0.46 to 0.95, 37 studies) (Figure 144, Appendix E).

Effect on fatal pulmonary embolism: One study<sup>206</sup> reported fatal PE confirmed by autopsy. The autopsy rate was 70.2% for this study. There was no significant difference between the groups (p=0.87).

**Effect on proximal DVT:** LMWH reduced the risk of proximal DVT by 39% (RR=0.62, 95% CI: 0.49 to 0.78, 19 studies) compared to unfractionated heparin (Figure 145, Appendix E).

**Effect on major bleeding:** LMWH reduced the risk of major bleeding by 14% (RR=0.87, 95% CI: 0.76 to 1.01, 47 studies) compared to unfractionated heparin (Figure 146, Appendix E).

#### Effect on thrombocytopenia: Two

studies<sup>206,345</sup> reported on the incidence of thrombocytopenia but found no statistical difference between the groups.

## 6.5.2.10 Patient views for heparins

Two prospective studies looked at self-injection of low molecular weight heparin in orthopaedic patients (Evidence Table 51, Appendix D). One was in total hip or knee replacement patients<sup>110</sup> the other in knee arthroplasty patients<sup>493</sup>.

Colwell et al<sup>110</sup> evaluated postoperative selfinjection of low molecular weight heparin for 21 days in 50 total hip or knee replacement patients. Patients were given instructions and a demonstration by the staff nurses, written and video instructional materials were also given on discharge. Follow up telephone interviews were conducted once per week and each patient was given a selfreport injection diary to complete. Of the 40 who completed the trial, 22 were fully concordant, 15 were partially concordant and three did not manage to stick to the regimen. An assessment of patients showed that 49 out of 50 understood the importance of self administering heparin, 34 out of 50 felt comfortable giving injections. Generally, patients were happy with the level of information received regarding self-medication and felt that the syringe was relatively easy to use. Sixteen reported mild burning or stinging at the injection site and one reported mild bruising. The authors thought that concordance with the regimen might be higher in this study than in a normal population due to the follow up phone calls to check how patients were coping.

Spahn et al<sup>493</sup> evaluated postoperative selfinjection of low molecular weight heparin for around 10 days in knee replacement patients. Patients were free to choose whether heparin injection was self-administered or given by a family member or a nursing service. Instructions on self injection were given by a physician or qualified nurse. All patients carried out their first and last injection in the presence of the instructor. They were also provided with an instruction booklet, elastic stockings and muscle and early mobilisation training. Assessment was carried out by anonymous questionnaire. Out of 300 patients 220 returned their questionnaires. Thirteen of these were incomplete leaving a possible 207. One hundred and sixty patients had elected to inject, 31 elected to have a family member inject them and the remaining 16 selected the nursing service. Fewer found it 'very unpleasant' in the self injection group than the family injection group or nursing injection group. Overall, prophylaxis was unsure in 54 out of 191 (28.3%) patients in the self or family member injection groups. Thirty-four out of 191 left out some injections and 25 out of 191 discontinued the injections early. Significantly more of those under 20 years old had unsure prophylaxis. Of those over 20 years old, 71.7% injected the required amount. Side effects had no influence on concordance with heparin use.

#### 6.5.3 Economic evidence on heparins

Evidence on the cost-effectiveness of heparin compared with mechanical prophylaxis, OAC, dextran and fondaparinux has been reported in the respective sections.

### 6.5.3.1 UFH vs no prophylaxis

We identified 10 models - five related to orthopaedic surgery, five general surgery and one gynaecological surgery (Evidence Table 65, Appendix D). Mainly they estimated health gain in terms of VTEs averted or deaths averted but one study estimated life-years. Four studies did not include cost of treating bleeding and none included post-thrombotic syndrome<sup>3,141,253,347,356,378,391,410,433</sup>.

Six studies found UFH to be dominant, two found UFH to be cost-effective and one found that UFH was more effective but the cost-effectiveness was indeterminable.

### 6.5.3.2 LMWH vs no prophylaxis

We identified eight models (Evidence Table 65, Appendix D) covering different types of surgery (Four orthopaedic, three general, one gynaecological)<sup>3, 347, 356, 378, 391, 433</sup>. One study was from the UK (three USA, one South Africa and three Western Europe. They mainly estimated health gain in terms of VTEs averted or deaths averted but one study estimated the life-years gained. Two studies did not include the cost of bleeding; none included cost of post-thrombotic syndrome.

Three studies found that LMWH was dominant. Three found that it was cost-effective and in one study LMWH was more effective but the costeffectiveness was indeterminable.

### 6.5.3.3 LMWH as an adjuvant intervention

A model-based study<sup>123</sup> found that LMWH was cost-effective adjuvant to intermittent pneumatic compression in some onco-gynaecological surgery patients but not in those with poor prognosis (old age and late stage of disease).

### 6.5.3.4 LMWH prophylaxis extended beyond

### discharge

We identified five decision analyses, one simple model<sup>122,125,201,209,466,467</sup> and one RCT<sup>54</sup> (Evidence Table 65, Appendix D). covering different kinds of surgery: five hip surgery, one knee surgery, one abdominal surgery and one general surgery.

Two studies were from the UK and five were from Switzerland.

Four studies estimated health gain in terms of VTEs averted or deaths averted, one measured life years gained, and two studies measured QALYs gained.

All studies included the cost of prophylaxis, diagnosis and treatment of DVT, PE and bleeding. One of the studies included the cost and healthrelated quality of life of post-thrombotic syndrome & recurrence.

In two studies of elective hip surgery patients, LMWH was dominant and in another LMWH was cost-effective. In one study LMWH is cost-effective for hip but not for knee surgery. In another study of elective hip surgery, LMWH was more effective but the cost-effectiveness was indeterminable.

In one study of general surgery patients and another of GI cancer patients, LMWH was not cost-effective.

### 6.5.3.5 LMWH vs UFH

We identified 18

models<sup>3,16,57,139,151,186,201,222,224,227,337,347,351,356,378, 433,437,511</sup> (Evidence Table 65, Appendix D) covering different types of surgery: orthopaedic (13 studies),general (11 studies), cardiac (one study) gynaecological (one study).

Three of these studies were conducted in UK.

The studies mainly estimated health gain in terms of VTEs averted or deaths averted. One study estimated Life Years and another study estimated Quality Adjusted Life Years.

All of the studies included the cost of prophylaxis, diagnosis and treatment of DVT/PE. Most of studies include the cost of bleeding. One study included cost and HRQL of post-thrombotic syndrome.

In 13 studies LMWH dominates, in three studies UFH dominates, in one study LMWH was cost-effective and in one studies the cost/effectiveness was indeterminable.

### 6.5.4 Conclusions on clinical and cost

### effectiveness of heparins

Unfractionated and low molecular weight heparins reduce the risk of DVT and PE, but increase the risk of major bleeding. For reducing the risk of DVT, unfractionated heparin is more effective than oral anticoagulants, dextran and aspirin, but less effective than danaparoid. Low molecular weight heparin is more effective than oral anticoagulants and dextran, but less effective than fondaparinux for preventing the risk of DVT. There is not enough evidence to conclude on major bleeding for any of these comparisons. Low molecular weight heparin shows a small but significant advantage over unfractionated heparin for all outcomes.

There was insufficient evidence to determine whether administration of LMWH should be initiated pre or post operatively. The GDG felt that research in this area was a priority and therefore decided to make a recommendation that further research is carried out. This recommendation is discussed in more detail below (section 6.10).

There was inconclusive evidence on the dose of LMWH. Our direct comparison indicated a significant reduction in risk of DVT at high dose but also an increased risk of bleeding. Our subgroup analysis found no conclusive differences for any outcomes except proximal DVT. We therefore could not make recommendations for a specific dose of LMWH.

Extending LMWH beyond discharge reduces the risk of developing DVT in this period. A subgroup analysis suggests LMWH is as effective in reducing the risk of DVT whether started pre- or postoperatively. There was not enough evidence to examine differences in major bleeding for these comparisons.

There were a number of studies evaluating the costeffectiveness of heparin. They consistently found that heparin was cost-effective compared with no prophylaxis. There was inconsistency in the results with regard to comparisons between the two types of heparin and evaluations of extended use. The most important contribution to this heterogeneity is the method of estimating effectiveness. The trials employed to estimate effectiveness varied and sometimes crude methods of indirect comparison were used to estimate the event rates for each strategy. To ensure that all of the good quality clinical evidence is used systematically, we have conducted our own mixed-treatment comparison meta-analysis and cost-effectiveness analysis (Chapters 12 and 13).

### 6.6 Fondaparinux

### 6.6.1 Introduction

Fondaparinux is a synthetic pentasaccharide, which is based on the antithrombin binding region of heparin in the body. It acts as a catalyst for the antithrombin inhibition of coagulation factor Xa. However, it does not directly inhibit thrombin, because this requires a minimum of 13 additional saccharide units which is present in unfractionated and LMW heparin. It is therefore a specific, indirect inhibitor of activated factor Xa through its potentiation of antithrombin. It is given subcutaneously postoperatively and administered once daily.

### 6.6.2 Clinical evidence on fondaparinux

We found no studies comparing fondaparinux with oral anticoagulants or dextran.

### 6.6.2.1 Fondaparinux vs low molecular weight

### heparin

We identified five RCTs comparing Fondaparinux with Low Molecular Weight Heparin (LMWH) (Evidence Table 52, Appendix D)<sup>9,35,146,317,528</sup>. Graduated compression stockings were worn by the majority of patients in three of the studies, by around half the patients in one study, and the final study did not give the number but stated that they were permitted.

**Effect on DVT**: Fondaparinux reduced the risk of DVT by 48% (RR=0.52, 95% CI: 0.44 to 0.60, five studies) compared to LMWH (Figure 147, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between fondaparinux and LMWH (RR=1.23, 95% CI: 0.59 to 2.56, five studies) (Figure 148, Appendix E).

Effect on proximal DVT: There was no significant difference between fondaparinux and LMWH (RR=0.50, 95% CI: 0.24 to 1.05, five studies) (Figure 149, Appendix E). There was significant heterogeneity within the results ( $\chi^2$  on 4 df = 13.51, p=0.009). This could be due to the variation in the initiation of LMWH.

**Effect on major bleeding:** Fondaparinux was associated with significantly more major bleeds than LMWH (RR=1.49, 95% Cl: 1.16 to 1.92, five studies) (Figure 150, Appendix E).

### 6.6.2.2 Duration of fondaparinux prophylaxis

One study looked at the effectiveness of extending prophylaxis with fondaparinux beyond discharge<sup>147</sup> (Evidence Table 53, Appendix D). In a multicentre trial, 656 patients received 2.5mg fondaparinux for 6–8 days after surgery (the use of graduated compression stockings was also permitted). They were then randomised to either continue receiving fondaparinux for a further 19– 23 days or to stop prophylaxis.

**Effect on DVT:** Extending fondaparinux prophylaxis reduced the risk of DVT post-discharge by 96% (RR=0.04, 95% CI: 0.01 to 0.13, one study) (Figure 151, Appendix E).

**Effect on pulmonary embolism**: Continuing fondaparinux after discharge reduced the risk of PE by 89% (RR=0.11, 95% CI: 0.01 to 0.88, one study) (Figure 152, Appendix E).

**Effect on proximal DVT:** Fondaparinux reduced the risk of developing proximal DVT in the

post-discharge period by 94% (RR=0.06, 95% Cl: 0.01 to 0.24, one study) (Figure 153, Appendix E).

**Effect on major bleeding:** There was no significant difference between fondaparinux and LMWH (RR=13.08, 95% Cl: 0.74 to 231.23, one study) (Figure 154, Appendix E).

### 6.6.2.3 Patient views of fondaparinux

We found no studies investigating patient views of Fondaparinux as a method of prophylaxis.

### 6.6.3 Economic evidence on fondaparinux

### 6.6.3.1 The studies

We found 12 studies evaluating the costeffectiveness of fondaparinux for patients undergoing major orthopaedic surgery (six models, four RCTs) (Evidence Table 65, Appendix D). Eleven studies compared fondaparinux with LMWH. One study compared the cost effectiveness of 1 week vs 1 month duration of Fondaparinux for patients undergoing either hip fracture or hip replacement surgery.

Eight studies were based on a single comprehensive model, which included costs and health effects associated with post-thrombotic syndrome. They varied in terms of parameter estimates, especially unit costs, which were from different countries (including one UK-based study)

The other four studies were all conducted by the same group of authors and each was based on a single RCT. These just looked at events occurring within the trial period.

### 6.6.3.2 Fondaparinux vs LMWH

The eight model-based

studies<sup>21,64,138,196,221,343,505,507</sup> showed fondaparinux to be cost saving over five years. In their 90 day results some studies found cost savings and the others found fondaparinux to be cost-effective.

In the trial-based studies<sup>496,497,548,549</sup>, the results varied by type of surgery. For hip fracture fondaparinux was more effective but costeffectiveness was indeterminable. For knee replacement fondaparinux was dominant. For hip replacement, one study favoured fondaparinux and the other favoured LMWH.

### 6.6.3.3 Duration of fondaparinux

The only study found<sup>62</sup> showed fondaparinux at 1 month to be cost-effective respect to fondaparinux (one week) in terms of life year gained in both the models for patients with hip fracture and hip

replacement at 30 days, while it is cost-saving at 5 years. These results have to be interpreted with caution since the study was undertaken in Switzerland where health care prices are much higher than in the UK NHS.

### 6.6.4 Conclusions of clinical and cost

### effectiveness of fondaparinux

Fondaparinux is more effective than low molecular weight heparin for reducing the risk of DVT and proximal DVT, however, it also significantly increases major bleeding. Extending fondaparinux beyond discharge reduces the risk of developing DVT and proximal DVT in this period without significantly increasing major bleeding.

In ten out of 11 studies fondaparinux was found to be cost-effective or cost saving compared with LMWH. There was still some variability in results due to the selection of RCTs and differences in treatment costs assumed. In one study the extended fondaparinux was found to be cost effective. To ensure that all of the good quality clinical evidence is used systematically, we have conducted our own mixed-treatment comparison meta-analysis and cost-effectiveness analysis (Chapters 12 and 13).

### 6.7 Aspirin

### 6.7.1 Introduction

Aspirin inhibits platelet function through its irreversible inhibition of the enzyme cyclooxygenase-1 (COX-1) and thereby blocking thromboxane A2 production. Thromboxane induces platelet aggregation (and vessel wall vasoconstriction) which are required for the clotting cascade and thrombus formation. This effect lasts for the duration of the platelet lifespan. However, although it may take 10 days for the entire platelet population to be renewed, haemostasis has been shown to be normal if 20% of them have normal COX activity.

### 6.7.2 Clinical evidence on aspirin

The evidence comparing aspirin with oral anticoagulants, dextran and fondaparinux can be found in preceding sections.

### 6.7.2.1 Antiplatelet therapy vs no prophylaxis

We identified one systematic review that examined the effectiveness of antiplatelet therapy in reducing the risk of venous thrombosis<sup>22</sup> with 9089 participants (Evidence Table 54, Appendix D). Nine studies compared aspirin with no prophylaxis, 16 compared aspirin in combination with other antiplatelet therapy with no prophylaxis and 17 compared other antiplatelet therapies. Some studies compared more than one regimen of antiplatelet therapy with no prophylaxis. The review also included nine studies investigating high risk medical patients but these were excluded as medical patients are not included in this guideline.

**Effect on DVT**: All antiplatelet therapies reduced the risk of DVT by 24% (RR=0.76, 95% Cl: 0.65 to 0.87, 44 studies). Aspirin as a single prophylaxis reduced risk of DVT by 31% (RR=0.69, 95% Cl: 0.48 to 0.97, nine studies). There was significant heterogeneity within the aspirin alone results ( $\chi^2$  on 8 df = 23.91, p=0.002). (Figure 155, Appendix E).

**Effect on proximal DVT**: Antiplatelet therapies reduced the risk of proximal DVT by 39% (RR=0.61, 95% CI: 0.46 to 0.82, eleven studies). Aspirin as a single prophylaxis reduced the risk of proximal DVT by 56% (RR=0.44, 95% CI: 0.26 to 0.75, four studies). (Figure 156, Appendix E).

**Effect on major bleeding:** Most of the studies were small and had no events in either arm for major bleeding (Figure 157, Appendix E). There was no significant difference between antiplatelet therapies and no prophylaxis (RR=1.30, 95% CI: 0.67 to 2.52, 41 studies).

### 6.7.2.2 Aspirin as an adjuvant intervention

We identified one systematic review<sup>22</sup> with six studies and four extra studies<sup>380,431,541,577</sup> giving a total of ten RCTs that looked at aspirin as an adjuvant prophylaxis (Evidence Table 55, Appendix D). Five studies with 1374 participants compared aspirin plus unfractionated heparin with unfractionated heparin alone. Three studies with 137 participants compared aspirin plus dipyridamole with dipyridamole alone. One study with 148 participants compared aspirin plus IPC devices and GCS with IPC devices and GCS alone. The remaining study included two multicentre RCTs with 17,444 participants investigating aspirin on a background of different prophylaxes. The background prophylaxes were unfractionated heparin, low molecular weight heparin, graduated compression stockings, regional anaesthesia and non-steroidal anti-inflammatory drugs including aspirin not related to the trial.

**Effect on DVT**: There was no significant difference between aspirin plus heparin compared to heparin alone (RR=0.83, 95% Cl: 0.59 to 1.17, four studies) or aspirin plus dipyridamole compared to dipyridamole alone (RR=0.63, 95% Cl: 0.33 to 1.19, three studies). The other comparisons did not report DVT. (Figure 158, Appendix E).

Effect on pulmonary embolism: There was no significant difference for aspirin as an adjuvant

to unfractionated heparin (RR=1.02, 95% CI: 0.39 to 2.65, one study) and aspirin as an adjuvant to IPC devices plus GCS (RR=3.16, 95% CI: 0.13 to 76.44, one study). Aspirin adjuvant to a variety of additional prophylaxis reduced the risk of pulmonary embolism by 40% (RR=0.60, 95% CI: 0.43 to 0.84, two studies). (Figure 159, Appendix E).

**Effect on proximal DVT**: There was no significant difference in proximal DVT for any of the outcomes (aspirin as an adjuvant to unfractionated heparin - RR=0.63, 95% CI: 0.37 to 1.07, five studies; aspirin as an adjuvant to dipyridamole - RR=0.32, 95% CI: 0.01 to 7.63, one study; aspirin as an adjuvant to IPC devices and GCS (RR=0.82, 95% CI: 0.32 to 2.09, one study). (Figure 160, Appendix E).

**Effect on major bleeding:** Aspirin plus unfractionated heparin increased bleeding by 47% (RR=1.47, 95% Cl: 1.09 to 1.99, five studies). There was no significant difference in major bleeding for the investigating aspirin as an adjuvant to a variety of additional prophylaxis (RR=1.09, 95% Cl: 0.92 to 1.30, two studies). There were no events in the aspirin as an adjuvant to dipyridamole study. (Figure 161, Appendix E).

### 6.7.2.3 Higher dose aspirin vs lower dose

We identified one systematic review<sup>22</sup> that included three RCTs with 184 participants comparing a higher dose aspirin with a lower dose (Evidence Table 56, Appendix D). None of the comparisons used similar doses: one compared 3900mg with 975mg; another compared 1000mg with 250mg; and the third compared 1200 mg with 300mg.

**Effect on DVT**: There was no significant difference between doses of aspirin (RR=0.92, 95% Cl: 0.65 to 1.30, three studies) (Figure 162, Appendix E).

**Effect on major bleeding:** Two studies reported major bleeding, one had no events and the other had one event in the lower dose arm (RR=0.29, 95% Cl: 0.01 to 6.38) (Figure 163, Appendix E).

### 6.7.2.4 Aspirin vs unfractionated heparin

We identified six RCTs with 1174 participants that compared aspirin with unfractionated heparin (Evidence Table 57, Appendix D).

**Effect on DVT**: There were significantly more DVT events in the aspirin group compared to the unfractionated heparin group (RR=1.57, 95% CI: 1.02 to 2.40, five studies) (Figure 164, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference between aspirin and unfractionated heparin (RR=1.31, 95% Cl: 0.37 to 4.66, two studies) (Figure 165, Appendix E).

Effect on proximal DVT: There was no

significant difference between aspirin and unfractionated heparin (RR=1.64, 95% CI: 0.70 to 3.83, one study) (Figure 166, Appendix E).

**Effect on major bleeding:** There was no significant difference in event rates for major bleeds (RR=1, 95% CI: 0.20 to 4.93, three studies) (Figure 167, Appendix E).

### 6.7.2.5 Patient views on aspirin

No studies were identified examining patient views on aspirin as a prophylaxis.

### 6.7.3 Economic evidence on aspirin

The cost-effectiveness of aspirin compared with OAC, has been reported earlier in this chapter.

### 6.7.3.1 The studies

We identified two models<sup>3,467</sup> and one cohort study<sup>12</sup> (Evidence Table 65, Appendix D). All of the three studies were for major orthopaedic surgery. One of the studies was from Norway; one was from Switzerland and one from South Africa.

The health gain was estimated in terms of VTEs averted or death averted. All of the studies included the cost of prophylaxis, diagnosis and treatment of DVT/PE. Two of the studies included the cost of bleeding. None of the studies considered Health-related quality of life (HRQL) of postthrombotic syndrome.

### 6.7.3.2 Aspirin vs UFH

We identified one cohort study<sup>12</sup> and one model<sup>3</sup>. In one study aspirin dominates, while in the other UFH is more effective but the cost-effectiveness is indeterminable.

### 6.7.3.3 Aspirin vs LMWH

We identified one model<sup>3</sup>. The LMWH result more effective but cost-effectiveness was indeterminable.

### 6.7.3.4 Aspirin vs nil (post-discharge period)

We identified one model  $^{\rm 467}.$  In this study aspirin dominates.

### 6.7.4 Conclusions of clinical and cost

### effectiveness on aspirin

Aspirin and all antiplatelet therapies reduced the risk of DVT compared to no prophylaxis. The studies also suggest an increase in the risk of major bleeding but there was not enough data to show a significant difference. Studies investigating aspirin as adjuvant to unfractionated heparin showed a non-significant reduction in risk of DVT and proximal DVT in the aspirin plus heparin arm, but also showed a significant increase in the risk of major bleeding. Aspirin as an adjuvant to a variety of background prophylaxis reduced the risk of pulmonary embolism in orthopaedic patients with a non-significant increase in major bleeding. There was little data to determine a difference between different aspirin doses. Unfractionated heparin was better in reducing the risk of DVT than aspirin. There was no difference in major bleeding rates.

There were only three studies evaluating the costeffectiveness of aspirin and none compared aspirin with nil during the hospital stay. There was inconsistency in the cost-effectiveness results comparing aspirin with heparin. The most important contribution to this heterogeneity is the method of estimating effectiveness. The trials employed to estimate effectiveness varied and sometimes crude methods of indirect comparison were used to estimate the event rates for each strategy. To ensure that all of the good quality clinical evidence is used systematically, we have conducted our own mixed-treatment comparison meta-analysis and cost-effectiveness analysis (Chapters 12 and 13).

### 6.8 Conclusions for pharmacological

### prophylaxis

Pharmacological interventions are effective in reducing DVT. Data were not always available or there were not enough events to determine the effectiveness of pharmacological interventions in reducing proximal DVT and PE. Where data were available there was a tendency towards a reduction in these events. Comparisons between the methods of pharmacological prophylaxis are considered further in chapter 12.

Most studies excluded patients who were prescribed anticoagulation or antiplatelet therapy for other conditions such as heart disease. The GDG therefore recommend that clinicians should consider the risks and benefits of stopping this treatment prior to surgery.

### 6.9 Recommendations

The risks and benefits of stopping pre-existing established anticoagulation or antiplatelet therapy before surgery should be considered. Further analysis of the direct evidence was performed using a mixed treatment comparison meta analysis (chapter 12). This data was incorporated into an economic model (chapter 13) allowing the cost effectiveness of different pharmacological methods to be compared. Recommendations on the most clinically and cost effective pharmacological strategies can be found in chapter 13.

### 6.10 Recommendation for research

The GDG identified that research would be valuable on the timing of administration of low molecular weight heparin.

### 6.10.1.1 Research Question

What is the effectiveness of LMWH started preoperatively compared to LMWH started postoperatively in reducing the risk of (objectively diagnosed) DVT or PE in adult patients undergoing inpatient surgical procedures?

All patients should be screened for the presence of DVT and/or PE. Secondary outcomes of interest are costs, quality of life, other adverse events e.g. myocardial infarction, stroke, extracranial or intracranial bleeding.

### 6.10.1.2 Why this research is important

The currently available randomised evidence is too limited to determine whether giving LMWH can be safely delayed until after surgery, or whether it must be given pre-operatively. This guideline recommends that LMWH is used for many patients at high risk of VTE is therefore non-specific about timing. This is a major gap in the evidence.

Although there may be only small differences in safety and efficacy between these two strategies, a policy of giving LMWH post-operatively may reduce the time that patients need to be in hospital prior to surgery. It therefore might have major benefits for patients.

As there is uncertainty around this question, it should be possible to find surgeons willing to randomise between these 2 strategies. The principal practical difficulty with this randomised trial would be the need for a very large sample size (possibly >10,000 patients), since the likely differences in DVT/PE and bleeding rates are small.

# 7 Comparison of mechanical and pharmacological prophylaxis

### 7.1 Introduction

This chapter examines the various comparisons of mechanical and pharmacological prophylaxis. The pharmacological methods of prophylaxis considered within this guideline were oral anticoagulants, dextran, fondaparinux, heparins (unfractionated and low molecular weight heparin), aspirin and danaparoid.

Mechanical methods of prophylaxis are split into two groups: mechanical compression methods (graduated compression stockings, intermittent pneumatic compression devices and foot impulse devices) and electrical stimulation.

# 7.2 Clinical evidence on mechanical compression vs pharmacological interventions

### 7.2.1 Mechanical methods vs unfractionated

### heparin

We identified 10 RCTs with 1049 participants that compared a mechanical device with unfractionated heparin (Evidence Table 58, Appendix D). The mechanical device used was graduated compression stockings (GCS) in two studies<sup>156,435</sup>; intermittent pneumatic compression (IPC) device in studies<sup>105,107,298,307,366</sup> (with GCS given to both groups as a background therapy in one of these studies<sup>366</sup>); foot pump in one study (with GCS as a background therapy)<sup>464</sup>; IPC device plus GCS in one study<sup>397</sup>; and in one study patients in the mechanical group were randomised to receive either GCS or IPC device<sup>214</sup>. In two studies<sup>214,366</sup> dihydroergotamine was given in addition to unfractionated heparin.

**Effect on DVT:** There was no significant difference between mechanical devices and unfractionated heparin on the risk of DVT (RR=0.78, 95% Cl: 0.44 to 1.38, 8 studies) (Figure 168,

Appendix E). There was significant heterogeneity within the results ( $\chi^2$  on 8 df = 16.27, p=0.04). This is possibly due to two of the sutdies including background therapy of stockings in both groups, Santori et al, 1994<sup>464</sup> and Mellbring et al, 1986<sup>366</sup>. Removing these from the analysis removes the heterogeneity.

**Effect on pulmonary embolism:** There was no significant difference between mechanical devices and unfractionated heparin in the risk of pulmonary embolism (RR=1, 95% Cl: 0.22 to 4.45, three studies) (Figure 169, Appendix E).

**Effect on proximal DVT**: There was no significant difference in the risk of proximal DVT (RR=0.26, 95% CI: 0.03 to 2.29, three studies) (Figure 170, Appendix E).

**Effect on major bleeding:** One study reported major bleeding. There were no events in either arm therefore the effect on major bleeding could not be estimated (Figure 171, Appendix E).

# 7.2.2 Mechanical methods vs low molecular

### weight heparin

We identified seven studies with 925 participants that compared mechanical devices with low molecular weight heparin (Evidence Table 58, Appendix D). The mechanical device used was an IPC device in three studies<sup>136,357,501</sup> (two of these studies used GCS as background prophylaxis in both groups<sup>136,357</sup>), foot pump in three studies<sup>66,553,554</sup> and foot pump plus stockings in an IPC device plus graduated compression stockings in one study<sup>399</sup>.

**Effect on DVT:** There was no difference between mechanical devices and low molecular weight heparin in the risk of DVT (RR=1.49, 95% CI: 0.95 to 2.33, 6 studies) (Figure 168, Appendix E).

**Effect on pulmonary embolism:** Four studies reported pulmonary embolism data (Figure 169, Appendix E). There was only one event reported

and therefore a reliable estimate of effect could not be obtained (RR=3.04, 95% CI: 0.13 to 74.07).

**Effect on proximal DVT**: There was no significant difference in proximal DVT (RR=1.58, 95% Cl: 0.89 to 2.82, five studies) (Figure 170, Appendix E).

**Effect on major bleeding:** There was no significant difference in major bleeding. (RR=0.58, 95% Cl: 0.13 to 2.57) (Figure 171, Appendix E).

### 7.2.3 Mechanical methods vs oral

### anticoagulants

We identified six studies with 788 participants that compared mechanical devices with oral anticoagulants (Evidence Table 58, Appendix D). The mechanical device used was an IPC device in five studies<sup>27,96,166,275,412</sup> and an IPC device plus graduated compression stockings in one study<sup>446</sup>.

**Effect on DVT**: There was no difference between mechanical devices and oral anticoagulants on the risk of DVT (RR=0.83, CI: 0.49-1.41, six studies) (Figure 168, Appendix E).

Effect on pulmonary embolism: Three

studies reported pulmonary embolism data, although no events were observed in two of these studies (Figure 169, Appendix E). There was no significant difference in the risk of pulmonary embolism (RR=5.63, 95% CI: 0.28 to 114.27).

**Effect on proximal DVT:** Oral anticoagulants were significantly more effective in reducing the risk of proximal DVT compared mechanical devices (RR=2.40, 95% CI: 1.28 to 4.48, three studies) (Figure 170, Appendix E).

**Effect on major bleeding:** Two studies recorded major bleeding events. No events were observed in either study.

### 7.2.4 Mechanical methods vs aspirin

We identified two studies with 174 participants comparing mechanical devices with aspirin<sup>207,362</sup> (Evidence Table 58, Appendix D). The mechanical devices evaluated were intermittent pneumatic compression device in both studies. We identified no studies comparing aspirin with any other mechanical device. In one study<sup>362</sup> patients were randomised to receive either a high or a low dose (1300 mg and 325 mg respectively, both three times daily). We combined the results for the aspirin groups for the purpose of the meta-analysis. In the other study<sup>207</sup> the results were reported separately for patients having unilateral and bilateral knee replacements. This has been treated as two separate studies in the analysis. **Effect on DVT:** Mechanical prophylaxis reduced the risk of DVT by 41% compared to aspirin (RR=0.59, 95% Cl: 0.40 to 0.88, three studies) (Figure 168, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference in the risk of PE (RR=1.37, 95% Cl: 0.35 to 5.35, three studies) (Figure 169, Appendix E).

**Effect on proximal DVT:** There was no significant difference on the risk of proximal DVT (RR=0.75, 95% Cl: 0.14 to 3.92, three studies) (Figure 170, Appendix E).

**Effect on major bleeding:** One study reported major bleeding. One event was observed in the group receiving aspirin. The difference was not significant (RR=0.67, 95% Cl: 0.03 to 15.06) (Figure 171, Appendix E).

### 7.2.5 Mechanical methods vs dextran

We found one study with 192 participants that compared a mechanical device (intermittent pneumatic compression) with dextrans<sup>489</sup> (Evidence Table 58, Appendix D). Patients in the pneumatic compression group wore the device during surgery only. Patients in the dextran group received prophylaxis at the induction of anaesthesia and within 8 hours of completing the surgery. The study reported outcomes for deep vein thrombosis only; hence the effects on proximal DVT, pulmonary embolism and major bleeding could not be estimated.

**Effect on DVT:** Dextrans were more effective in reducing the risk of DVT compared to mechanical prophylaxis (RR=1.75, 95% Cl: 1.11 to 2.77, 1 study) (Figure 168, Appendix E).

### 7.2.6 Mechanical methods vs fondaparinux

We did not identify any studies that compared mechanical devices with fondaparinux.

### 7.2.7 Mechanical methods vs danaparoid

We did not identify any studies that compared mechanical devices with danaparoid.

# 7.2.8 Mechanical methods vs unfractionated

### heparin and aspirin

We identified one study with 25 patients comparing foot pumps with unfractionated heparin and aspirin<sup>498</sup> (Evidence Table 58, Appendix D). Only DVT was reported as an outcome. **Effect on DVT:** There was no significant difference in the risk of DVT (RR=0.09, 95% CI: 0.01 to 1.56) (Figure 168, Appendix E).

### 7.2.9 Other comparisons

We identified two studies that did not fit into any of the categories mentioned above. Eskander et al, 1997<sup>149</sup> compared using IPC from time of admission for 48 hours then LMWH with LMWH used for the whole period. There was no significant difference for DVT, pulmonary embolism, proximal DVT or major bleeding between the groups (Evidence Table 58, Appendix D). Pitto et al, 2004<sup>420</sup> compared using LMWH during the operation followed by foot impulse device postoperatively with the use of LMWH for the whole period. There was no significant difference for DVT, pulmonary embolism, proximal DVT or major bleeding between the groups (Evidence Table 58, Appendix D).

7.3 Clinical evidence on electrical stimulation vs pharmacological interventions

### 7.3.1 Electrical stimulation vs dextran

We identified one study with 103 participants that compared electrical calf stimulation with dextran (Evidence Table 22, Appendix D). Proximal DVT and major bleeding events were not reported<sup>336</sup>.

**Effect on DVT**: There was no significant difference in the risk of DVT (RR=0.68, 95% CI: 0.24 to 1.93) (Figure 172, Appendix E).

**Effect on pulmonary embolism:** There was no significant difference in the risk of pulmonary embolism (RR=1.42, 95% CI: 0.44 to 4.61) (Figure 173, Appendix E).

# 7.3.2 Electrical stimulation vs unfractionated

### heparin

We identified one study with 100 participants comparing electrical calf stimulation with unfractionated heparin<sup>397</sup> (Evidence Table 21, Appendix D). Electrical stimulation was delivered during the surgery only whilst unfractionated heparin was begun preoperatively and continued until discharge. The study did not report pulmonary embolism or major bleeding events.

**Effect on DVT:** There was no significant difference in the risk of DVT (RR=1.71, 95% CI: 0.74 to 3.99) (Figure 172, Appendix E). **Effect on proximal DVT**: There was no significant difference in the risk of proximal DVT (RR=2.00, 95% Cl: 0.19 to 21.36) (Figure 174, Appendix E).

### 7.4 Patient views on mechanical vs

### pharmacological interventions

We found only one study comparing patient views for mechanical interventions with those for pharmacological interventions (Evidence Table 59, Appendix D)<sup>357</sup>. This RCT looked at the views of 207 women undergoing surgery for gynaecological malignancy on low molecular weight heparin or external pneumatic compression devices.

Four per cent of the low molecular weight heparin group reported side effects of discomfort compared to 26% of the external pneumatic compression group who experienced discomfort, inconvenience, problems and/or side effects. The most common side effect associated with the pneumatic compression devices was excessive perspiration. Eleven percent indicated that they removed pneumatic compression device when the nurse was out of the room. The compression device was not optimally functional in 9.6% patients at some point of postoperative recovery period whereas the protocol for low molecular weight heparin was not strictly adhered to in 6.8% patients.

Overall, there was no difference in preference or concordance between external pneumatic compression and low molecular weight heparin even though external pneumatic compression devices appear to lead to more discomfort. However, none of the patients had used the other method of prophylaxis so they had no basis on which to make a comparison.

# 7.5 Economic evidence on mechanical vs pharmacological interventions

### 7.5.1 The studies

We found six studies (Evidence Table 65, Appendix D). The studies evaluated either IPC or GCS. All were decision models. Surgery type was heterogeneous (orthopaedic, general, gynaecological and urological). There was only one UK-based study (the others were mainly from USA plus Canada and South Africa). They mainly estimated health gain in terms of lives saved not QALYs. Most studies included the cost of prophylaxis, diagnosis and treatment of DVT/PE. However, they did not include long-term prophylaxis or treatment of post-thrombotic syndrome.

### 7.5.2 IPCD vs UFH

Two models found IPCD to be dominant and three found UFH to be dominant. In one more study the cost-effectiveness was indeterminable<sup>253,347,356,410,411,433</sup>.

### 7.5.3 Stockings vs UFH

One model found stockings to be dominant and one found UFH to be cost-effective. In one more study the UFH was more effective but cost-effectiveness was indeterminable<sup>410,411,433</sup>.

### 7.5.4 IPCD vs Warfarin

One model found IPCD to be dominant<sup>410</sup> and in a cohort study the cost-effectiveness was indeterminable<sup>238</sup>.

### 7.5.5 Stockings vs Warfarin

A model found warfarin to be cost-effective<sup>410</sup>.

### 7.6 Conclusions

We found no reliable evidence of a difference in the effectiveness between mechanical and pharmacological methods of prophylaxis.

There were no cost-effectiveness studies comparing mechanical devices with low molecular heparin or fondaparinux. Furthermore, for those comparisons where there was economic evidence, there was too much inconsistency in the results. The most important contribution to this heterogeneity is the methods of estimating effectiveness. The trials employed to estimate effectiveness varied and sometimes crude methods of indirect comparison were used to estimate the event rates for each strategy. To ensure that all of the good quality clinical evidence from the guideline is used systematically, we have conducted our own mixed-treatment comparison meta-analysis and cost-effectiveness analysis (Chapters 12 and 13). Recommendations on the most clinically and cost effective mechanical and pharmacological strategies can be found in chapter 13.

# 8 Anaesthesia

### 8.1 Introduction

Anaesthesia is required for most operations and many investigations and other procedures. A general anaesthetic results in a patient losing consciousness. A regional anaesthetic technique involves injecting local anaesthetic into the epidural space (an epidural anaesthetic) or the subarachnoid space (a spinal anaesthetic) to achieve a sensory and/or motor block of the required area. Other drugs such as opioids may be added to the local anaesthetic agents or used as sole agents. Spinal injections are usually given as a single dose with a limited duration of action. Epidural anaesthesia may be continued for hours or days by placing additional medication through a catheter left in the epidural space. Regional techniques may be combined with sedation or a general anaesthetic. Certain procedures such as caesarean section, some urological operations or orthopaedic procedures on the lower limbs, are well suited to the use of regional techniques. Other procedures such as intracranial neurosurgery are not suitable. The use of regional anaesthesia is rare in cardiac surgery but may be used for thoracic and vascular operations.

### 8.2 Clinical evidence on anaesthesia

### 8.2.1 Regional vs General Anaesthesia

We identified one systematic review of 11 RCTs of regional vs general anaesthesia<sup>444</sup> and four additional RCTs giving a total of 15 studies with 1115 participants (Evidence Table 60, Appendix D). Twelve studies were in elective orthopaedic surgery patients, two urological and one in general surgery patients. Eleven studies used an epidural regional anaesthetic and four administered a spinal anaesthetic. Eight of the 11 studies using epidural anaesthesia continued the anaesthetic into the postoperative period for pain relief (in the remaining three studies the duration of the epidural anaesthetic was either unclear or not reported). In seven studies patients were given no prophylaxis for VTE, patients wore stockings in three studies, and received a pharmacological method of prophylaxis in five studies.

Nine studies were conducted in the 1980s and six in the 1990s, with the most recent trial published in 1996. It should be noted that general anaesthetic techniques and other aspects of perioperative management have changed considerably over this period.

**Effect on DVT:** A significant risk reduction for DVT was found in patients receiving regional compared with general anaesthesia (38%) (RR=0.62, 95% Cl: 0.53 to 0.73,15 studies) (Figure 175, Appendix E).

**Effect on pulmonary embolism:** Regional anaesthesia was significantly more effective in reducing risk of pulmonary embolism than general anaesthesia, with an overall reduction of 43% (RR=0.57, 95% CI: 0.35 to 0.91) (Figure 176, Appendix E).

**Effect on proximal DVT:** Regional anaesthesia significantly reduced the overall risk proximal deep vein thrombosis compared with general anaesthesia. (RR=0.30, 95% CI: 0.19 to 0.47, seven studies) (Figure 177, Appendix E). The results of one trial<sup>376</sup> contributed 50% of the results.

**Effect on major bleeding:** Seven studies measured major bleeding events. Only one study reported an event, (RR=0.10, 95% CI: 0.01 to 1.71). The difference was not significant (Figure 178, Appendix E).

## 8.2.2 Subgroup Analysis of Epidural vs Spinal Anaesthesia

We found no RCTs comparing spinal and epidural anaesthesia with regard to the development of post-operative VTE. A subgroup analysis of the regional vs general anaesthesia RCTs was carried out to look for a difference in the magnitude of effect based on whether spinal or epidural regional anaesthesia was used. Eleven studies used epidural and four studies used spinal regional anaesthesia. For deep vein thrombosis, a random effects metaanalysis was used, due to the heterogeneity within the results. Subgroup analyses were not possible for proximal DVT and major bleeding as there were no studies using spinal anaesthesia that assessed these variables.

**Effect on DVT:** A significantly reduced risk of DVT was found with both epidural compared with general anaesthesia (RR=0.62, 95% CI: 0.51 to 0.75, 11 studies) and spinal compared with general anaesthesia (RR=0.63, 95% CI: 0.48 to 0.83, 4 studies). No significant difference in the magnitude of effect between epidural and spinal anaesthesia was found ( $\chi^2$  on 1 df = 0.03, p=0.86) (Figure 179, Appendix E).

**Effect on pulmonary embolism:** We found a significantly reduced risk with epidural compared to general anaesthesia (RR=0.61, 95% CI: 0.38 to 0.99, 5 studies). There was no significant difference in risk of developing pulmonary embolism in a comparison of spinal vs general anaesthesia (RR=0.47, 95% CI: 0.23 to 0.96). There was no significant difference in the magnitude of effect between epidural and spinal anaesthesia ( $\chi^2$  on 1 df = 0.42, p=0.52) (Figure 180, Appendix E).

# 8.2.3 Regional + general anaesthesia vs general anaesthesia only

One study in the systematic review mentioned above<sup>444</sup> and one further study<sup>124</sup> compared the combined use of regional anaesthesia and general anaesthesia with general anaesthesia alone (Evidence Table 61, Appendix D). One study<sup>124</sup> was in elective hip surgery patients. All patients received an oral anticoagulant for VTE prophylaxis. Patients receiving regional anaesthesia had an epidural for the duration of surgery only. The study was small, with only 37 patients. The second study<sup>235</sup> was of general surgery (elective gall bladder) patients. No VTE prophylaxis was given to patients in the study. For regional anaesthesia patients, the epidural was prolonged into the postoperative period for pain relief. The studies did not report major bleeds or pulmonary embolism. One study<sup>124</sup> reported the site of deep vein thrombosis. No patient had a DVT that was situated above the knee and therefore the relative risk of proximal DVT was not estimable.

**Effect on DVT:** No significant difference was found (RR=0.69, 95% CI: 0.26 to 1.82, two studies) (Figure 181, Appendix E).

# 8.2.4 Risk of haematoma in anticoagulated

### patients receiving a regional anaesthetic

Risk of haematoma at the injection site is increased with the concomitant use of pharmacological prophylaxis agents. Removal of epidural catheter in the anticoagulated patient has also been associated with the development of spinal haematoma. The consequences of an epidural haematoma may be permanent paralysis below the level of the haematoma. The diagnosis is difficult as patients may have weakness or block because of the effects of the epidural. It would be extremely difficult to determine the true incidence as a randomised study would require very large numbers of patients due to the rarity of the event, however it has been estimated to be about 1 in 150,000 epidural blocks and 1 in 220,000 spinal anaesthetics82.

### 8.3 Economic evidence on anaesthesia

We did not find any relevant economic evidence in our search of the literature.

## 8.4 Conclusions on clinical and cost

### effectiveness of anaesthesia

Evidence from RCTs shows that regional anaesthesia compared with general anaesthesia reduces the risk of developing postoperative VTE. There was not enough evidence to determine differences in effect for major bleeding. The evidence is limited to certain surgical procedures and there are other considerations involved when selecting an anaesthetic technique. Patient preferences are also an important consideration.

The GDG considered the evidence that regional anaesthesia reduces the risk of DVT as important. Regional anaesthesia alone should not be considered a suitable method of prophylaxis. There are effective alternative techniques to prevent these complications and other matters to be taken into account when deciding on the most appropriate anaesthetic for a patient. In the absence of data on bleeding and the practical implications for different surgical procedures the group decided to recommend that it's use be considered where practical in addition to other methods of prophylaxis.

An additional concern is the risk of developing a haematoma as a result of a regional anaesthetic technique. Consequently, the GDG recommend that the timing of pharmacological prophylaxis should be carefully planned to minimise the risk of spinal haematoma if a regional anaesthetic technique is used.

We found no evidence on the cost-effectiveness of regional anaesthesia compared with general anaesthesia in the context of VTE prophylaxis. However, there is a small body of literature that shows regional anaesthesia to be associated with faster recovery time and reduced cost for some types of surgery<sup>372,556</sup>. This would suggest that, when it can be performed safely, regional anaesthesia is likely to be a highly cost-effective form of VTE prophylaxis.

### 8.5 Recommendations

Regional anaesthesia reduces the risk of venous thromboembolism compared with general anaesthesia. Its suitability for an individual patient and procedure should be considered, along with the patient's preferences, in addition to any other planned method of thromboprophylaxis.

If a regional anaesthetic technique is used, the timing of pharmacological prophylaxis should be carefully planned to minimise the risk of haematoma.

# 9 Nursing care, physiotherapy and hydration to reduce the risk of VTE

### 9.1 Early mobilisation and leg exercises

Immobility and lack of exercise are widely accepted as risk factors for developing venous thromboembolism. When normal venous pump function is lost as a result of bed rest, venous stasis manifests itself in two ways. Firstly, there is a decrease in the linear velocity of blood, affecting venous return from the lower extremities. Secondly, this decrease in the mean flow and pulsavity of the venous flow is followed by dilatation of the vein delaying further venous return and leading to venous stasis.

It has long been suggested that early mobilisation prevents stasis and reduces subsequent risk of thrombi formation<sup>291,551</sup>. Although there is no robust clinical data or RCTs, attesting to support the value of early mobilisation in combating venous stasis, experimental physiology has demonstrated that it promotes venous return and thus reduces the risk of VTE<sup>187,484</sup>.

Leg exercises are a safe and effective method of increasing venous return to the heart. The contraction during leg exercises, particularly the calf muscle pump, compresses the deep leg veins and with the aid of the venous valves, moves blood flow toward the heart. Mechanical devices that perform continuous passive motion imitate these contractions and increase the volume and velocity of venous flow.

### 9.1.1 Clinical evidence on early mobilisation

### and leg exercises

We identified no RCTs that looked at the effect of early mobilisation or leg exercises on venous thromboembolism outcomes measured using objective criteria.

## 9.1.2 Economic evidence on early mobilisation

### and leg exercises

We did not find any relevant economic evidence.

### 9.1.3 Conclusions on early mobilisation and

### leg exercises

There is no RCT evidence to contradict the practices of encouraging patients to mobilise early or exercising their legs while immobile in bed.

The GDG recommended that it is good practice to encourage patients to mobilise as soon as possible after surgery and that leg exercises should be encouraged in immobilised patients.

### 9.2 Leg elevation

Leg elevation has a dual physiological effect: it reduces limb swelling and promotes venous return by its gravitational effect. It is generally held that promoting venous return can contribute to the prevention of thrombi formation. n addition, postural changes in the supine position can have a haemodynamic effect and are associated with an increase in blood flow in deep veins and reduction in venous pressure.

### 9.2.1 Clinical evidence on leg elevation

We found one RCT<sup>447</sup> that compared foot elevation with no intervention (Evidence Table 62, Appendix D). Twenty five mixed surgical patients (elective surgery excluding surgeries performed on the leg below groin) were randomised to either bilateral leg elevation at 15 degrees from pre-medication until one week post surgery, or no leg elevation. The study did not report whether patients received any other VTE prophylaxis. Pulmonary embolism and major bleeding events were not reported.

**Effect on DVT:** No significant difference was found between leg elevation and no leg elevation (RR=1.08, 95% Cl 0.35 to 3.40, one study) (Figure 182, Appendix E).

**Effect on proximal DVT:** Due to the low event rates and small size of the trial, it was not possible to obtain a reliable estimate of the effect on

proximal DVT (RR=1.08, 95% CI 0.08 – 15.46, one study) (Figure 183, Appendix E).

### 9.2.2 Economic evidence on leg elevation

We did not find any relevant economic evidence.

### 9.2.3 Conclusions on leg elevation

Overall, there is little scientific data and further robust studies are warranted to reliably assert that leg elevation is effective in reducing the risk of VTE. Caution must be used with leg elevation in patients with ischaemic legs.

### 9.3 Continuous passive motion

Continuous passive motion (CPM) is where a joint is moved continuously, either by another person bending it or by a machine. The CPM machine produces continuous passive motion by slowly and gently bending and straightening the knee without the assistance of the individual. CPM applied to the ankle joint may increase the volume of flow in the femoral vein within minutes and much higher after several minutes. These positive effects are evident even after the device is turned off<sup>177</sup>.

# 9.3.1 Clinical evidence on continuous passive

### motion

We identified no RCTs of continuous passive motion that looked at the effect of this intervention on VTE outcomes measured using objective criteria.

### 9.3.2 Economic evidence on continuous

### passive motion

Two cost analyses compared continuous passive motion with conventional physiotherapy after total knee replacement. There was one RCT<sup>361</sup>, and one non-RCT<sup>540</sup>, both set in the USA. The RCT was not included in the clinical evidence above because it did not state how DVTs were diagnosed. This is probably because VTE was not a primary outcome of the study. However, this was not considered a good reason to exclude the cost analysis.

Both studies found cost savings of about £120 per patient due to the avoidance of physical manipulation in some patients but these differences were not statistically significant. The RCT reported one DVT in the CPM arm but neither study systematically screened patients for DVT. Hence the cost-effectiveness of CPM is uncertain.

### 9.3.3 Conclusions on continuous passive

### motion

There is little evidence on continuous passive motion. Further investigation is necessary before continuous passive motion can be reliably recommended.

### 9.4 Hydration

It is believed that dehydration predisposes to venous thromboembolism. Kelly et al found a strong association between dehydration after acute ischaemic stroke and VTE<sup>288</sup>. Allowing a patient to become dehydrated during surgery may also be associated with VTE.

### 9.4.1 Clinical evidence on hydration

We found one RCT<sup>265</sup> that looked at the effect of intravenous saline administration on post-operative deep vein thrombosis(Evidence Table 63, Appendix D). Sixty patients undergoing routine abdominal surgery were randomised. Thirty patients received 1 litre of Hartmann's solution per hour of surgery, and then 2-3 litres of dextrose-saline per 24 hours for 2 days. Patients in the second group were given no intravenous fluids either during or after the surgery, but small, increasing amounts of water were allowed by mouth from the first day onwards. The study did not report location of thrombosis, pulmonary embolism or major bleeding events.

**Effect on DVT:** Intravenous saline was associated with a significantly higher number of DVT events (RR=4.50, 95% Cl 1.06-19.11, one study) (Figure 184, Appendix E).

### 9.4.2 Economic evidence on hydration

We did not find any relevant economic evidence.

### 9.4.3 Conclusions on hydration

We found no RCTs that looked at the effect of oral hydration on venous thromboembolism. The guideline development group considered that it was good practice to recommend that patients having surgery should not be allowed to become dehydrated during their stay in hospital.

### 9.5 Patient views on this group of

### interventions

No studies on patient views were identified.

## 9.6 Conclusions on clinical and cost-

### effectiveness of nursing care,

### physiotherapy and hydration

There is very little evidence on these interventions and where available, the evidence was inconclusive. The GDG made a number of good practice points on hydration, early mobilisation and leg exercises (see below).

### 9.7 Recommendations

•

Healthcare professionals should not allow patients having surgery to become dehydrated during their stay in hospital.

Healthcare professionals should encourage patients to mobilise as soon as possible after surgery.

Healthcare professionals should arrange for immobilised patients to have leg exercises.

# 10 Vena caval filters

### 10.1 Introduction

Vena caval filters are placed in the inferior vena cava by radiologically controlled percutaneous techniques. Their purpose is to trap the thrombus which comes free from the veins of the lower limbs or pelvis and to prevent them reaching the pulmonary circulation. In the earlier designs, once placed they could not be removed, but retrievable and temporary filters are now available. They are usually used in patients who have a known DVT and who may have already had an embolism or for patients in whom anticoagulation is contraindicated.

Filter placement necessitates instrumentation of the veins, either via the groin (Femoral vein) or the neck (jugular vein) and there are complications associated with placement. These can occur immediately following placement or develop or come to light months to years later<sup>213</sup>. The complications include misplacement, pneumothorax, haematoma, air embolism, inadvertent carotid artery puncture and arteriovenous fistula.

### 10.2 Clinical evidence on vena caval filters

We found no RCTs investigating vena caval filters, either permanent or retrievable, in surgical patients.

We identified one RCT that compared the use of permanent vena caval filters with no filters in 400 hospitalised patients with proximal DVT considered to be at high risk of pulmonary embolism<sup>131</sup> (Evidence Table 64, Appendix D). All patients received oral anticoagulants from the 4th day of the study and continued for at least 3 months. Patients were also randomised to receive either UFH or LMWH for 8 to 12 days.

Significantly more patients had a pulmonary embolism in the first 12 days in patients without the filter than in those with the filter. More patients in the group allocated no filters had symptomatic pulmonary embolism than those allocated filters at 2 years and 8 years. The difference was significant at 8 years<sup>515</sup>. There was no difference in the number of major bleeds. However, significantly more patients using filters had recurrent DVT at 2 years.

### 10.3 Economic evidence on vena caval filters

We found no economic studies evaluating vena caval filters specifically in surgical patients. However, we did find five economic studies that evaluated vena caval filters in other contexts (Evidence Table 65).

Two decision models <sup>77,97</sup> compared the surgical placement of vena caval filters with anticoagulation in high-risk trauma patients and in patients with malignant brain tumour. Both studies found that the filter was not cost-effective. A third decision model<sup>468</sup> found that vena caval filter placement is cost-saving compared with either anticoagulation or observation for patients with advanced cancer. However, their assumption of a 90% reduction in symptomatic VTE attributable to filters seems optimistic compared with the RCT results above.

Four studies, three cohort studies<sup>77</sup> and one decision model<sup>60,140,263</sup> found that bedside percutaneous placement of vena caval filters was less costly and safe compared with surgical placement.

# 10.4 Conclusions on clinical and cost effectiveness of vena caval filters

We found no evidence on the effectiveness of vena caval filters in surgical patients. We did find evidence that vena caval filters are effective at reducing the risk of PE in hospitalised patients, although these patients did receive anticoagulation at day 4 of the study. The economic data showed that vena caval filters are unlikely to be costeffective in patients that can be coagulated.

The British Committee for Standards in Haematology have produced guidelines on the use of vena caval filters<sup>80</sup>. They reviewed the clinical studies mentioned above and came to a consensus on the recommendations.

Given the evidence (extrapolated form non-surgical populations) and the consensus from the previous guideline, the GDG recommend that vena caval

filters should be considered for surgical patients with recent (within one month) or existing venous thromboembolism, but only for patients in whom anticoagulation is contraindicated.

### 10.5 Recommendations

Vena caval filters should be considered for surgical inpatients with recent (within 1 month) or existing VTE and in whom anticoagulation is contraindicated.

# **11** Patient Information

### 11.1 Introduction

The aim of this section was to examine whether educating patients about venous thromboembolism before or after surgery reduced the number of postoperative DVTs and pulmonary embolisms or affected any of the other outcomes listed in section 3.4.

We searched for any study, regardless of study design, that examined the effect of giving information on venous thromboembolism or on methods of prophylaxis to patients before or after surgery.

### 11.2 Summary of identified studies

We found no studies that addressed this question.

### 11.3 Conclusions on Information for Patients

Although we did not identify any studies on this, the guideline development group considered that it was good practice to offer patients prior to surgery verbal and written information on the risks of VTE that should include information on the effectiveness of prophylaxis in order to encourage concordance. There was no evidence on giving patients information on VTE at their discharge from hospital after surgery. However, the guideline development group considered that it was good practice to give patients information on the signs and symptoms of VTE, information on how to use any prophylaxis that they will be administering at home and, in order to strengthen the message, the implication of not using these methods correctly.

### 11.4 Recommendations

Healthcare professionals should give patients verbal and written information, before surgery, about the risks of VTE and the effectiveness of prophylaxis.

Healthcare professionals should give patients verbal and written information on the following, as part of their discharge plan:

- The signs and symptoms of DVT and PE.
- The correct use of prophylaxis at home.
- The implications of not using the prophylaxis correctly.

# 12 Mixed Treatment Comparisons Meta-analysis

### 12.1 Rationale

It is difficult to decide which prophylaxis strategy is the most-effective at reducing VTE just from looking at the results of conventional meta-analyses of direct evidence (as presented in chapters 5-10) for two reasons:

1. Some pairs of alternative strategies have not been directly compared in an RCT (For example, danaparoid vs fondaparinux).

2. There are frequently multiple overlapping comparisons (For example, heparin vs no prophylaxis, heparin vs stockings and stockings vs no prophylaxis), that could potentially give inconsistent estimates of effect.

To overcome these problems, we conducted a mixed treatment comparisons (MTC) meta-analysis that pools together all the data. This allowed us to rank the different prophylaxis interventions in order of efficacy at reducing the number of DVTs and in order of risk of major bleeding. It also gives us a single estimate of effect (with confidence intervals) for each intervention. These estimates are essential to facilitate a cost-effectiveness analysis of various prophylaxis strategies.

The MTC analyses are used to compliment our analysis of direct comparison evidence. The particular approach to MTC analysis we have taken allows us to estimate the level of inconsistency between different comparisons.

The MTC analysis gives us greater statistical power in evaluating combination prophylaxis an area where the trial evidence was limited. For example we can get more precise estimates of the effectiveness of LMWH+mechanical by utilising both trials of LMWH adjuvant to mechanical and trials of mechanical adjuvant to LMWH.

### 12.2 Interventions

The thromboprophylaxis interventions compared in the model are those we found in the RCTs included in our clinical review (chapters 5-10). However, to simplify the task, we have excluded trials that evaluated: • dextran, antiplatelet drugs other than aspirin, fixed-dose oral anticoagulants – since these are unlicensed, dated, and not likely to be recommended

• different anaesthetic regimes, since for most of our studies patients had a mixture of types of anaesthesia

• hydration, physiotherapy, continuous passive motion, foot elevation, electrical stimulation and vena caval filters, where there was insufficient RCT evidence.

• combinations of two or more drugs and combinations of two or more mechanical devices, where there was insufficient RCT evidence

• post-discharge prophylaxis

For trials of three or more arms, we excluded only the arm that is outside of this inclusion criterion, not the whole trial.

Interventions that we included are:

### Pharmacological:

 aspirin, danaparoid, fondaparinux, heparin (UFH / LMWH), adjustable-dose oral anticoagulants (OAC-adj)

### **Mechanical**:

• stockings, intermittent pneumatic compression device (IPCD), foot impulse devices

• nil (i.e. no prophylaxis or placebo)

• combinations of one drug and one mechanical device

• combinations of two mechanical devices (as a sensitivity analysis).

In some studies, the majority but not all patients were using mechanical prophylaxis in the background of the trial. This was the case for the fondaparinux vs LMWH trial. For the base case analysis we ignored background prophylaxis. But as a sensitivity analysis, we reclassify these studies as Mech+Fondaparinux vs Mech+LMWH.

### 12.3 Methods

To estimate the relative risks we performed a maximum likelihood mixed-treatment comparison meta-analysis that simultaneously uses all the RCT evidence<sup>342</sup> – for details see appendix F. As with conventional meta-analyses, this analysis does not break the randomisation of the evidence. Nor does it make any assumptions about adding the effects of different interventions. The effectiveness of a particular prophylaxis combination is derived only from RCTs that had that particular combination in a trial arm.

Data from all the relevant RCTs in our clinical review (Chapters 5, 6 and 7) were included in the analysis.

We produced five MTC models:

• **DVT MTC analysis 1** Single intervention. We took into account single prophylaxis interventions only and explicitly excluded combined strategies.

• **DVT MTC analysis 2** Single/combined DVT meta-analysis. We combined all the mechanical devices into one category (Mech). We also added in two combination strategies Mech+UFH and Mech+LMWH.

• **DVT MTC analysis 3** Same as Analysis 2) but adding a strategy of two mechanical devices: 'Double Mech'.

• DVT MTC analysis 4 Same as Analysis 2) except that studies that were categorised as

Fondaparinux vs LMWH are reclassified as Mech+Fondaparinux vs Mech+LMWH, since most patients in all of these studies were reported to be using a background mechanical prophylaxis.

• Major bleeding MTC analysis. Mechanical devices do not influence major bleeding so for this model mechanical only strategies were recategorised as "Nil". Likewise combination strategies were categorised according to their drug component only.

### 12.4 Results

The single intervention meta-analysis was composed of 220 studies including 55,037 patients. Of these patients, 8048 experienced DVT. The single/ combined meta-analysis was composed of 240 studies including 58,645 patients. Of these 8,814 experienced DVT. The combined + two mechanical devices meta-analysis was composed of 248 studies including 58,887 patients. Of these, 8908 experienced DVT. The major bleeding meta-analysis was composed of 123 studies including 56,621 patients, of whom 1769 had a major bleeding event.

For each strategy, the results are given in terms of the relative risk (RR) compared to the nil prophylaxis strategy. We took the nil prophylaxis strategy data from both placebo and open noprophylaxis trial arms.

The results for the single intervention meta-analysis (see Diagram 1) show that fondaparinux performs best overall with a 78% relative risk reduction (RR=0.22, 95% CI: 0.16 to 0.28) compared to no prophylaxis. The mechanical treatments (intermittent pneumatic compression IPCD, foot pumps FP and graduated compression stockings GCS) show a similar relative risk (0.46, 0.53 and 0.53 respectively) with overlapping confidence intervals.

Trea	tment vs	Nil (DVT)	
Mechanical Tx	RR	95% CI	
IPCD	0.46	0.37-0.57	-
GCS	0.53	0.4-0.71	
FP	0.53	0.38-0.73	
Pharmacological Tx			
Fon	0.22	0.16-0.28	+
Dan	0.35	0.27-0.46	+
LMWH	0.41	0.36-0.47	+
UFH	0.49	0.44-0.55	-
Warfarin	0.59	0.51-0.68	-
Asp	0.77	0.67-0.88	
			0 0.5 1

Diagram 1: DVT MTC analysis 1: single intervention strategies

Diagram 2 shows the results of the combined methods analysis, that is, when the mechanical methods are combined and treated as one group. The results are very similar to the single intervention DVT meta-analysis. This strategy shows a relative risk reduction of 51% (RR=0.49, 95% CI: 0.43 to 0.57). The combined strategies (LMWH+Mech and UFH+Mech) perform better than mechanical and most of the pharmacological treatments alone with a relative risk reduction of 71% and 70% respectively (RR=0.29, 95% Cl: 0.22 to 0.37 and RR=0.30, 95% Cl: 0.23 to 0.40). Fondaparinux is the only single strategy that is more effective at reducing DVTs.

Diagram 2: DVT MTC analysis 2: a) Mechanicals combined b) Combinations added

	Treatme	ent vs Nil (DVT)
Mechanical Tx	RR	95% Cl
Mech Pharmacological	0.49 <i>Tx</i>	0.43-0.57
Fon	0.22	0.17-0.28
Dan	0.31	0.24-0.41
LMWH	0.42	0.37-0.47
UFH	0.5	0.45-0.55
Warfarin	0.59	0.51-0.67
Asp Combined Tx	0.76	0.67-0.87
LMWH+Mech	0.29	0.22-0.37
UFH+Mech	0.3	0.23-0.4

Diagram 3 shows the results of the combined methods analysis but with the strategy of two mechanical devices added into the analysis giving a relative risk reduction of 75% (RR=0.25, 95% Cl: 0.16 to 0.38). Two mechanical devices perform better overall, while the other options show very similar results to the previous meta-analysis. However, this data comes from a few small trials and the GDG did not consider this evidence to be robust.

Diggram	3.	DVT	мтс	analysi	. 3.	double	mechanical added
Diagram	э:		MIC	unurysi	5 0:	uoobie	mechanical added

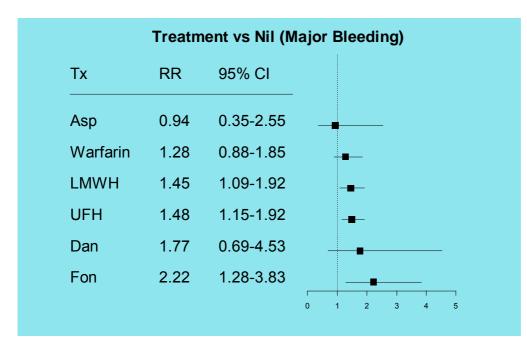
	Treatr	ment vs Nil (DVT)	
Mechanical Tx	RR	95% Cl	
Mech Pharmacological	0.5 Tx	0.44-0.58	+
Fon	0.22	0.17-0.29	+
Dan	0.32	0.24-0.42	<b>_</b>
LMWH	0.42	0.37-0.47	_
UFH	0.5	0.45-0.56	<u> </u>
Warfarin	0.59	0.52-0.68	
Asp Combined Tx	0.77	0.67-0.88	
LMWH+Mech	0.3	0.23-0.38	-
UFH+Mech Double Mech Tx	0.33	0.25-0.43	-
DoubleMech	0.25	0.16-0.38	
			0 0.25 0.75 1

Diagram 4 shows the results of the combined methods analysis except that studies that were categorised as Fondaparinux vs LMWH are reclassified as Mech+Fondaparinux vs Mech+LMWH This gave a relative risk reduction of 85% (RR=0.15, 95% CI: 0.11 to 0.21) for Mech+Fondaparinux.

### Diagram 4: DVT MTC analysis 4: Fondaparinux+Mech added

	Treatme	nt vs Nil (DVT)	
Mechanical Tx	RR	95% Cl	
Mech	0.49	0.43-0.57	-
Pharmacological	Tx		
Dan	0.31	0.24-0.41	-
LMWH	0.42	0.37-0.47	-
UFH	0.5	0.45-0.55	-
Warfarin	0.59	0.51-0.67	-
Asp	0.76	0.67-0.87	-+
Combined Tx			
Fon+Mech	0.15	0.11-0.21	+
LMWH+Mech	0.29	0.22-0.37	-
UFH+Mech	0.3	0.23-0.4	
			0 0.25 0.75

Results for the major bleeding meta-analysis are shown below. There appears to be a trade-off between effectiveness in reducing the risk of DVT and increased major bleeding. Fondaparinux, which was the most effective pharmacological treatment in reducing the risk of DVT, had the highest estimated relative risk increase for major bleeding, with a relative risk increase of 122% (RR=2.22, 95% Cl: 1.28 to 3.83). However the broad confidence intervals for all the strategies overlap, making it difficult to distinguish the different options in terms of major bleeding. Diagram 5: Major bleeding MTC analysis



### 12.5 Discussion

This analysis allowed us to combine the findings from many of the different comparisons presented in the previous chapters. Using this approach we have been able to make comparisons between different prophylactic strategies even when direct comparative data did not exist or the results gave inconsistent estimates of effectiveness.

The ordering of interventions observed in these MTC analyses seems consistent with the results of our review of direct comparison evidence.

However, the analysis performed has some limitations:

• Firstly, there are some important treatment options, such as warfarin combined with mechanical, that have not been addressed in the meta-analysis because of insufficient data. • Some important outcomes such as mortality and pulmonary embolism have not been examined in the meta-analysis. It difficult to analyse these outcomes with a mixed treatment comparison approach because these events are rare. And we didn't have access to additional information such as time to event which would have made more sophisticated analysis possible.

• There was heterogeneity in the methods and results of the included studies (including differences in the dose, timing and duration of interventions, in addition to differences in study populations). We used a random-effects model, which estimates wider confidence intervals to account for heterogeneity. However, we have not sought to formally identify specific determinants of the heterogeneity observed in the results.

The observed trade-off between DVT and major bleeding implies that a cost-effectiveness analysis, which explicitly evaluates the net impact of DVT, major bleeding and opportunity cost, is essential.

# 13 Cost-effectiveness analysis

We found a number of economic evaluations in the published literature (Chapters 5-7) but still it was necessary to develop our own analysis to determine the most cost-effective thromboprophylaxis strategy for different surgical scenarios. We took this approach because we found great inconsistency in the published economic evaluations mainly because they varied in the clinical studies they included and because they used crude methods to deal with indirect comparisons. Furthermore most of the published studies did not evaluate costeffectiveness using NICE's reference case.

### 13.1 Methods

We took a 4-stage approach:

1. Compare the different drug interventions

3. Evaluate mechanical prophylaxis

4. Evaluate the addition of a drug as an adjunct to mechanical prophylaxis.

5. Evaluate drug prophylaxis in the post-discharge period in high risk groups.

The thromboprophylaxis interventions we compared in the model are those which we evaluated in our mixed-treatment comparisons meta-analysis (Chapter 12). A combinations of two types of mechanical prophylaxis was not included in the base case analysis because the data are from only a few small trials and the GDG did not consider this evidence to be robust.

The primary outcomes (relative to 'no prophylaxis') are quality-adjusted life-years (QALYs) gained and incremental cost.

### 13.1.1 General methodology

• The effects were derived from the mixed treatment comparisons meta-analysis reported in Chapter 12.

• We performed a probabilistic sensitivity analysis to test the robustness of the results to the imprecision of these estimates and the other model parameters.

• The model employed a cost-effectiveness threshold of  $\pounds 20,000$  per QALY gained, complying with the reference case advocated by NICE<sup>389</sup>, such that costs were estimated from an NHS and personal social services perspective and both future costs and QALYs were discounted at 3.5%.

A detailed description of our methods can be found in Appendix G.

### 13.1.2 Key assumptions

a) We made the assumption that the *relative* risk (RR) change of each prophylaxis strategy is constant regardless of type of surgery and therefore we pooled together the results of RCTs from all surgical categories.

b)Not every study collected PE data and given the rarity of the event, relative risks are imprecise, so we assumed that the RR reduction in symptomatic PE (Fatal and other symptomatic) is exactly the same as for DVT.

c) Similarly we assumed that the RR increase in fatal bleeds and strokes would be exactly the same as for major bleeding overall.

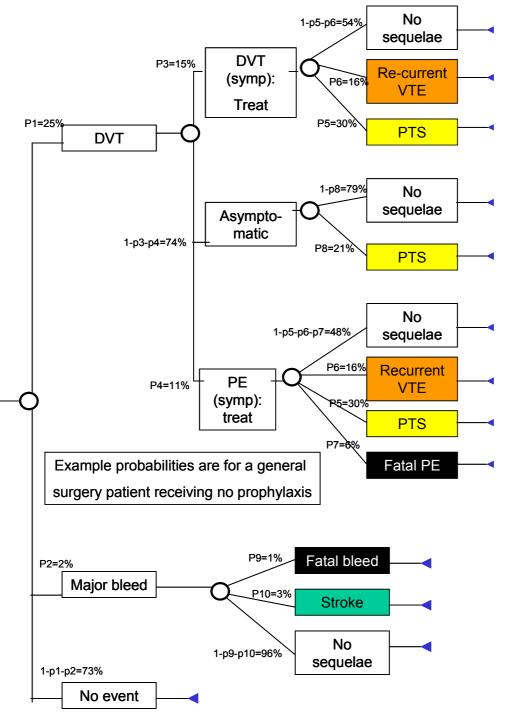
d)For our main analysis, we assumed prophylaxis does not reduce the incidence of PTS or VTE recurrence.

e)For our analysis of in-hospital prophylaxis we assume that prophylaxis is continued until discharge, as was the practice in most of the the RCTs in our review

f) Drug costs were calculated on the basis of the public list price.

g)We conducted a separate analysis of postdischarge prophylaxis. The model structure is the same as the for in-hospital prophylaxis. The only differences are in terms of 1) the baseline risk (based on the risk in the control arms of postdischarge RCTs, 2) the relative risks (based on the post-discharge RCTs), 3) the interventions compared (only LMWH, fondaparinux and no prophylaxis are considered post-discharge). A diagrammatic representation of the model can be found in Diagram 6.

### **Table 6: Decision model**



Notes: Figures are rounded to the nearest %

- In the base case model only the following probabilities are modified according to the prophylaxis strategy: p1 and p2
- In the base case model the costs and health consequences of PTS and recurrence are not included.
- The diagram represents only the incidence of events and not the sequence. E.g. a patient who is scanned in hospital might show no DVT but could still develop a symptomatic VTE post-discharge.

### 13.1.3 Surgical scenarios

We constructed a cost-effectiveness analysis for each of four common types of surgery:

- hip fracture
- elective hip
- gynaecological surgery (hysterectomy)
- general surgery.

Table 7 summarises all of the differences between types of surgery that were captured by the model. Age, sex and standardised mortality ratio contribute to the estimates of life expectancy and subsequently the magnitude of QALYs gained from averting a fatal PE and the magnitude of QALYs lost from incurring a fatal bleeding event. Length of stay impacts on the cost of prophylaxis. The baseline risk of events affects the magnitude of treatment costs (or savings) and the magnitude of QALYs gained (or lost).

	Source	Hip Fracture	Elective Hip	Gynaecological (hysterectomy)	General surgery
		W46,	W37,		
HES code	HES 2004-5 <sup>134</sup>	W47, W48	W38	Q07.4	Various
Mean age (years)	HES 2004-5 <sup>134</sup>	82	70	50	60*
% Male	HES 2004-5 <sup>134</sup>	21%	38%	0%	50%*
Standardised Mortality Ratio****	Epidemiological cohort study <sup>480</sup> (1st year after surgery)	461% (432, 491)	83% (71, 97)	77% (50, 114)	100%**
Mean LOS / duration of prophylaxis	HES 2004-5 <sup>134</sup> (days)	20	10	6	7*
PE fatality rate***	See Appendix G Table 4	31%		6%	
Baseline risk in the ab	sence of prophylaxis (during hos	spital admissi	on)		
DVT risk	See Chapter 4, Table 2	39%	45%	16%	25%
Symptomatic PE risk	See Chapter 4, Table 2	6%	4%	1%	2%
Major bleeding risk	See Chapter 4, Table 3	3%	2%	4%	2%
Baseline risk post-disc	harge in the absence of post-disc	charge prophy	ylaxis		
DVT risk	See Appendix G, section 3	19%	21%	8%	13%
Symptomatic PE risk	See Appendix G, section 3	1.5%	1%	0.25%	0.5%

\* Based on the average patient characteristics of the general surgery RCTs in our clinical review, \*\* Assumed, \*\*\* Fatal PEs divided by all symptomatic PEs, \*\*\*\* Ratio of the death rate in the surgical group compared with the death rate in the general population, adjusting for age and sex.

### 13.1.4 Data sources

The estimates of relative risk for the in-hospital prophylaxis strategies are presented in Chapter 12. For extended prophylaxis the data was more limited and therefore we simply used the relative risks compared with no post-discharge prophylaxis from our direct comparison meta-analyses (Chapter 6). Baseline risk data was estimated from the RCT

Table 8). Members of the GDG devised typical treatment pathways and these were costed using unit costs from standard NHS sources. Drugs were costed using the BNF list price. The QALYs lost associated with a fatal event would vary according to the patient group. We estimated average life-

data where there was a no prophylaxis arm (Chapter 4). Other probabilities were taken from the published literature and were from systematic reviews when available.

In addition to the cost of prophylaxis, we included the treatment costs associated with symptomatic VTE and bleeding events (

years for each surgical scenario using life tables for England & Wales based on the groups age-sex structure and modified using published standardised mortality ratios. We took quality of life weightings from the published literature. The QALY weightings for the general surgery patient are shown in Table 8 – details are in Appendix G.

Table 8: Summary of the consequences of each event

Events	Additional treatment cost	QALYs lost (General surgery patient)
Fatal PE	£O	7.730
Fatal bleed	£O	7.730
Symptomatic DVT	£476	0.004
Symptomatic PE (non-fatal)	£2,498	0.017
Asymptomatic DVT	£O	0
Asymptomatic PE	£O	0
Major bleed (stroke)	£7,744	0.320
Major bleed (other non-fatal)	£1,160	0.011

In the next section, we present results both in tables and graphs.

The tables report incremental net benefit (INB). The formula for INB is:

INB= (QALYs gained x £20,000) minus the incremental cost

This indicates that we will invest up to  $\pm 20,000$  to gain one additional QALY. The strategy that has the highest INB is the optimal (that is, most cost-effective) strategy. Strategies that have a negative INB are not cost-effective even compared with no prophylaxis.

The graphs show incremental cost plotted against QALYs gained for each strategy (compared with nil); this is known as the cost-effectiveness plane. Strategies that appear in the bottom right quadrant are cost saving as well as health improving compared with no prophylaxis. A strategy that is 'dominant' will be both lower than and to the right of every other strategy. Strategies that occur in the top left or bottom left quadrants are damaging to health (because the benefits from averting VTEs are more than offset by the health loss from increased major bleeding).

### 13.2 In-hospital prophylaxis: the general surgery patient

The details for these patients are given in Table 7. They have a high risk of DVT and symptomatic pulmonary embolism but not as high as for patients undergoing major orthopaedic surgery.

### 13.2.1 Drug prophylaxis

Using our best estimates for all of the model parameters, we found that fondaparinux was the most cost-effective drug strategy (Table 9 and Table 10). Diagram 6 shows the extent of our uncertainty due to the standard error around our estimates of relative risk (keeping all other parameters constant). The confidence ellipses are very slim – this is because there is strong negative correlation between costs and effects (the more VTEs we avert the more we increase health gain and the more we increase cost savings). Randomly varying all of the model parameters simultaneously, we found that fondaparinux was optimal for 45% of simulations, followed by LMWH in 36%.

	All DVT	Sympto- matic DVT	Fatal PE	Sympto- matic PE non-fatal	All Major bleed	Fatal Bleed	Stroke
Nil	24.9%	3.8%	0.16%	2.5%	2.1%	0.02%	0.07%
Aspirin	19.1%	2.9%	0.12%	1.9%	2.0%	0.02%	0.07%
Danaparoid	8.8%	1.3%	0.06%	0.9%	3.7%	0.04%	0.13%
Fondaparinux	5.4%	0.8%	0.03%	0.5%	4.7%	0.05%	0.16%
LMWH	10.3%	1.6%	0.06%	1.0%	3.0%	0.03%	0.10%
OAC-adj	14.6%	2.2%	0.09%	1.4%	2.7%	0.03%	0.09%
UFH	12.3%	1.9%	0.08%	1.2%	3.1%	0.03%	0.11%

Table 9: Events by type of in-hospital single drug prophylaxis - general surgery patient

\* FID=Foot impulse device, GCS=graduated compression stockings, IPCD=intermittent pneumatic compression devices, OAC-adj=adjustable-dose oral anticoagulants, LMWH=low molecular weigh heparin, UFH=unfractionated heparin

Table 10: Cost-effectiveness of drug-only prophylaxis – general surgery patient

Strategy	QALYs gained per patient compared with Nil	Incremental cost per patient compared with Nil	Incremental net benefit per patient compared with Nil
Nil	-	£O	£O
Aspirin	0.0031	-£13	£74
Danaparoid	0.0065	£400	-£269
Fondaparinux	0.0073	£25	£121
LMWH	0.0064	£11	£117
OAC-adj*	0.0046	£26	£66
UFH	0.0053	£18	£89

### 13.2.2 Mechanical prophylaxis

Mechanical prophylaxis dominates no prophylaxis and Table 12).

# 13.2.3 Combination prophylaxis (Table 11 and Table 12)

Adding LMWH or fondaparinux to mechanical prophylaxis is not cost-effective (£25,000 or

 $\pounds$ 34,000 per QALY gained). Therefore the optimal strategy is mechanical prophylaxis.

Diagram 8 shows the extent of our uncertainty due to the standard error around our estimates of relative risk (keeping all other parameters constant). Randomly varying all of the model parameters simultaneously, we found that mechanical was optimal for 61% of simulations and combination prophylaxis was optimal for 39%.

	All DVT	Sympto- matic DVT	Fatal PE	Sympto- matic PE non-fatal	All Major bleed	Fatal Bleed	Stroke
Nil	24.9%	3.8%	0.16%	2.5%	2.1%	0.02%	0.07%
Mech	12.3%	1.9%	0.08%	1.2%	2.1%	0.02%	0.07%
Mech+LMWH	7.1%	1.1%	0.04%	0.7%	3.0%	0.03%	0.10%
Mech+Fon	3.7%	0.6%	0.02%	0.4%	4.7%	0.05%	0.16%

### Table 11: Events with combination prophylaxis - general surgery patient

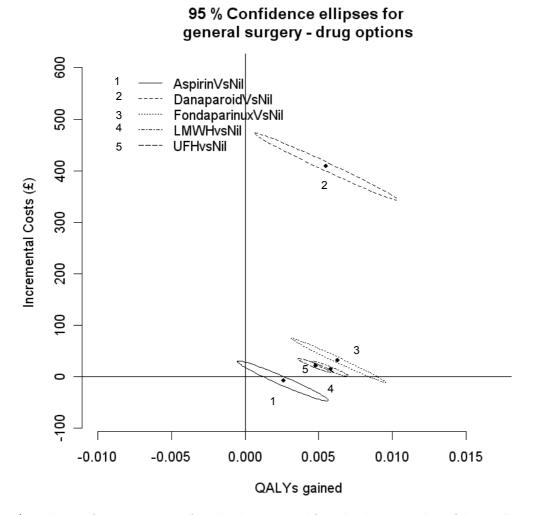
\* Mech=mechanical prophylaxis, LMWH=low molecular weigh heparin, Fon=fondaparinux

Strategy	QALYs gained per patient compared with Nil	Incremental cost per patient compared with Nil	Incremental net benefit per patient compared with Nil
Nil	-	£O	£O
Mech	0.0064	-£6	£133
Mech+LMWH	0.0080	£36	£125
Mech+Fon	0.0081	£55	£108

### Table 12: Cost-effectiveness of combination prophylaxis – general surgery patient

\* Mech=mechanical prophylaxis, LMWH=low molecular weigh heparin, Fon=fondaparinux

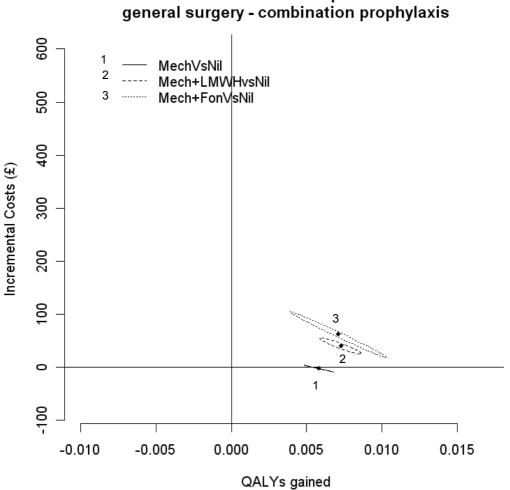
Diagram 6: Cost-effectiveness of drug-only prophylaxis – general surgery patient



\* For clarity of presentation warfarin has been omitted from this diagram. It's confidence ellipse overlapped substantially with the ellipse for UFH.

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Diagram 8: Cost-effectiveness of combined prophylaxis – general surgery patient



95 % Confidence ellipses for

### 13.3 In-hospital prophylaxis: results by type of surgery

Table 13 shows the proportion of times each drug strategy is optimal (most cost-effective), by type of surgery. (Each row adds up to 100%; the shaded cells indicate the most probable optimal strategy for each type of surgery). For hip fracture and elective hip, the evidence was quite convincing in favour of fondaparinux. For the two types of general surgery, there was more uncertainty but fondaparinux was optimal more times than other drugs. For hysterectomy, because of the low DVT risk and high bleeding risk, no-drug and aspirin were preferred over the other drug-only strategies.

Table 14 shows the proportion of times each strategy is optimal (From a subset of nil, mechanical, mechanical + LMWH, mechanical + fondaparinux), by type of surgery. For hip fracture and elective hip surgery, combination prophylaxis was cost-effective in the majority of simulations. For hysterectomy and the general surgery patient, combination prophylaxis was not cost-effective in the majority of simulations.

#### Knee replacement surgery

We have not developed a model specific to elective knee surgery because we do not have enough trials of such patients with a no prophylaxis arm to estimate the risk of PE in this patient group. But we know that patients from this group share very similar characteristics to elective hip surgery patients in terms of age, mortality, etc<sup>134,390</sup>. Their risk of PE is thought to be lower however - perhaps two thirds of that of elective hip patients<sup>248</sup>. If this is the case then we would expect to find that combination prophylaxis is cost-effective for knee replacement surgery but with less certainty than for hip replacement surgery.

### Table 13: Probabilistic sensitivity analysis for drug-only strategies

Nil	Aspirin	Danaparoid	Fondaparinux	LMWH	UFH	OAC-adj
0%	0%	0%	95%	5%	0%	0%
0%	3%	0%	73%	22%	1%	0%
27%	59%	0%	3%	6%	1%	4%
00/	1.40/	00/	4.50/	240/	20/	1%
	0% 0%	0%         0%           0%         3%           27%         59%	0%         0%         0%           0%         3%         0%           27%         59%         0%	0%         0%         0%         95%           0%         3%         0%         73%           27%         59%         0%         3%	0%         0%         0%         95%         5%           0%         3%         0%         73%         22%           27%         59%         0%         3%         6%	0%         0%         0%         95%         5%         0%           0%         3%         0%         73%         22%         1%           27%         59%         0%         3%         6%         1%

\* OAC-adj=adjustable-dose oral anticoagulants, LMWH=low molecular weigh heparin, UFH=unfractionated heparin

	Nil	Mechanical	Mechanical +LMWH	Mechanical +Fondaparinux	
Hip fracture	0%	4%	12%	84%	
Elective hip	0%	29%	24%	48%	
Hyster- ectomy	30%	69%	1%	1%	
General surgery	0%	61%	19%	20%	

Table 14: Probabilistic sensitivity analysis for mechanical and combination prophylaxis

### 13.4 In-hospital prophylaxis: sensitivity analyses

Table 15 shows how the most cost-effective strategy (From the subset: nil, mechanical, mechanical + LMWH, mechanical + fondaparinux) varies as the baseline risk of major bleeding and DVT varies. For the purposes of this table it is assumed that (other than baseline risk), the patient shares the characteristics of the general surgery patient and that symptomatic PEs are in fixed proportion to DVTs (10.5:100). The lowest of the shaded cells (blue) indicates the baseline risk associated with elective hip surgery, as estimated from our RCTs. The uppermost of the shaded cells (green) indicates the baseline risk for aynaecological surgery patients and the middle shaded cell (yellow) indicates general surgery. It shows that on the basis of these risks, mechanical only is optimal for the average general surgery patient but that it is right on the threshold at which combination prophylaxis becomes cost-effective.

We then looked at how this grid changes as we varied some of the important assumptions in the model.

In Table 16, we extended the options to include single-drug strategies as well as combination strategies. In a few cells where the bleeding rate is low, fondaparinux on its own becomes costeffective. Otherwise the results are unaffected.

Table 17 illustrates all drug prices reduced by 50% to reflect the practice of drug companies subsidising the prices of drugs for hospitals. Also we assumed that the IPC is supplied rent-free, which is apparently the case according to one supplier (Huntleigh). The results did not vary much but for the average general surgery patient this could make combination prophylaxis cost-effective. A few stakeholders have informed us that the discount on LMWH is substantially greater than the discount on fondaparinux. On this basis Mechanical + LMWH becomes cost-effective at moderate risk levels and so could be cost-effective for general surgery patients (Table 18). In our base case analysis the fatality rate from major bleeding was 1%. If this rate was higher say 5% (Table 19) then combination prophylaxis is much less cost-effective and might be inappropriate even for elective hip surgery.

Alternatively, if we made the assumption that prophylaxis has the same relative impact on postthrombotic syndrome and symptomatic VTE recurrence, as it does on immediate VTEs, then combination prophylaxis would become much more cost-effective (Table 20).

If we excluded mechanical + fondaparinux as an option (Table 21) then mechanical + LMWH supplants it where it was optimal.

We tested the sensitivity of our results to see what happens when we assume that prophylaxis is effective at reducing the risk of non-fatal events but not fatal events. If the effectiveness of prophylaxis at reducing fatal events is reduced by 50% then mechanical prophylaxis remains cost-effective and so does combination at high levels of risk (Table 22). In fact even if it's not averting any fatal events mechanical prophylaxis remains cost-effective (not in table). However, there is evidence that drug prophylaxis (notably heparin) reduces fatal PE and other symptomatic PE by a similar extent as it does for DVT (Appendix G), although there is not such clear evidence for mechanical prophylaxis. If we assume that the relative risk reduction of mechanical prophylaxis on fatal PE was only half that of its relative risk reduction on DVT then combination prophylaxis would become cost-effective at much lower levels of VTE risk (Table 23).

In the base case analysis, we assumed that a fatal event did not add to treatment costs – it could even reduce them since length of stay could be shorter. However, for some patients there maybe considerable intensive care costs before death. As a sensitivity analysis, we costed the fatal events (PEs and major bleeding events) the same as for the non-fatal ones but the results changed negligibly, since such events are rare (Table 24).

If we included the strategy of two mechanical methods then we would find this strategy is optimal for all but the lowest risk patients (Table 25). This is because there is no risk of bleeding and the evidence in the MTC meta-analysis showed it to be the most effective strategy at reducing DVTs based on the results of the RCT evidence. However, this data comes from a few small trials and the GDG did not consider this evidence to be robust.

If we include the costs of treating heparin-induced thrombocytopaenia (HIT) then our overall strategy remains the same but at some risk levels fondaparinux supplants LMWH (Table 26). (We assumed that a drug cost of  $\pounds1200$  would be attributed to 5% of UFH patients and 0.5% of LMWH patients).

	Symptomatic	Major bleeding risk					
DVT Risk	PE Risk	1%	2%	3%	4%	5%	
5%	0.5%	Nil	Nil	Nil	Nil	Nil	
10%	1.1%	Μ	м	Μ	Μ	м	
15%	1.6%	Μ	м	Μ	Μ	Μ	
<b>20</b> %	2.1%	Μ	м	м	Μ	м	
25%	2.6%	M+Fon	м	Μ	Μ	м	
30%	3.2%	M+Fon	M+LMWH	Μ	Μ	м	
35%	3.7%	M+Fon	M+Fon	M+LMWH	Μ	Μ	
40%	4.2%	M+Fon	M+Fon	M+LMWH	M+LMWH	Μ	
45%	4.7%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH	
50%	5.3%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH	
60%	5.8%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH	
70%	6.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH	
80%	6.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon	
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon	
100%	<b>7.9</b> %	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon	

### Table 15: Optimal strategy by baseline risk

Options included: Nil, M=Mechanical, M+LMWH=Mechanical in combination with LMWH, M+Fon=Mechanical in combination with fondaparinux,

Patient characteristics are those of the general surgery patient (excepting baseline risk)

The symptomatic PE risk is taken to be at a constant 10.5% of the DVT rate.

The blue (lower) shaded cell indicates the baseline risk associated with elective hip surgery. The yellow (mid) shaded cell indicates the baseline risk associated with the general surgery patient. The green (top) shaded cell indicates the baseline risk associated with the hysterectomy patient.

	Symptomatic		I	Major bleeding	g risk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
<b>5</b> %	0.5%	Asp	Asp	Asp	Asp	Asp
10%	1.1%	Μ	м	м	м	Asp
15%	1.6%	Μ	м	м	м	м
<b>20</b> %	2.1%	Fon	м	м	м	Μ
25%	2.6%	Fon	м	м	м	Μ
30%	3.2%	Fon	Fon	м	м	м
35%	3.7%	Fon	Fon	M+LMWH	м	м
40%	4.2%	M+Fon	M+Fon	M+LMWH	M+LMWH	Μ
45%	4.7%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH
50%	5.3%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
<b>60</b> %	5.8%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
70%	6.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH
80%	6.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
100%	7.9%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon

Table 16: Sensitivity analysis 1 – include single drug strategies in addition to combination strategies

Table 17: Sensitivity analysis 2 – assuming that drugs are discounted at 50% of the BNF price and IPC machines are supplied rent-free

	Symptomatic		Ν	Najor bleeding	g risk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
5%	0.5%	м	Μ	M	м	Μ
10%	1.1%	м	M	×	м	м
15%	1.6%	м	Μ	M	м	Μ
20%	2.1%	M+Fon	м	м	м	м
25%	2.6%	M+Fon	M+LMWH	M	м	Μ
30%	3.2%	M+Fon	M+Fon	M+LMWH	м	м
35%	3.7%	M+Fon	M+Fon	M+LMWH	M+LMWH	Μ
40%	4.2%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH
45%	4.7%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
50%	5.3%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
<b>60</b> %	5.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH
70%	6.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
80%	6.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
100%	<b>7.9</b> %	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon

	Symptomatic		Major bleeding risk						
DVT Risk	PE Risk	1%	2%	3%	4%	5%			
5%	0.5%	м	M	м	м	M			
10%	1.1%	Μ	м	м	м	м			
15%	1.6%	M+LMWH	м	м	м	м			
20%	2.1%	M+LMWH	M+LMWH	м	м	м			
25%	2.6%	M+LMWH	M+LMWH	M+LMWH	м	м			
30%	3.2%	M+LMWH	M+LMWH	M+LMWH	м	м			
35%	3.7%	M+Fon	M+LMWH	M+LMWH	M+LMWH	м			
40%	4.2%	M+Fon	M+LMWH	M+LMWH	M+LMWH	M+LMWH			
45%	4.7%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH			
50%	5.3%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH			
60%	5.8%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH			
70%	6.3%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH			
80%	6.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH			
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
100%	<b>7.9</b> %	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			

Table 18: Sensitivity analysis 3 – assuming that LMWH is discounted to 20% of the BNF price and IPC machines are supplied rent-free

	Symptomatic		Ma	ijor bleeding i	risk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
5%	0.5%	Nil	Nil	Nil	Nil	Nil
10%	1.1%	Μ	Μ	Μ	м	м
15%	1.6%	Μ	Μ	Μ	м	м
20%	2.1%	M	Μ	Μ	м	м
25%	2.6%	Μ	м	Μ	м	м
30%	3.2%	M	Μ	Μ	м	Μ
35%	3.7%	M+LMWH	Μ	Μ	м	м
<b>40</b> %	4.2%	M+LMWH	Μ	Μ	м	м
45%	4.7%	M+Fon	м	м	м	м
50%	5.3%	M+Fon	M+LMWH	Μ	м	м
<b>60</b> %	5.8%	M+Fon	M+LMWH	Μ	м	м
70%	6.3%	M+Fon	M+LMWH	M+LMWH	м	м
80%	6.8%	M+Fon	M+LMWH	M+LMWH	M+LMWH	м
<b>90</b> %	7.4%	M+Fon	M+Fon	M+LMWH	M+LMWH	Μ
100%	7.9%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMM

Table 19: Sensitivity analysis 4 – assuming 5% of major bleeds are fatal (c.f. 1% in base case analysis)

Table 20: Sensitivity analysis 5 – include the estimated impact on post-thrombotic syndrome and recurrence

	Symptomatic		Major bleeding risk						
DVT Risk	PE Risk	1%	2%	3%	4%	5%			
5%	0.5%	Μ	м	Μ	м	м			
10%	1.1%	Μ	м	Μ	м	м			
15%	1.6%	M+Fon	M+LMWH	Μ	м	м			
20%	2.1%	M+Fon	M+Fon	M+LMWH	M+LMWH	м			
25%	2.6%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH			
30%	3.2%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH			
35%	3.7%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH			
40%	4.2%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
45%	4.7%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
50%	5.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
<b>60</b> %	5.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
70%	6.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
80%	6.8%	M+Fon	+Fon M+Fon M+Fon M-		M+Fon	M+Fon			
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
100%	<b>7.9</b> %	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			

	Symptomatic		Mc	ijor bleeding r	isk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
5%	0.5%	Nil	Nil	Nil	Nil	Nil
10%	1.1%	Μ	м	м	м	Μ
15%	1.6%	Μ	м	м	м	м
20%	2.1%	Μ	м	м	м	Μ
25%	2.6%	M+LMWH	м	м	м	Μ
30%	3.2%	M+LMWH	M+LMWH	м	м	Μ
35%	3.7%	M+LMWH	M+LMWH	M+LMWH	м	Μ
40%	4.2%	M+LMWH	M+LMWH	M+LMWH	M+LMWH	Μ
45%	4.7%	M+LMWH	M+LMWH	M+LMWH	M+LMWH	M+LMWH
50%	5.3%	M+LMWH	M+LMWH	M+LMWH	M+LMWH	M+LMWH
60%	5.8%	M+LMWH	M+LMWH	M+LMWH	M+LMWH	M+LMWH
70%	6.3%	M+LMWH	M+LMWH	M+LMWH	M+lmWH	M+LMWH
80%	6.8%	M+LMWH	M+LMWH	M+LMWH	M+lmWH	M+LMWH
90%	7.4%	M+LMWH	M+LMWH	M+LMWH	M+LMWH	M+LMWH
100%	<b>7.9</b> %	M+LMWH	M+LMWH	M+LMWH	M+lmWH	M+LMWH

Table 21: Sensitivity analysis 6 – exclude fondaparinux

Table 22: Sensitivity analysis 7 – assuming each prophylaxis strategy is only half as effective at reducing fatal PEs compared with DVTs

	Symptomatic		Ma	ajor bleeding r	isk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
5%	0.5%	Nil	Nil	Nil	Nil	Nil
10%	1.1%	Μ	Μ	м	м	Μ
15%	1.6%	Μ	Μ	м	м	Μ
<b>20</b> %	2.1%	Μ	Μ	м	м	Μ
25%	2.6%	Μ	M	м	м	м
30%	3.2%	Μ	Μ	м	м	Μ
35%	3.7%	M+Fon	Μ	м	м	Μ
40%	4.2%	M+Fon	Μ	м	м	Μ
<b>45</b> %	4.7%	M+Fon	M+LMWH	м	м	Μ
<b>50</b> %	5.3%	M+Fon	M+LMWH	м	м	Μ
<b>60</b> %	5.8%	M+Fon	M+Fon	M+LMWH	м	Μ
<b>70</b> %	6.3%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH
80%	6.8%	M+Fon	M+Fon	M+Fon M+Fon		M+LMWH
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
100%	<b>7.9</b> %	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH

	Symptomatic		Mc	ijor bleeding r	isk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
5%	0.5%	Nil	Nil	Nil	Nil	Nil
10%	1.1%	м	м	м	м	м
15%	1.6%	м	м	м	м	м
20%	2.1%	M+Fon	м	м	м	м
25%	2.6%	M+Fon	M+LMWH	M+LMWH	м	м
30%	3.2%	M+Fon	M+LMWH	M+LMWH	M+LMWH	м
35%	3.7%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH
40%	4.2%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH
45%	4.7%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
50%	5.3%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
<b>60</b> %	5.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH
70%	6.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
80%	6.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
100%	<b>7.9</b> %	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon

Table 23: Sensitivity analysis 8 – assuming mechanical prophylaxis is only half as effective at reducing fatal PEs compared with DVTs

Table 24: Sensitivity analysis 9 – attributing the same treatment cost to fatal events as for non-fatal events

	Symptomatic		Mc	ıjor bleeding r	isk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
5%	0.5%	Nil	Nil	Nil	Nil	Nil
10%	1.1%	м	м	м	M	м
15%	1.6%	м	м	м	м	м
<b>20</b> %	2.1%	м	м	м	M	м
25%	2.6%	M+Fon	м	м	M	м
30%	3.2%	M+Fon	M+LMWH	м	м	Μ
35%	3.7%	M+Fon	M+Fon	M+LMWH	M	м
40%	4.2%	M+Fon	M+Fon	M+LMWH	M+LMWH	Μ
45%	4.7%	M+Fon	M+Fon	M+LMWH	M+LMWH	M+LMWH
50%	5.3%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
60%	5.8%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH
70%	6.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH
80%	6.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon
100%	<b>7.9</b> %	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon

	Symptomatic		N	lajor bleeding	g risk	
DVT Risk	PE Risk	1%	2%	3%	4%	5%
5%	0.5%	Nil	Nil	Nil	Nil	Nil
10%	1.1%	Μ	м	м	Μ	Μ
15%	1.6%	M+M	M+M	M+M	M+M	M+M
<b>20</b> %	2.1%	M+M	M+M	M+M	M+M	M+M
25%	2.6%	M+M	M+M	M+M	M+M	M+M
30%	3.2%	M+M	M+M	M+M	M+M	M+M
35%	3.7%	M+M	M+M	M+M	M+M	M+M
<b>40</b> %	4.2%	M+M	M+M	M+M	M+M	M+M
45%	4.7%	M+Fon	M+M	M+M	M+M	M+M
50%	5.3%	M+Fon	M+M	M+M	M+M	M+M
60%	5.8%	M+Fon	M+M	M+M	M+M	M+M
<b>70</b> %	6.3%	M+Fon	M+M	M+M	M+M	M+M
80%	6.8%	M+Fon	M+Fon	M+M	M+M	M+M
<b>90</b> %	7.4%	M+Fon	M+Fon	M+M	M+M	M+M
100%	7.9%	M+Fon	M+Fon	M+M	M+M	M+M

Table 25: Sensitivity analysis 10 – allowing double mechanical prophylaxis as an option

\*M=Mechanical, M+M=two types of mechanical used in combination (i.e. stockings and either intermittent pneumatic compression or foot impulse device)

# Table 26: Sensitivity analysis 11 – including treatment costs for HIT

	Symptomatic		Major bleeding risk						
DVT Risk	PE Risk	1%	2%	3%	4%	5%			
5%	0.5%	Nil	Nil	Nil	Nil	Nil			
10%	1.1%	м	Μ	Μ	м	м			
15%	1.6%	м	Μ	M	м	Μ			
20%	2.1%	Μ	м	Μ	м	м			
25%	2.6%	M+Fon	м	M	м	м			
30%	3.2%	M+Fon	M+Fon	Μ	м	м			
35%	3.7%	M+Fon	M+Fon	M+LMWH	м	м			
40%	4.2%	M+Fon	M+Fon	M+LMWH	м	м			
45%	4.7%	M+Fon	M+Fon	M+Fon	M+LMWH	м			
50%	5.3%	M+Fon	M+Fon	M+Fon	M+LMWH	M+LMWH			
<b>60</b> %	5.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH			
70%	6.3%	M+Fon	M+Fon	M+Fon	M+Fon	M+LMWH			
80%	6.8%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
<b>90</b> %	7.4%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			
100%	7.9%	M+Fon	M+Fon	M+Fon	M+Fon	M+Fon			

#### 13.5 Post-discharge prophylaxis

Table 27 shows the number of events occurring in the post-discharge period according to whether patients have post-discharge prophylaxis. Table 28 shows the incremental costs and effects associated with continued drug prophylaxis in the postdischarge period for the general surgery patient. At £77,000 and at £125,000 per QALY gained respectively neither LMWH nor fondaparinux is cost-effective, since the incidence of symptomatic PE is low, and the prophylaxis cost is high. For elective hip patients and the general surgery patient, the vast majority of simulations showed that postdischarge prophylaxis was not cost-effective (Table 29). However, for the hip fracture surgery which has a higher incidence of fatal pulmonary embolism extended prophylaxis was cost-effective in 60% of simulations.

Table 30 shows how the optimal strategy varies by baseline risk of VTE and baseline risk of major bleeding. Only with an incidence of symptomatic pulmonary embolism of 3.5% or above is post-discharge prophylaxis cost-effective. While studies show that the majority of symptomatic pulmonary embolisms are diagnosed after discharge, the post-discharge incidence still seems to be less than 1%<sup>63,555</sup> – even lower than our RCT evidence would suggest. Extended drug prophylaxis is sometimes recommended for cancer patients undergoing surgery but for the two trials of post-discharge LMWH prophylaxis<sup>43,436</sup>, the incidence of PE was less than 1%, implying that extended drug prophylaxis is not cost-effective for this group.

Table 31 shows how the results change if both drug prices are discounted to about 50%. If prices are reduced to 40% of their BNF price then post-discharge prophylaxis becomes cost-effective for the elective hip patients (Table 32).

Table 33, we can see that the results are not very sensitive to the fatality rate associated with major bleeding. Table 34 shows that if we attribute a reduction in PTS and recurrence then extended prophylaxis is likely to be costeffective for the elective hip patient and the general surgery patient. The BCSH guidance on diagnosis and treatment of heparin induced thrombocytopaenia (HIT) recommends carrying out a series of platelet counts up to day 14 to test for HIT<sup>285</sup>. Table 35 shows the results after adding the cost of a district nurse visit and full blood count to the LMWH strategy – the result is that for some risk levels where LMWH was optimal, fondaparinux becomes optimal instead.

#### Post-discharge use of graduated compression stockings

The cost-effectiveness of stockings post-discharge is difficult to assess and has not been modelled. The use of stockings post-discharge by patients, who are willing and able to comply with their recommended usage, is likely to be cost-effective (although spare pairs of stockings would need to be supplied). In patients who find it difficult to comply, including those with arthritis, implementation might require twice daily visits by a district nurse, which would considerably add to costs. Such usage might still be costeffective but we do not know the magnitude of effectiveness of extended stocking use; the trial evidence for stocking use being only for the in-hospital period.

Table 27: Events in post-discharge period, by type of prophylaxis in post-discharge period – general surgery patient

	All DVT	Sympto- matic DVT	Fatal PE	Sympto- matic PE non-fatal	All Major bleed	Fatal Bleed	Stroke
Nil	12.5%	1.9%	0.039%	0.6%	0.1%	0.00%	0.00%
LMWH	6.0%	0.9%	0.019%	0.3%	0.4%	0.00%	0.01%
Fondaparinux	0.5%	0.1%	0.002%	0.0%	1.8%	0.02%	0.06%

#### Table 28: Cost-effectiveness of post-discharge prophylaxis - general surgery patient

Strategy (post- discharge)	QALYs gained per patient compared with Nil	Incremental cost per patient compared with Nil	Incremental net benefit per patient compared with Nil
Nil	-	£O	£O
LMWH	0.0014	£106	-£78
Fondaparinux	0.0014	£173	-£146

	No prophylaxis post- discharge	Extended LMWH	Extended fondaparinux
Hip fracture	32%	12%	56%
Elective hip	89%	2%	8%
General surgery	99%	0%	1%

Table 29: Probabilistic sensitivity analysis for prophylaxis post-discharge

	Symptomatic	Major bleeding post-discharge					
DVT post- discharge	PE post- discharge	0.10%	0.15%	0.20%	0.40%	0.60%	
2.5%	0.26%	Nil	Nil	Nil	Nil	Nil	
5.0%	0.53%	Nil	Nil	Nil	Nil	Nil	
7.5%	0.79%	Nil	Nil	Nil	Nil	Nil	
10.0%	1.05%	Nil	Nil	Nil	Nil	Nil	
12.5%	1.31%	Nil	Nil	Nil	Nil	Nil	
15.0%	1.58%	Nil	Nil	Nil	Nil	Nil	
17.5%	1.84%	Nil	Nil	Nil	Nil	Nil	
20.0%	2.10%	Nil	Nil	Nil	Nil	Nil	
22.5%	2.36%	Nil	Nil	Nil	Nil	Nil	
25.0%	2.63%	Nil	Nil	Nil	Nil	Nil	
30.0%	3.15%	Nil	Nil	Nil	Nil	Nil	
35.0%	<b>3.68</b> %	Fon	LMWH	LMWH	Nil	Nil	
40.0%	4.20%	Fon	Fon	LMWH	LMWH	Nil	
45.0%	4.73%	Fon	Fon	Fon	LMWH	LMWH	
50.0%	5.25%	Fon	Fon	Fon	lmwh	LMWH	

# Table 30: Optimal strategy by post-discharge baseline risk

Options included: Nil, LMWH, Fon=fondaparinux,

Patient characteristics are those of the general surgery patient (excepting for post-discharge risk)

The symptomatic PE risk is taken to be at a constant of the DVT rate.

The blue (lower) shaded cell indicates the baseline risk associated with elective hip surgery. The yellow (mid) shaded cell indicates the baseline risk associated with the general surgery patient.

	Symptomatic	Major bleeding post-discharge					
DVT post- discharge	PE post- discharge	0.10%	0.15%	0.20%	0.40%	0.60%	
2.5%	0.26%	Nil	Nil	Nil	Nil	Nil	
5.0%	0.53%	Nil	Nil	Nil	Nil	Nil	
7.5%	<b>0.79</b> %	Nil	Nil	Nil	Nil	Nil	
10.0%	1.05%	Nil	Nil	Nil	Nil	Nil	
12.5%	1.31%	Nil	Nil	Nil	Nil	Nil	
15.0%	1.58%	Nil	Nil	Nil	Nil	Nil	
17.5%	1.84%	Nil	Nil	Nil	Nil	Nil	
20.0%	2.10%	Nil	Nil	Nil	Nil	Nil	
22.5%	2.36%	Fon	Nil	Nil	Nil	Nil	
25.0%	2.63%	Fon	LMWH	LMWH	Nil	Nil	
30.0%	3.15%	Fon	Fon	LMWH	LMWH	Nil	
35.0%	3.68%	Fon	Fon	Fon	LMWH	LMWH	
40.0%	4.20%	Fon	Fon	Fon	LMWH	LMWH	
45.0%	4.73%	Fon	Fon	Fon	LMWH	LMWH	
50.0%	5.25%	Fon	Fon	Fon	LMWH	LMWH	

Table 31: Post-discharge sensitivity analysis 1 – assuming that both drugs are discounted to 50% of the BNF price

Table 32: Post-discharge sensitivity analysis 2 – assuming that LMWH is discounted to 20% of the BNF price

	Symptomatic		Major bl	eeding post	-discharge	
DVT post- discharge	PE post- discharge	0.10%	0.15%	0.20%	0.40%	0.60%
2.5%	0.26%	Nil	Nil	Nil	Nil	Nil
5.0%	0.53%	Nil	Nil	Nil	Nil	Nil
7.5%	<b>0.79</b> %	Nil	Nil	Nil	Nil	Nil
10.0%	1.05%	Nil	Nil	Nil	Nil	Nil
12.5%	1.31%	Nil	Nil	Nil	Nil	Nil
15.0%	1.58%	Nil	Nil	Nil	Nil	Nil
17.5%	1.84%	LMWH	LMWH	LMWH	Nil	Nil
20.0%	2.10%	LMWH	LMWH	LMWH	Nil	Nil
22.5%	2.36%	LMWH	LMWH	LMWH	LMWH	Nil
25.0%	2.63%	LMWH	LMWH	LMWH	LMWH	LMWH
30.0%	3.15%	LMWH	LMWH	LMWH	LMWH	LMWH
35.0%	3.68%	LMWH	LMWH	LMWH	LMWH	LMWH
40.0%	4.20%	LMWH	LMWH	LMWH	LMWH	LMWH
45.0%	4.73%	LMWH	LMWH	LMWH	lmwh	LMWH
50.0%	5.25%	LMWH	LMWH	LMWH	LMWH	lmwh

	Symptomatic	Major bleeding post-discharge					
DVT post- discharge	PE post- discharge	0.10%	0.15%	0.20%	0.40%	0.60%	
2.5%	0.26%	Nil	Nil	Nil	Nil	Nil	
5.0%	0.53%	Nil	Nil	Nil	Nil	Nil	
7.5%	0.79%	Nil	Nil	Nil	Nil	Nil	
10.0%	1.05%	Nil	Nil	Nil	Nil	Nil	
12.5%	1.31%	Nil	Nil	Nil	Nil	Nil	
15.0%	1.58%	Nil	Nil	Nil	Nil	Nil	
17.5%	1.84%	Nil	Nil	Nil	Nil	Nil	
20.0%	2.10%	Nil	Nil	Nil	Nil	Nil	
22.5%	2.36%	Nil	Nil	Nil	Nil	Nil	
<b>25.0</b> %	2.63%	Nil	Nil	Nil	Nil	Nil	
30.0%	3.15%	Nil	Nil	Nil	Nil	Nil	
35.0%	3.68%	Nil	Nil	Nil	Nil	Nil	
40.0%	4.20%	LMWH	LMWH	Nil	Nil	Nil	
45.0%	4.73%	LMWH	lmwh	LMWH	Nil	Nil	
50.0%	5.25%	Fon	LMWH	LMWH	Nil	Nil	

Table 33: Post-discharge sensitivity analysis 3 – assuming 5% of major bleeds are fatal (c.f. 1% in base case analysis)

Table 34: Post-discharge sensitivity analysis 4 – include the estimated impact on post-thrombotic syndrome and recurrence

<b>D</b> )/ <b>T</b>	Symptomatic	Major bleeding post-discharge					
DVT post- discharge	PE post- discharge	0.10%	0.15%	0.20%	0.40%	0.60%	
2.5%	0.26%	Nil	Nil	Nil	Nil	Nil	
5.0%	0.53%	Nil	Nil	Nil	Nil	Nil	
7.5%	0.79%	Nil	Nil	Nil	Nil	Nil	
10.0%	1.05%	LMWH	LMWH	LMWH	Nil	Nil	
12.5%	1.31%	Fon	Fon	LMWH	LMWH	LMWH	
15.0%	1.58%	Fon	Fon	Fon	LMWH	LMWH	
17.5%	1.84%	Fon	Fon	Fon	LMWH	LMWH	
20.0%	2.10%	Fon	Fon	Fon	LMWH	LMWH	
22.5%	2.36%	Fon	Fon	Fon	Fon	LMWH	
25.0%	2.63%	Fon	Fon	Fon	Fon	LMWH	
30.0%	3.15%	Fon	Fon	Fon	Fon	Fon	
35.0%	3.68%	Fon	Fon	Fon	Fon	Fon	
40.0%	4.20%	Fon	Fon	Fon	Fon	Fon	
45.0%	4.73%	Fon	Fon	Fon	Fon	Fon	
50.0%	5.25%	Fon	Fon	Fon	Fon	Fon	

B)/7	Symptomatic	Major bleeding post-discharge					
DVT post- discharge	PE post- discharge	0.10%	0.15%	0.20%	0.40%	0.60%	
2.5%	0.26%	Nil	Nil	Nil	Nil	Nil	
5.0%	0.53%	Nil	Nil	Nil	Nil	Nil	
7.5%	0.79%	Nil	Nil	Nil	Nil	Nil	
10.0%	1.05%	Nil	Nil	Nil	Nil	Nil	
12.5%	1.31%	Nil	Nil	Nil	Nil	Nil	
15.0%	1.58%	Nil	Nil	Nil	Nil	Nil	
17.5%	1.84%	Nil	Nil	Nil	Nil	Nil	
20.0%	2.10%	Nil	Nil	Nil	Nil	Nil	
22.5%	2.36%	Nil	Nil	Nil	Nil	Nil	
25.0%	2.63%	Nil	Nil	Nil	Nil	Nil	
30.0%	3.15%	Nil	Nil	Nil	Nil	Nil	
35.0%	3.68%	Fon	Fon	Nil	Nil	Nil	
40.0%	4.20%	Fon	Fon	Fon	Nil	Nil	
45.0%	4.73%	Fon	Fon	Fon	LMWH	Nil	
50.0%	5.25%	Fon	Fon	Fon	LMWH	LMWH	

#### Table 35: Post-discharge sensitivity analysis 5 – include full blood count testing after discharge

#### 13.6 Conclusions and Recommendations

#### 13.6.1 General conclusions

Mechanical prophylaxis is cost-effective compared with no prophylaxis even at low risk of VTE. At low levels of VTE risk or high levels of major bleeding mechanical prophylaxis is more cost-effective than drug prophylaxis.

Taking into account the results of the direct comparisons and patient views (chapter 5), the mixed treatment comparisons (chapter 12) and the cost effectiveness analysis (this chapter) the GDG recommended that graduated compression stockings be offered to all patients having major surgery because they are suitable for use in theatre, on the ward and at home. There was no evidence of a difference in effectiveness between the different mechanical compression methods (chapter 5 and 12) and therefore the GDG recommended that IPC devices or foot pumps could be used as an alternative whilst patients were in hospital.

The cost-effectiveness of combination therapy (a drug combined with a mechanical device) is dependent on baseline risk of major bleeding as well as the baseline risk of VTE. Fondaparinux or LMWH are the drugs of choice in the majority of scenarios.

#### 13.6.2 Implications for specific types of surgery

For recommendations specific to type of surgery – see Chapter 14.

1. For **hip surgery**, mechanical + fondaparinux is the most cost-effective option (although this is contingent on the relative reduction in fatal and other symptomatic pulmonary embolism being similar to the relative reduction in DVT, one of our key assumptions). For hip fracture surgery, it is costeffective to extend prophylaxis 4 weeks beyond surgery because of the high incidence of *fatal* pulmonary embolism. For elective hip surgery, it is only cost-effective if patients have additional VTE risk factors.

2. For **general surgery**, combination prophylaxis was not cost-effective in our base case analysis but there is more uncertainty as it is near the boundary of cost-effectiveness. Therefore for patients with additional VTE risk factors, combination prophylaxis is likely to be cost-effective.

3. For **gynaecological surgery**, the combination prophylaxis is unlikely to be cost-effective given the apparently low VTE rate and high major bleeding rate. The high incidence of major bleeding occurring in our trial data may not be representative though. A study of more than 32,000 hysterectomies indicated a much lower complication rate<sup>365</sup>. However, the relatively low incidence of symptomatic VTEs in gynaecological surgery has been noted elsewhere<sup>564</sup>.

4. For **neurological surgery**, we did not have an estimate of the risk of major bleeding but given that most major bleeding occurs at site of surgery, there is potentially a great deal of extra morbidity associated with drugs. In this particular case, the combination of prophylaxis may not be as cost-effective, even though patients may be at high risk.

We should be cautious about comparing different types of surgery. Firstly, the categories are very broad and heterogeneous; it could be that there is more variation within each category than there is between categories. We have made the assumption that the relative risks are constant regardless of baseline risk / type surgery; this is less likely to be the case if baseline risk is either very high or very low. We have inadequate data on the baseline risk for neurological, vascular, urological, cardiology and thoracic surgery, especially for major bleeding

We did not test our assumption that relative effect size is constant regardless of baseline risk or type of surgery. Our conclusions for major orthopaedic and major general surgery are unlikely to be challenged since there is a great deal of evidence for these categories (Chapters 5-10). However, for other categories of surgery, where there was little evidence, our recommendations are highly dependent on this assumption.

Three previously published economic evaluations have found extended prophylaxis to be costeffective for elective hip surgery patients<sup>62,125,209</sup>. However, none included the consequences of major bleeding, one included PTS and recurrence in their base case analysis. Furthermore one study was set in Switzerland, where the high treatment cost savings are unlikely to be transferable to the NHS and one had a post-discharge risk of symptomatic PE that is substantially higher than our estimates (Chapter 4)

# 13.6.3 Implications for patients with additional risk factors

If a particular patient characteristic raises their risk of VTE, other things remaining equal, it could mean that combination prophylaxis becomes costeffective. However, if the characteristic also affects other variables then this might not necessarily be the case.

In the cases of old age or cancer, studies have shown that these patients have a higher baseline risk of VTE implying *increased* capacity to benefit. However, they also have lower life expectancy implying *reduced* capacity to benefit. If these patients are at a higher baseline risk of major bleeding then their capacity to benefit from drug/combination prophylaxis would be further reduced. In this case combination prophylaxis might not be as cost-effective even though the baseline risk is high.

The impact of individual patient risk factors is difficult to evaluate, especially when the evidence comes from a variety of groups, some surgical and some non-surgical (Chapter 4). For this reason, the Guideline Development Group took the pragmatic approach of assuming that a single individual patient risk factor would be enough to make combined prophylaxis optimal for patients undergoing major surgery. Likewise, it was assumed that a single individual patient risk factor would be enough to make extended prophylaxis optimal for patients undergoing elective hip surgery.

#### 13.6.4 Sensitivity analyses

The results were very sensitive (therefore less robust) to some of the assumptions used to populate the model:

• the assumption of 5% fatality amongst major bleeds (instead of 1%) was associated with the combination prophylaxis being much less cost effective

- the inclusion of PTS and recurrence was associated with combination prophylaxis and extended prophylaxis being much more costeffective
- the assumption of reduced effectiveness for mechanical methods in preventing fatal PE meant that combination prophylaxis is more cost-effective

• cost-effectiveness was sensitive to the discounting of drug prices, especially in the post-discharge period..

# 13.6.5 Recommendations

Inpatients having surgery should be offered thigh-length graduated compression/antiembolism stockings from the time of admission to hospital unless contraindicated (for example, in patients with established peripheral arterial disease or diabetic neuropathy). If thigh-length stockings are inappropriate for a particular patient for reasons of compliance or fit, kneelength stockings may be used as a suitable alternative.

Intermittent pneumatic compression or foot impulse devices may be used as alternatives or in addition to graduated compression/antiembolism stockings while surgical patients are in hospital.

In addition to mechanical prophylaxis, patients at increased risk of VTE because they have individual risk factors (see box 1) and patients having orthopaedic surgery should be offered low molecular weight heparin (LMWH). Fondaparinux, within its licensed indications, may be used as an alternative to LMWH.

See chapter 14 for recommendations relating to specific surgical specialities.

# 13.6.6 Future research

The absolute effectiveness and cost-effectiveness of different strategies is highly dependent on the patient's baseline risk of symptomatic VTE and of major bleeding. Our analysis could be refined with better data on the risk levels of different patient groups. Validated risk models that incorporate specific types of surgery (not just broad categories), types of anaesthesia, length of stay and patientspecific risk factors would be invaluable.

Conventionally, prophylaxis is either until discharge or for 4-5 weeks post surgery but the optimal strategy for some patient groups might be somewhere in between. It would be useful to have data on the timing of events as well as the total risk.

Ideally, we would like precise estimates of the relative risks for non-fatal and fatal PE as well as for DVT but the size of the trials required would be prohibitively large. Longer-term follow-up of trials to assess impact of prophylaxis on longer-term outcomes (PTS and recurrence) would be invaluable, since the results are highly sensitive to their inclusion.

There have not been many trials that have evaluated two types of mechanical prophylaxis used in combinations. The few studies that have done so show this strategy to be as efficacious as drug-mechanical combinations. If these effects are real then double-mechanical strategy is easily the most effective and cost-effective strategy across all surgical groups because of the absence of an elevated bleeding risk. This strategy is promising but it would be incautious to recommend it without further evidence because not only are the studies small and few in number but also there is a worry that the use of mechanical devices other than stockings could make patients less mobile with the result of increasing rather than reducing VTEs.

# **14 Surgical Specialities**

This chapter deals with the implications of our findings of clinical and cost effectiveness of prophylactic strategies for the different surgical specialities. We examine the factors that may alter ones choice of prophylaxis and the evidence in each of the surgical areas.

#### 14.1 Orthopaedic surgery

This section covers inpatients undergoing orthopaedic surgery. Orthopaedic surgery is associated with a high risk of venous thromboembolism particularly in those patients undergoing total joint replacement or surgery for a fracture of the femoral neck. Because of this perceived increased risk of the development of venous thromboembolism in orthopaedic patients, there have been many studies addressing this issue. Although there is a general consensus that patients undergoing major orthopaedic surgery should be offered some form of prophylaxis, there is not a general consensus achieved as to the most effective method of prophylaxis.

#### 14.1.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• Many patients undergoing surgery for femoral neck fracture are elderly and may have comorbidities that increase the risk of developing deep vein thrombosis and pulmonary emboli.

Factors that increase the risk of bleeding or the hazard associated with it

• no specific factors

Other factors that may alter the choice of prophylaxis

• no specific factors

#### 14.1.2 Evidence

The data for all RCTs were sub-grouped to determine if there was a difference between

surgical specialities and the effectiveness of each method of prophylaxis. We did not find reliable statistical evidence to be certain of a difference. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We have estimated, from the incidence in the RCTs (Chapter 4), that the risk of developing deep vein thrombosis in orthopaedic surgery when not receiving thromboprophylaxis is:

• 44% (95%Cl: 42% to 47%) for patients having elective hip surgery

- 37% (95%CI: 35% to 40%) for patients having surgery for hip fracture and
- 27% (95%CI: 22% to 32%) for patients having elective knee surgery.

Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices) plus low molecular weight heparin or fondaparinux is cost effective in all patients undergoing orthopaedic surgery. It is also cost effective for all patients having surgery for hip fracture or patients having elective orthopaedic surgery who are at higher risk to have their drug prophylaxis continued for 4 weeks after surgery. For many patients, much of this time will occur after discharge and require patients/carers/family to inject their pharmacological agent. In our review, we found that 8% of patients need daily support with injections from a district nurse. Any patient already receiving a pharmacological agent not related to the surgery that acts as a prophylaxis may not need additional pharmacological prophylaxis.

#### 14.1.3 Recommendations

#### Elective Orthopaedic Surgery

Patients having elective orthopaedic surgery should be offered mechanical prophylaxis and either LMWH or fondaparinux.

Patients having hip replacement surgery with one or more risk factors for VTE (see box 1) should have their LMWH or fondaparinux therapy continued for 4 weeks after their surgery

#### Hip Fracture

Patients having surgery for hip fracture should be offered mechanical prophylaxis and either LMWH or fondaparinux.

LMWH or fondaparinux therapy should be continued for 4 weeks after hip fracture surgery.

# 14.2 General surgery

This section covers inpatients undergoing general surgery. This includes both open and laparoscopic surgery. General surgery of its nature is heterogeneous in the age of patients, the pathological conditions being dealt with and organs and systems operated upon. There remain a variety of procedures retained within "general surgery" that are specialisations in themselves. These include upper gastrointestinal surgery and lower intestinal surgery (or coloproctology).

#### 14.2.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• Patients having surgery for cancer will have a high risk of developing a DVT or pulmonary embolism.

• Patients having emergency procedures are often elderly and will consequently be at higher risk of developing a DVT or pulmonary embolism.

• Some patients having emergency procedures may already be using anticoagulation or antiplatelet therapy. This needs to be considered when deciding on the method of prophylaxis.

Factors that increase the risk of bleeding or the hazard associated with it

• Laparoscopic procedures may be associated with less bleeding than open surgery.

There are no other special factors that would affect the choice of, and use of, specific methods of prophylaxis in general surgery. Vascular surgery is considered in a separate section.

#### 14.2.2 Evidence

There is some RCT evidence directly related to general surgery. The data for all RCTs were subgrouped to determine if there was a difference by surgical speciality. We did not find reliable statistical evidence to be certain of a difference between surgical specialities in the effectiveness of any method of prophylaxis. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We have estimated, from the incidence in the RCTs (Chapter 4), that the risk of developing deep vein thrombosis in general surgery patients not receiving thromboprophylaxis is 24% (95%Cl: 23% to 26%). Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices) is cost effective in all patients undergoing general surgery. A mechanical method of prophylaxis plus low molecular weight heparin or fondaparinux is cost effective in patients at increased risk of venous thromboembolism.

#### 14.2.3 Recommendations

Patients having general surgery should be offered mechanical prophylaxis.

Patients having general surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and either LMWH or fondaparinux.

# 14.3 Gynaecological surgery

This section covers inpatients undergoing gynaecological surgery excluding caesarean section. This includes abdominal, vaginal and laparoscopic surgery.

#### 14.3.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• Patients may be using hormonal contraception and hormone replacement therapy, which will increase their risk of developing a DVT or pulmonary embolism. • Patients having surgery for cancer will have a high risk of developing a DVT or pulmonary embolism.

There are no special factors that increase the risk of bleeding or the hazard associated with it in gynaecological surgery.

There are no other special factors that would affect the choice of, and use of, specific methods of prophylaxis.

# 14.3.2 Evidence

There is some RCT evidence directly related to gynaecological surgery. The data for all RCTs were sub-grouped to determine if there was a difference by surgical speciality. We did not find reliable statistical evidence to be certain of a difference between surgical specialities in the effectiveness of any method of prophylaxis. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We have estimated, from the incidence in the RCTs (Chapter 4), that the risk of developing deep vein thrombosis in gynaecological surgery patients not receiving thromboprophylaxis is 16% (95%Cl: 13% to 19%). Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices) is cost effective in all patients undergoing gynaecological surgery. A mechanical device plus low molecular weight heparin is cost effective in patients at increased risk of venous thromboembolism. Fondaparinux in not licensed for use with these patients.

# 14.3.3 Recommendations

Patients having gynaecological surgery should be offered mechanical prophylaxis.

Patients having gynaecological surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

# 14.4 Cardiac surgery

This section covers patients undergoing cardiac surgery.

#### 14.4.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• Full heparin anticoagulation is used during cardiopulmonary bypass which is typically one to two hours of a two to five hour surgery.

• Surgeries performed "off pump" (surgeries performed without the use of heart lung machines) are also covered by heparin anticoagulation.

• Most patients with coronary artery disease are given antiplatelet therapy up to shortly prior to surgery and it is recommenced soon after.

• Many patients with valve disease have warfarin anticoagulation.

• Patients in atrial fibrillation will generally have warfarin anticoagulation.

• All these pharmacological agents have the effect of reducing the risk of developing a DVT or pulmonary embolism.

Factors that increase the risk of bleeding or the hazard associated with it

• Patients receiving antiplatelet medication, heparin or warfarin will have an increased risk of bleeding.

Other factors that may alter the choice of prophylaxis

• Many of these patients have leg veins removed for use as grafts. This would preclude the use of both GCS and IPC during the surgery but they could be used after.

# 14.4.2 Evidence

There is little RCT evidence directly related to cardiac surgery. The data for all RCTs were subgrouped to determine if there was a difference between surgical specialities and the effectiveness of each method of prophylaxis. We did not find reliable statistical evidence to be certain of a difference. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We have estimated, from the incidence in the RCTs (Chapter 4), that the risk of developing deep vein thrombosis for cardiac surgery patients not receiving thromboprophylaxis to be 14% (95%CI: 7 to 24%), although its ranking in among amongst other surgeries in our HES data would suggest that the risk could be higher. Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic

compression devices or foot impulse devices) is cost effective in all patients undergoing cardiac surgery. We believe that a mechanical device plus low molecular weight heparin is likely to be cost effective in patients at increased risk of venous thromboembolism. Any patient already receiving a pharmacological agent not related to the surgery that acts as a prophylaxis may not need additional pharmacological prophylaxis. Fondaparinux in not licensed for use with these patients.

# 14.4.3 Recommendations

Patients having cardiac surgery should be offered mechanical prophylaxis.

Patients having cardiac surgery who are not otherwise receiving anticoagulation therapy and who have one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

#### 14.5 Thoracic surgery

This section covers inpatients undergoing thoracic surgery.

#### 14.5.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• After lung resection there is an anatomically reduced pulmonary vascular bed. Any pulmonary embolism that occurs in these patients is likely to carry much higher risk of death.

• Most patients having video-assisted thorascopic surgery (VATS), particularly for pneumothorax, are young (less than 30 years) and are able to walk around the ward up to the time of surgery and soon after and have short lengths of stay

• In the older age group there are patients in atrial fibrillation who will generally have warfarin anticoagulation.

There are no special factors that increase the risk of bleeding or the hazard associated with it in thoracic surgery.

There are no other special factors that would affect the choice of, and use of, specific methods of prophylaxis in thoracic surgery.

#### 14.5.2 Evidence

There is little RCT evidence directly related to thoracic surgery. The data for all RCTs were subgrouped to determine if there was a difference between surgical specialities and the effectiveness of each method of prophylaxis. We did not find reliable statistical evidence to be certain of a difference. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We did not have data, from the incidence in the RCTs (Chapter 4), that allowed us to estimate the risk of developing deep vein thrombosis in thoracic surgery patients not receiving thromboprophylaxis. However, according to our HES data, its ranking among other surgeries would suggest that the risk is high. Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices) is cost effective even in patients at relatively moderate risk. We believe that a mechanical device plus low molecular weight heparin is likely to be cost-effective in patients at increased risk of venous thromboembolism. Fondaparinux in not licensed for use with these patients.

#### 14.5.3 Recommendations

Patients having thoracic surgery should be offered mechanical prophylaxis.

Patients having thoracic surgery with one or more ated risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

# 14.6 Urological surgery

This section covers inpatients undergoing urological surgery. The procedures can be divided into three groups: pelvic cancer surgery, renal surgery and laparoscopic and minimally invasive surgery. Patients undergoing these procedures are usually between the ages of 65 and 75. Laparoscopic procedures are becoming more common in preference to open surgery and have a lower risk of bleeding. However, there is some concern that the increased pressure in the peritoneum during laparoscopic surgery would cause venous stasis<sup>269,490,573</sup>. Laparoscopic procedures also tend to last longer than open urological procedures.

#### 14.6.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• Many urological surgery patients get spinal and epidural anaesthesia. This may reduce the risk of developing a deep vein thrombosis.

Factors that increase the risk of bleeding or hazard associated with it

• Renal surgery procedures involve operating in the vicinity of the inferior vena cava which may be disrupted and increase the risk of DVT.

There are no other special factors that would affect the choice of, and use of, specific methods of prophylaxis in thoracic surgery.

# 14.6.2 Evidence

There is little RCT evidence directly related to urological surgery. The data for all RCTs were subgrouped to determine if there was a difference between surgical specialities and the effectiveness of each method of prophylaxis. We did not find reliable statistical evidence to be certain of a difference. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We have estimated, from the incidence in the RCTs (Chapter 4), that the risk of developing deep vein thrombosis in urological surgery patients not receiving thromboprophylaxis is 10% (95%Cl: 6% to 15%), although its ranking in among amongst other surgeries in our HES data would suggest that the risk could be higher. Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices) is cost effective even at relatively moderate risk. We believe a mechanical device plus low molecular weight heparin is likely to be cost effective in patients at increased risk of venous thromboembolism. Fondaparinux in not licensed for use with these patients.

#### 14.6.3 Recommendations

Patients having urological surgery should be offered mechanical prophylaxis.

Patients having urological surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis plus LMWH.

# 14.7 Neurosurgery including spinal surgery

This section covers inpatients undergoing neurosurgery. The majority would be less than 6 hours duration but there are some that would last longer. Procedures can be categorised into cranial and spinal, a large number of which are emergency cases. Neuroendovascular interventions are also covered by this section because such patients are generally admitted to neurosurgery wards.

# 14.7.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• Severe Head Injury, Spinal injury associated with altered conscious level and/or limb paralysis, increase the risk of VTE because early ambulation is not possible and a prolonged period of recumbency is inevitable. There is, however, no particular contraindication to any of the methods of prophylaxis for these patients.

• An increased risk of VTE is associated with Brain (malignant or benign) tumours and Cerebral Haemorrhage (Stroke.

Factors that increase the risk of bleeding or the hazard associated with it

• The risk of bleeding is a serious complication in patients requiring emergency neurosurgery.

• The timing of when pharmacological prophylaxis is started is particularly important because of the risk from bleeding.

Other factors that would affect the choice of, and use of, prophylaxis:

• Many neurosurgical patients are on high doses of glucocorticoids which may alter the coagulation status of the patient.

• Some patients undergoing prolonged cranial surgery e.g Meningiomas are at risk of developing disseminated intravascular coagulation

# 14.7.2 Evidence

There is little RCT evidence directly related to neurosurgery or spinal surgery. The data for all RCTs were sub-grouped to determine if there was a difference between surgical specialities and the effectiveness of each method of prophylaxis. We did not find reliable statistical evidence to be certain of a difference. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We have estimated, from the incidence in the RCTs (Chapter 4), that the risk of developing deep vein thrombosis in neurosurgery patients not receiving thromboprophylaxis is 20% (95%Cl: 17% to 24%). Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices) is cost effective in all patients undergoing neurosurgery. Mechanical prophylaxis

plus low molecular weight heparin is cost effective in patients at increased risk of venous thromboembolism. Fondaparinux in not licensed for use with these patients.

The GDG recognised that patients with ruptured cranial or spinal vascular malformations (e.g. brain aneurysms) may be at risk of neurological damage and pharmacological prophylaxis prior to the definitive treatment of the lesion needs to be avoided.

#### 14.7.3 Neurosurgery recommendations

Patients having neurosurgery should be offered mechanical prophylaxis.

Patients having neurosurgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

Patients with ruptured cranial or spinal vascular malformations (e.g. brain aneurysms) should not be offered pharmacological prophylaxis until the lesion has been secured.

# 14.8 Vascular surgery

This section covers inpatients undergoing vascular surgery. Vascular surgery encompasses two distinct patient populations: surgery for peripheral arterial disease (PAD) including carotid, aorto-iliac and limb arterial surgery; and patients with venous disease (superficial or deep venous reflux and varicose veins). A significant proportion of surgery for uncomplicated primary varicose veins is undertaken as day case procedures, these patients would not therefore be covered by these recommendations.

#### 14.8.1 Factors that may alter the choice of prophylaxis

Factors that might alter the risk of VTE

• Arterial surgery patients are often elderly and immobile.

• Many arterial surgery patients will already be receiving antiplatelet therapy and a small proportion will be on warfarin.

• Systemic heparin is frequently administered during surgery for arterial disease.

• Surgery for varicose veins is mostly in women, oral contraceptive use and hormone replacement therapy are therefore more commonly associated with varicose veins surgery. Factors that increase the risk of bleeding or the hazard associated with it

• Patients using anticoagulation or antiplatelet therapy not related to surgery will have an increased risk of bleeding.

Other factors that may alter the choice of prophylaxis

• The use of intermittent compression devices and compression stockings will usually be inappropriate on the operated leg for a patient undergoing lower limb arterial surgery.

• Graduated compression stockings will be contraindicated for patients with lower limb arterial disease.

# 14.8.2 Evidence

There is little RCT evidence directly related to vascular surgery. The data for all RCTs were subgrouped to determine if there was a difference by surgical speciality. We did not find reliable statistical evidence to be certain of a difference between surgical specialities in the effectiveness of any method of prophylaxis. Consequently, to get a reliable estimate of effectiveness of different prophylaxis we analysed the RCTs for all surgical specialities together. The risk for developing a DVT varies depending on the baseline risk for each type of surgery and the patient specific risk factors.

We did not have enough data, from the incidence in the RCTs (Chapter 4), to enable us to estimate the risk of developing deep vein thrombosis in vascular surgery patients not receiving thromboprophylaxis, according to our HES data, its ranking in among amongst other surgeries would suggest that the risk is relatively high. Our model suggests that a mechanical method of prophylaxis (i.e. graduated compression stockings, intermittent pneumatic compression devices or foot impulse devices) is cost effective even in patients at moderate risk. We believe that a mechanical method of prophylaxis plus low molecular weight heparin is likely to be cost effective in patients at increased risk of venous thromboembolism. Fondaparinux in not licensed for use with these patients.

# 14.8.3 Recommendations

Patients having vascular surgery should be offered mechanical prophylaxis.

Patients having vascular surgery with one or more risk factors for VTE (see box 1) should be offered mechanical prophylaxis and LMWH.

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## APPENDICES

Appendices can be found in a separate document.

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